



**COUNTY OF SAN LUIS OBISPO**

**AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR**

**James W. Hamilton, CPA** Auditor-Controller • Treasurer-Tax Collector

**Lydia J. Corr, CPA** Assistant Auditor-Controller • Treasurer-Tax Collector

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## **Whistleblower Hotline Report As of September 30, 2020**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and oversight of the County by reporting suspected financial fraud, waste, and abuse. From inception in November 2013 through September 30, 2020, the Whistleblower Hotline received 229 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Supervisor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

7 reports were received in the 1<sup>st</sup> quarter of Fiscal Year 2020-21, ending September 30, 2020.

The 1<sup>st</sup> quarter reports received related to the following categories:

- Violation of Federal, State, City, or County Regulation (3)
- Misconduct (2)
- Conflict of Interest (1)
- Other (1) – report related to safety precautions related to COVID-19

The disposition of the 7 cases includes:

- Two were referred to the appropriate Department Head
- Two were related to non-County operations
- Two had insufficient info to take action
- One was referred to Code Enforcement

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.