



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James P. Erb, CPA Auditor-Controller • Treasurer-Tax Collector

James W. Hamilton, CPA Assistant Auditor-Controller • Treasurer-Tax Collector

**Whistleblower Hotline Report
As of September 30, 2018**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From inception in November 2013 through September 30, 2018 the Whistleblower Hotline received 155 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

18 complaints were received in the Fiscal Year 2018-19 1st quarter ending September 30, 2018.

The 1st quarter reports received related to the following categories:

- Violation of Federal/State/County Regulations (7)
- Non-County Operations (5)
- Employee Misconduct (4)
- Timesheet/Payroll Fraud (2)

The disposition of the thirteen County-related cases includes:

- Two were unsubstantiated
- Nine were referred to the Department Heads
- One was referred to Human Resources
- One was referred to Planning & Building Code Enforcement

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.