



**COUNTY OF SAN LUIS OBISPO**  
AUDITOR • CONTROLLER • TREASURER • TAX COLLECTOR

**JAMES P. ERB, CPA**  
Auditor-Controller  
Treasurer-Tax Collector  
  
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Assistant

**Whistleblower Hotline Report**  
**As of June 30, 2016**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From the hotline's inception in November 2013 through June 30, 2016 the Whistleblower Hotline received 78 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Chief Internal Auditor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

Five complaints were received in the fiscal year 2014-15 4<sup>th</sup> quarter ending June 30, 2016.

The 4<sup>th</sup> quarter reports received related to the following categories:

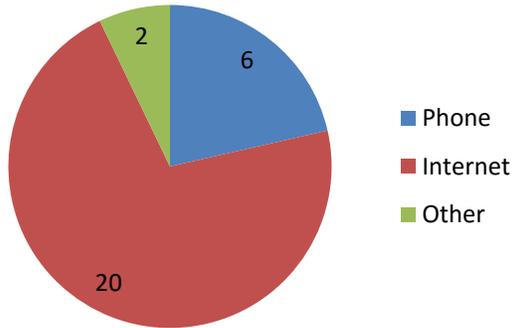
- Employee Misconduct (5)

The disposition of the five cases includes:

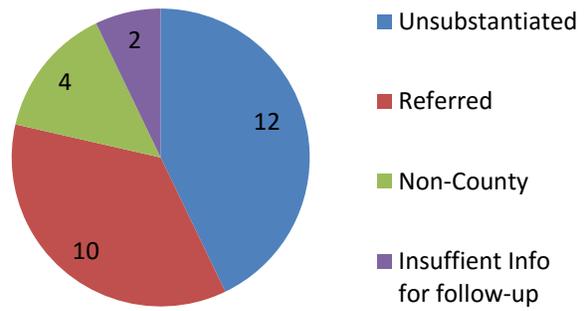
- Three were referred to the Department Heads and the Human Resources Department
- Two did not contain sufficient information for follow-up

For Fiscal Year 2015-16 in total, the Whistleblower Hotline received 28 complaints, the majority of which were reported over the internet. 61% were reported anonymously. 36% of the complaints were referred to the Department Heads and/or County Human Resources.

## Method of Complaint



## Complaint Disposition



The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.