



# COUNTY OF SAN LUIS OBISPO

AUDITOR • CONTROLLER • TREASURER • TAX COLLECTOR

JAMES P. ERB, CPA  
Auditor-Controller  
Treasurer-Tax Collector  
James W. Hamilton, CPA  
Assistant

## **Whistleblower Hotline Report As of September 30, 2016**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Chief Internal Auditor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

From the hotline's inception in November 2013 through September 30, 2016 the Whistleblower Hotline received eighty-two reports regarding perceived instances of fraud, waste, and/or abuse. Four complaints were received in the fiscal year 2016-17 1<sup>st</sup> quarter ending September 30, 2016.

The 1<sup>st</sup> quarter reports received related to the following categories:

- Employee Misconduct (3)
- Contractor Misconduct (1)

The disposition of the four cases includes:

- One was found to be unsubstantiated
- Two were referred to Department Heads
- One was referred to Human Resources

The San Luis Obispo County Whistleblower Program continues to improve controls and promote accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.