

Budget Augmentation Requests

The following section tracks the results of budget augmentations approved by the Board of Supervisors in past fiscal years. A budget augmentation is any request by a department for additional resources, such as the addition of staff positions, major information technology purchases, major professional service contracts and other substantial expenses related to the maintenance or expansion of programs and services.

Budget augmentations are tracked to monitor whether departments are achieving the results intended by the Board's approval of the request. When requesting a budget augmentation, departments are required to state the results that will be achieved in terms of efficiency, quality of service, or outcome performance measures.

Two types of budget augmentations are tracked. The first type is any augmentation granted as part of the annual budget development process. These augmentations are recorded in the adopted budget in the section for the requesting Fund Center, under the heading "Budget Augmentation Requests Recommended." The second type of budget augmentation tracked is any request approved mid-year, after the adoption of the budget. Mid-year augmentations are tracked if they result in the addition of positions to the Position Allocation List (PAL) or add \$100,000 or more to a department's budget.

2011-12 Budget Augmentation Results

FY 2011-12 Budget Augmentation Requests and Results

| Behavioral Health | | | | | Fund Center 166 |
|---|---|---|---|--|--|
| Suumary Information | Intended Results | Results Provided in FY 13-14 Budget | Admin Office Comments | Updated Actual Results | Admin Office Comments |
| <p>Gross: \$1.2 million over three years (Federal grant)</p> <p>General Fund support: \$0</p> <p>Description: A 3-year Adult Treatment Court Collaborative Grant from the Federal Substance Abuse and Mental Health Services Administration (SAMHSA) to create a new and expanded treatment court serving adults with co-occurring disorders (Mental Health and Drug and Alcohol Services) referred from the criminal justice system. An enhanced intensive outpatient treatment program for one year is provided using evidence based practices for integrated co-occurring disorders and trauma services.</p> | <p>1. The number of Behavioral Health Treatment Court unduplicated participants will increase from 26 to 52 in a 12 month period.</p> <p>2. 70% of participants will maintain abstinence at the six month follow-up.</p> <p>3. There will be a 30% decrease in criminal recidivism among participants as measured by arrests in the prior 30 days at the six month follow-up.</p> | <p>Results through September 30, 2012 are as follows:</p> <p><u>Goal:</u> 52 admissions. <u>Result:</u> 78 referrals were screened. 37 clients were admitted (72%). <u>Comment:</u> Start-up slower than expected. Plan to be at target at the end of the second year.</p> <p><u>Goal:</u> 70% will maintain abstinence at six months. <u>Result:</u> No results yet as participants have not been in the program long enough.</p> <p><u>Goal:</u> 30% decrease in criminal recidivism at six months. <u>Result:</u> No results yet as participants have not been in the program long enough.</p> | <p>The intended results have not yet been achieved. This item will be revisited in the FY 2014-15 budget.</p> | <p><u>Goal:</u> 52 admissions per year. <u>Result:</u> During FY 2012-13 there were 57 program admissions (110%). <u>Comment:</u> This program was federally funded starting Oct. 1, 2011 and began admitting clients in April 2012 due to start-up delays. By June 30, 2012 there were 15 admissions. Rates of admission increased to planned rates in the second year (FY 2012-13).</p> <p><u>Goal:</u> 70% will maintain abstinence at six months. <u>Result:</u> 68% had maintained their sobriety (abstinence) at their six month follow-up conducted during FY 2012-13.</p> <p><u>Comment:</u> Given the very high acuity of these program participants, this is an excellent result.</p> <p><u>Goal:</u> 30% decrease in criminal recidivism at six months.</p> | <p>Overall, the intended results were achieved. While the percentage of participants who maintained abstinence is 2% below the target, there was a greater decrease in criminal recidivism than projected, which is a key outcome of this program. A reduction in recidivism results in a cost savings to the County in terms of incarceration and/or Probation supervision costs.</p> |

FY 2011-12 Budget Augmentation Requests and Results

Behavioral Health

Fund Center 166

| Suumary Information | Intended Results | Results Provided in FY 13-14 Budget | Admin Office Comments | Updated Actual Results | Admin Office Comments |
|---------------------|------------------|-------------------------------------|-----------------------|--|-----------------------|
| | | | | <p><u>Result:</u> There was a 34% decrease in criminal recidivism among participants as measured by the six month follow-up report conducted in FY 2012-13. Of the 53 participants eligible for six month follow-up 47 or 89% had no re-arrest in the 30 days prior to follow-up. This was a 34% improvement over the number reporting prior 30 day re-arrest on intake.</p> <p><u>Comment:</u> This program has been extremely successful in preventing re-arrest among program participants.</p> | |

FY 2011-12 Budget Augmentation Requests and Results

| Information Technology | | | | | Fund Center 114 |
|---|--|---|---|--|---|
| Suumary Information | Intended Results | Results Provided in FY 13-14 Budget | Admin Office Comments | Updated Actual Results | Admin Office Comments |
| Gross: \$ 130,000 General Fund support: \$0 Description: Add 1.0 FTE Geographic Information System (GIS) Program Manager | 1. Develop and maintain a GIS data layer inventory allowing more efficient use across all departments; 2. Develop or update GIS data layers for: - countywide address points - countywide street centerlines -parcels - countywide aerial orthophotography; 3. Develop formal, standardized metadata for all GIS data layers; 4.Consolidate existing GIS licensing; and 5. Develop incident models and visualizations for tracking environmental gradients and hazardous incidents such as flooding and chemical spills. | The Geographic Information System (GIS) Program Manager position was filled in July 2012. Work to coordinate GIS efforts and develop common data layers is underway. County departments have been consulted for contribution to the GIS strategic plan. The plan will guide the further development of county data layers and acquisition. Consolidation of existing GIS licenses has been reviewed. The resulting cost savings would be minimal and consolidation of licenses could potentially reduce access to the system. The conclusion is that there is no County benefit to license consolidation. | The results have been partially achieved. At the time of the preparation of this update, the GIS Program Manager has been in place for approximately seven months. Work on the data layers continues. The cost of the current GIS licensing is approximately \$46,000. Consolidating license purchase would yield a potential annual savings of approximately \$700. Given the minimal savings and the potential for additional use restrictions on the licenses associated with the use of centralized licenses, this intended result is being eliminated. Information Technology will report on the progress of the work in FY 2014-15. | Work on consolidating the GIS licensing has been resumed due to the fact that new estimates show \$5,100 savings annually and will allow more departments access to the software. A data inventory was completed in April 2013. Data layer work continues including a FY 2014-15 project to acquire new countywide imagery. In addition, the creation of various mapping applications that allow for greater ease of data dissemination to County staff and the public have been created. Equipment and software have been upgraded at the County Emergency Operations Center to support emergency response and preparedness. In addition, the GIS Manager provided guidance in hiring a GIS staff person in Environmental Health to support hazardous materials mapping. | The intended results have been partially achieved. The department will again report on the progress of this work in FY 2015-16. |

FY 2011-12 Budget Augmentation Requests and Results

| Parks | | | | | Fund Center 305 |
|---|---|---|---|---|--|
| Suumary Information | Intended Results | Results Provided in FY 13-14 Budget | Admin Office Comments | Updated Actual Results | Admin Office Comments |
| <p>Gross: \$30,000</p> <p>General Fund support: \$0</p> <p>Description: This BAR funds the first phase of a two-phased Park and Recreation master planning effort. The complete (both phases) master planning will provide the current status of park and recreational facilities and programs in the unincorporated areas of the county, and will establish the goals, objectives, priorities and plans by community for future park and recreation facilities and programs.</p> <p>The first phase will involve the assessment and development of a report on the current status of San Luis Obispo County parks, recreation, and open space services (in terms of the facilities and programs provided within the County as well</p> | <p>The first phase of the Parks master planning effort will provide the information needed to develop the goals, objectives and priorities in the second phase.</p> <p>The completion of the SLO Countywide Parks and Recreation Master Plan will provide a basis for the Board to prioritize and fund projects based on information identified throughout the County. This should ensure that the most urgent projects for each community are funded first, ensure balance of projects within districts, and ensure funding is allocated to those projects that are best for the community.</p> <p>Phase II is dependent upon future funding. This phase of the SLO Countywide Parks and Recreation Master Plan will recommend specific method(s) of financing high priority park,</p> | <p>Staff met with Cal Poly instructors and discussed options for Cal Poly students to complete portions of this project. Possible student surveys and potential segmentation of this large project were considered. In the end, Cal Poly instructors did not see this project aligning well with their programs or instruction cycles and declined their participation.</p> <p>Staff partnered with Planning Department staff to complete an application for a Prop 84, Sustainable Communities Grant. This application included a request to complete the community surveys that would establish park and recreational needs per community throughout the County. Unfortunately, the application was not accepted for funding.</p> | <p>The result was not achieved.</p> <p>During the remainder of FY 2012-13 and throughout FY 2013-14, Parks will attempt to locate grant funding to be used to hire professional consulting services to develop a needs assessment. The \$30,000 in funding is intended to be used as a cash match for potential grants to accomplish the work.</p> <p>If a grant cannot be obtained, the funds will be returned at the end of FY 2013-14.</p> | <p>To date in FY 2013-14, Parks has not been successful in locating grant funding that would be useful for this purpose.</p> <p>If a suitable grant cannot be obtained prior to end of FY 2013-14 the \$30,000 will be returned.</p> <p>If grant funds become available in the future for this purpose, County Parks will return to the Board to seek funds for the purpose of matching available grant(s).</p> | <p>The intended results have not been met. If County Parks cannot obtain a grant by the end of FY 2013-14, the funds will be returned. GSA- County Parks will continue to pursue grant opportunities and will return to the Board to request funding, should a grant opportunity become available in the future.</p> |

FY 2011-12 Budget Augmentation Requests and Results

| Parks | | | | | Fund Center 305 |
|--|---|---|-----------------------|------------------------|-----------------------|
| Suumary Information | Intended Results | Results Provided in FY 13-14 Budget | Admin Office Comments | Updated Actual Results | Admin Office Comments |
| as the quality of existing facilities and programs). This would include determining the level of service and/or acres currently provided per 1,000 residents for existing lands, facilities, and programs. Additionally during this phase staff will apply for a Prop 84 Sustainable Communities Planning Grant. | natural area, recreation projects, and facility maintenance (both in terms of initial purchase/set-up and long-term maintenance). | No additional actions were taken for this project and no funds have been spent to date. Parks intends to retain these funds to be used as matching funds for grants to accomplish the work. If grant funding is not obtained by the end of FY 2013-14, the funds will be returned. | | | |

2012-13 Budget Augmentation Results

FY 2012-13 Budget Augmentation Requests and Results

| Assessor | | Fund Center 109 | | |
|--|--|---|--|---|
| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
| Gross: \$372,913 for FY 2012-13 General Fund support: \$0 | Add 1.0 FTE Limited Term Appraiser, 2.0 FTE Limited Term Assessment Analysts and 1.5 FTE Limited Term Assessment Technician to backfill for existing staff who will be assigned to the Property Tax System Modernization project. The project is anticipated to last approximately 2.5 years. The requested positions are Limited Term and are planned to be eliminated at the end of the project | The Property Tax System Modernization Project will: <ol style="list-style-type: none"> 1. Reduce annual mainframe hardware and software operating costs by \$400,000 2. Avoid system obsolesce by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment 3. Move Property Tax system data to a modern database 4. Position the County to provide additional Property Tax related services electronically or on-line; and, 5. Extend the life of the current system by a minimum of 10 years. | A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform. The vendor and County are engaged in system design and data migration testing. The new system is projected to go live in March 2015. The Limited Term employees hired for this project have been dedicated directly to the project. The 1.5 FTE Limited Term Assessment Technician positions were not hired until early FY 2013-14. Per the FY 2013-14 Supplemental Budget Document the 1.0 FTE Limited Term Appraiser position was eliminated and a third Limited Term Assessment Analyst position was added. The team has documented the office main frame business processes, developed initial flow charts, setup a test script tracking system, conducted interviews with subject matter experts, and began test script writing to assure the quality of the program code conversion. To date a salary savings of \$166,978 was realized as a result of recruitment delays and unfilled positions. | Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until March 2015. This item will be revisited as part of the FY 2015-16 budget process. |

FY 2012-13 Budget Augmentation Requests and Results

| Auditor-Controller | | Fund Center 107 | | |
|--|---|--|--|---|
| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
| Gross: \$212,000 for FY 2012-13 General Fund support: \$0 | Add 1.0 FTE Limited Term Accounting Technician position and 1.0 FTE Limited Term Auditor-Analyst III position to backfill for existing staff assigned to the Property Tax System Modernization Project. The project is anticipated to last approximately 2.5 years. These limited term positions are planned to be eliminated at the end of the project. | The Property Tax System Modernization Project will: <ol style="list-style-type: none"> 1. Reduce annual mainframe hardware and software operating costs by \$400,000; 2. Avoid system obsolescence by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment; 3. Move Property Tax System data to a modern database; 4. Position the County to provide additional Property Tax related services electronically or on-line; 5. Extend the life of the current system by a minimum of 10 years. | A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform. The vendor and County are engaged in system design and data migration testing. The new system is projected to go live in March 2015. The Limited Term Auditor-Analyst position remained unfilled in FY 2012-13; however, it is anticipated that the Auditor-Analyst III position will be filled during the second half of FY 2013-14. The Accounting Technician position was eliminated in the FY 2013-14 budget. | Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until March 2015. This item will be revisited as part of the FY 2015-16 budget process. |

FY 2012-13 Budget Augmentation Requests and Results

Countywide-Automation

Fund Center 266

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|--|---|---|---|--|
| <p>Gross Requested: \$2,477,500</p> <p>General Fund support: \$0</p> | <p>Property Tax System Replatform.</p> <p>This Budget Augmentation Request funds the second and final phase of the project.</p> <p>The Property Tax System is the single largest remaining application suite on the mainframe. Moving the Property Tax System to another environment would significantly reduce overall mainframe usage. The project would result in two additional benefits:</p> <p>1) Eliminate costs associated with mainframe use for the three departments (Tax Collector Treasurer, Assessor and Auditor-Controller) using the application and</p> <p>2) Act as a catalyst to eliminate the rest of the applications and data from the mainframe, allowing the future decommissioning of the platform.</p> | <p>1) Reduce annual mainframe hardware and software costs operating costs by \$400,000;</p> <p>2) Avoid system obsolesce by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment;</p> <p>3) Move Property Tax System data to a modern database;</p> <p>4) Position the County to provide additional Property Tax related services electronically or on-line; and,</p> <p>5) Extend the life of the current system by a minimum of 10 years.</p> | <p>A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform.</p> <p>The vendor and County are engaged in system design and data migration testing.</p> <p>The new system is projected to go live in March 2015.</p> | <p>Intended results have not yet been achieved. The Property Tax System Modernization Project is currently underway and is anticipated to be completed in March 2015. The department will again report on the progress of this project in the FY 2015-16 budget.</p> |

FY 2012-13 Budget Augmentation Requests and Results

Countywide-Automation

Fund Center 266

| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|-------------|---|------------------|-------------------------|-----------------------|
| | <p>This phase funds 7.5 FTE in Limited Term staffing and 1.0 FTE Permanent staff for 2.5 years. The staffing is as follows:</p> <ul style="list-style-type: none"> • Assessor 4.5 FTE • Auditor–Controller 2.0 FTE • Tax Collector-Treasurer 1.0 FTE • 1 FTE existing Project Manager in Information Technology | | | |

FY 2012-13 Budget Augmentation Requests and Results

| Human Resources | | Fund Center 112 | | |
|--|---|--|---|---|
| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
| <p>Gross: \$80,530 (The source of funding is from the Professional Services- existing Labor Relations contract with Renne Sloan Holtzman Sakai)</p> <p>General Fund support: \$0</p> | <p>Reallocate a portion of the existing General Fund allocation for the Renne Sloan Holtzman Sakai Labor Relations Contract to fund the addition of 1.0 FTE Human Resources Analyst Aide- Confidential to provide labor relations staff support.</p> <p>In FY 2011-12, staff capacity was shifted from the Workers' Compensation- Return to Work program to the Labor Relations program. The reallocation of funds to add this position will enable the department to continue to staff the Labor Relations program at existing levels and shift staff resources back to the Workers' Compensation- Return to Work program.</p> | <p>The addition of this position will enable the department to continue staffing the Labor Relations program at current levels, while restoring staff capacity to the Workers' Compensation- Return to Work program, to:</p> <ol style="list-style-type: none"> 1. Reduce the open claim inventory from the current high of 263 open claims, down to 225 claims (the open claim level before capacity was shifted to labor relations); 2. Reduce the costs associated with the use of the third party administrator by \$24,000 a year; 3. Decrease the County's liabilities from \$9.8 million to \$9 million; 4. Decrease Workers' Compensation costs from \$2.8 million to \$2.4 million. | <p>The added position funded by this Budget Augmentation Request (BAR) has had the desired impact for the County. The Labor Relations program was able to retain staffing at levels necessary to complete its key initiatives and ongoing work. The re-staffing of the Return to Work (RTW) Coordinator position in Risk Management has reversed the negative trends experienced in the absence of the RTW coordinator.</p> <p>The realm of Workers Compensation changed substantially in the time period between the design of the BAR and now. A substantial legislative change (SB 863) was conceived and enacted entirely within 2012-13. Among the changes wrought by this bill were an immediate (January 2012) increase in Permanent Disability payments of 15%, and a 40% increase in the permanent disability rates. These changes alone substantially increased the cost of open claims, and thus modified the value of liabilities the County must now book under its Work Comp program.</p> | <p>Intended results have not been fully achieved due to changes in the law that negatively impacted results as noted in the description.</p> <p>However, given that the targeted results for this augmentation were set prior to the enactment of cited laws, which increased liability and Worker's Compensation costs, further tracking of this BAR is not recommended.</p> |

FY 2012-13 Budget Augmentation Requests and Results

Human Resources

Fund Center 112

| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|-------------|------------|------------------|--|-----------------------|
| | | | <p>For this reason, the statistical 'playing field' used to measure this BAR's success is largely new and to some extent obscures certain numerical representations of the program.</p> <p>1. The impact of the 1.5 year absence of a RTW Coordinator is one that extended well beyond the date when this BAR was prepared. The open claim inventory 'high' of 263 claims at the time the Budget Augmentation Request (BAR) was written worsened to reach a high of 283 claims in FY 2012-13. The added staffing resulted in open claims being reduced to 228 open claims by year end. While this is not the 225 claims level sought, it does represent a 19% drop in open claims from the actual high point – greater than the 14% sought in the original BAR.</p> <p>2. Costs associated with the use of the third party administrator (TPA) have been reduced. The primary fees paid to the TPA were reduced by \$132,000, and the costs for adjunct services were reduced by \$27,602.</p> | |

FY 2012-13 Budget Augmentation Requests and Results

Human Resources

Fund Center 112

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|-------------|-------------|------------------|--|-----------------------|
| | | | <p>3. The impact of the 1.5 year absence of a RTW Coordinator is one that extended well beyond the date when this BAR was prepared. The liability 'high' of \$9.8M at the time the BAR was written worsened to reach a high of \$11.8M in FY 2012-13. The added staffing resulted in liabilities being reduced to \$10.6M. While this is not the \$9M liability level sought, it does represent a 10% drop in liabilities from the actual high point – greater than the 9% sought in the original BAR.</p> <p>However, it should also be noted that Work Comp reform legislation (SB 863) in 2012 caused liabilities to increase by approximately \$8,000 per open claim. Current liabilities, (valued post SB 863 revision) at \$10.6M, include \$1.8M attributable to the change in legislation. Subtracting the increases due solely to SB 863, the County's liabilities would equal \$8.8M, a level below the BAR target.</p> <p>4. Workers Compensation payments for FY 2012-13 were impacted by the high cost claims that originated during FY 2011-12. Two unrelated events contributed to this increase. One is the absence of the RTW Coordinator in 2011-12.</p> | |

FY 2012-13 Budget Augmentation Requests and Results

Human Resources

Fund Center 112

| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|-------------|------------|------------------|--|-----------------------|
| | | | <p>Those FY 2011-12 claims not influenced by the RTW program in FY 2011-12 tend to run longer and incur greater costs for the life of the claims. The other cause of the increase is the maturation of effects wrought by 2010 legislation which replaced the County's Special Disabilities Benefits Program for Law Enforcement with the provisions encoded in Labor Code 4850. Under the new requirements, law enforcement employees are afforded workers comp benefits at a higher rate for lost-time injuries, and a number of illnesses/ injuries are now presumed to be work-related for law enforcement officers. Cost of injuries related to presumptions and Labor Code 4850 legislatively -enhanced benefits have increased 198% in the last year, as employees appreciated how to access this benefit. Two claims in particular, valued together at \$1.6M, had a significant impact on costs. These two claims caused the otherwise on-track claim costs to spike in the 4th quarter of 2012/13. Thus total payments were \$2.75M by the end of the year. However, most of FY 2012-13, and 1st quarter payments in FY 2013-14, equaled only \$600,000 per quarter, a rate which would equate to an annual amount of \$2.4M – the BAR target.</p> | |

FY 2012-13 Budget Augmentation Requests and Results

Human Resources

Fund Center 112

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|--|---|--|--|---|
| Gross: \$50,000 General Fund support: \$50,000 | <p>Add \$50,000 to Professional Services to provide funding for outside legal counsel for the Civil Service Commission (CSC).</p> <p>In recent years, the County Counsel's Office has provided the CSC with the majority of its required legal counsel. As a result, County departments have had to seek outside counsel or represent themselves during CSC hearings. By providing funding for outside legal counsel for the CSC, County Counsel will be able to fully represent County departments during CSC hearings, which will provide for more consistent and sound representation of County departments.</p> | <p>Providing funding for outside legal counsel for the CSC will:</p> <ol style="list-style-type: none"> 1. Reduce or eliminate the cost of outside legal counsel for County departments by ensuring that County Counsel is able to provide legal counsel to County departments; and 2. Ensure sound and consistent legal representation for both the CSC and County departments during CSC hearings, which will contribute to the conduct of fair hearing processes. | <p>Use of current outside counsel, as enabled by this BAR, has eliminated the cost of outside legal counsel for departments. Current outside counsel represents the CSC in a sound and consistent manner, contributing to the conduct of fair hearing processes. County Counsel now provides legal counsel to departments, and does so in a sound and consistent manner.</p> | <p>Intended results have been achieved.</p> |

FY 2012-13 Budget Augmentation Requests and Results

Office of Emergency Services

Fund Center 138

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|---|--|--|---|--|
| Gross Requested: \$12,000 \$4,000 – Emergency Management Grant \$4,000 – Nuclear Preparedness and Planning Revenue \$4,000 – General Fund | Perform a review of existing emergency preparedness programs, determine their ability to support special needs individuals and generate a report to incorporate the results into future planning to ensure equal access. | Allow better service to individuals in the community with special needs during an emergency in compliance with the federal Post-Katrina Emergency Management Reform Act. | This project was completed. The resulting 380 page “Access and Functional Needs Directory for San Luis Obispo County” dated March 2013 is broken up into 11 categories of resources and a directory of support organizations is broken up into another 11 categories. The entire directory itself is posted on the United Way SLO web site, and a search engine tool used for 2-1-1 linked resources includes the resources in the directory in its database. Access is available at http://www.unitedwayslo.org/ and scrolling down to “Emergency Information”. | The intended results have been achieved. |

FY 2012-13 Budget Augmentation Requests and Results

Office of Emergency Services

Fund Center 138

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|--|---|---|--|--|
| Gross Requested: \$25,000 \$18,750 – Federal Hazard Mitigation Grant \$6,250 – General Fund | Update the Local Hazard Mitigation Plan (LHMP) so it can be incorporated into the County’s General Plan Safety Element. | Bringing the LHMP into compliance with AB 2140 will ensure the County is eligible for up to 100% State disaster fund reimbursement should it be made available. | The project was completed. The LHMP was adopted by the Board of Supervisors on December 10, 2013. The LHMP was also presented to the Planning Commission by OES and in a second Planning Commission meeting an overview of linking the LHMP and Safety Element was presented by the Planning Department. A hearing to amend the Safety Element to incorporate reference to the LHMP – which will meet the noted AB 2140 requirement – was held by the Board of Supervisors on December 3, 2013; the request was approved. While the December Board actions met the BAR intended results and thus the BAR project will be complete, beyond the scope of the BAR will be forwarding the LHMP to Cal OES and FEMA for review and formal approval. | The intended results have been achieved. |

FY 2012-13 Budget Augmentation Requests and Results

Office of Emergency Services

Fund Center 138

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|--|--|---|---|---|
| Gross Requested: \$12,000 \$3,000 – Emergency Management Grant \$9,000 – General Fund | Proceed with developing a TsunamiReady program that coordinates local agencies with the National Weather Service’s working partnership to develop a public readiness program. There is an additional \$5,000 of GFS allocated for the start up of this program in the Status Quo budget. | Increased awareness of the need to be prepared for and be aware of the potential for damaging tsunamis along our coastline. The County would be certified as “Tsunami Ready.” | This project was not completed and funds were not carried over due to three vacancies in OES during various portions of the year. This included the unexpected resignation of an Emergency Services Coordinator and an unexpected Emergency Services Coordinator retirement. In addition, additional time needed to be spent on increasing federal nuclear power plant planning requirements, thus resulting in OES not having staff available to address this project. The budgeted funds were not used. | The intended results were not achieved and the funding was returned. The department plans to submit a new BAR for consideration as part of the FY 2014-15 budget process. |

FY 2012-13 Budget Augmentation Requests and Results

Office of Emergency Services

Fund Center 138

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|--|--|---|--|--|
| Gross Requested: \$18,000 \$9,000 – Emergency Management Performance Grant \$9,000 – General Fund | Develop a stand-alone storm emergency response plan to mirror the strategy currently employed with stand-alone response plans for earthquakes, tsunamis, dam and levee failures, etc | Develop a stand-alone emergency response plan for storm response that is compliant with the National Incident Mgt. System and the Standardized Emergency Mgt. System. | This project was completed. A stand-alone document was developed titled “Standard Operating Procedure – Adverse Weather Events”. It provides internal guidance to OES and other agencies on storm and other significant multiagency response coordination. | The intended results have been achieved. |

FY 2012-13 Budget Augmentation Requests and Results

| Parks | | Fund Center 305 | | |
|--|--|---|--|--|
| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
| Gross: \$127,000 Park Projects Reserves General Fund support: \$0 | This Budget Augmentation Request (BAR) funds needed repairs to four facilities identified below. The annual budgeted funds for Parks maintenance are insufficient to cover the cost of the identified repairs. Parks is requesting the use \$127,000 of the \$214,770 in Parks Projects Reserve designation to accomplish the following projects: <ul style="list-style-type: none"> • repair leaking roofs at Coastal Dunes RV Park; • repair leaking roof at El Chorro Park, Guilardi House; • remove the deteriorated Avila Plaza water feature and provide hardscape; and • replace the communications and data cable at Coastal Dunes RV Park. | Completion of these projects will: <ul style="list-style-type: none"> • Repair leaking roofs on facilities at two park locations (Coastal Dunes RV Park and El Chorro Park) resulting in reduced damage to facilities and avoidance of greater future repair costs; • The elimination of Avila Plaza Water feature will eliminate a deteriorating structure which is not used and poses potential liabilities. Removal of the structure will create additional plaza space; • Replacing the communications and data cable at Coastal Dunes will provide for more reliable data and communications for staff and visitors at the Coastal Dunes RV Park. Upon completion of this project, the future repair/replacement costs for the communications cable at CDRVP would be transferred to the phone service provider. | Staff has taken the following actions to date: <ul style="list-style-type: none"> • The leaking roofs at Coastal Dunes RV Park were completed April 2013. Repairs prevented destruction of timber framing, interior ceiling joists and sheet rock with potential mold that would accompany continued leaks. These repairs will help avoid costs to repair noted building components that would have reached upwards of \$80,000 to \$120,000 if left unaddressed. • The leaking roof at El Chorro Park, Guilardi House was completed in July 2013. Repairs prevented destruction of timber framing, interior ceiling joists and sheet rock with potential mold that would accompany continued leaks. These repairs will help avoid costs to repair noted building components that would have reached upwards of \$60,000 to \$90,000 if left unaddressed. • The deteriorated Avila Plaza water feature and hardscaping was completed in May 2013. Completion of this project has made the Avila Plaza a safer place to visit, especially for young children. It has also opened up the Plaza with more hardscape square footage for special events such as the Friday Fish & Farmers' Markets. | The intended results have been achieved. |

FY 2012-13 Budget Augmentation Requests and Results

Parks

Fund Center 305

| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|-------------|------------|------------------|--|-----------------------|
| | | | <ul style="list-style-type: none"> • Replacement of the communications and data cable at Coastal Dunes RV Park was completed in June 2013. In the future the phone service provider will have to provide for all repairs necessary for the new cable and conduit. | |

FY 2012-13 Budget Augmentation Requests and Results

Treasurer, Tax Collector, Public Administrator

Fund Center 108

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|---|--|---|---|--|
| <p>Gross: \$124,397 for FY 2012-13</p> <p>General Fund support: \$0</p> | <p>Add 1.0 FTE Limited Term Financial Analyst I/II/III to backfill for existing staff who will be assigned to the Property Tax System Modernization project.</p> <p>The project is anticipated to last approximately 2.5 years. These limited term positions are planned to be eliminated at the end of the project.</p> | <p>The Property Tax System Modernization Project will:</p> <ol style="list-style-type: none"> 1. Reduce annual mainframe hardware and software operating costs by \$400,000; 2. Avoid system obsolescence by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment; 3. Move Property Tax system data to a modern database; 4. Position the County to provide additional Property Tax related services electronically or on-line; and 5. Extend the life of the current system by a minimum of 10 years. | <p>A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform. The vendor and County are engaged in system design and data migration testing. The new system is projected to go live in March 2015.</p> <p>The position has primarily been used in support of the subject matter experts assigned to the project.</p> <p>The position was filled at a lower level than anticipated resulting in budget savings in FY 2012-13 of \$53,384.</p> | <p>Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until March 2015, This item will be revisited as part of the FY 2015-16 budget process.</p> |

FY 2012-13 Budget Augmentation Requests and Results

Waste Management

Fund Center 130

| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|---|---|--|---|---|
| Gross: \$22,748 Funding Source: Los Osos Landfill reserve designation located in FC 230 – Capital Projects General Fund support: \$0 | Establishment of a preventive maintenance repair program for the Los Osos landfill gas flare station. | The risk of long term shutdown due to mechanical and/or electronic component failure is greatly reduced. Contaminants are removed with increasing efficiency, moving the landfill closer to meeting regulatory compliance goals. | The electrical/mechanical component preventative replacement program has significantly reduced mechanical and electrical equipment failures at the Los Osos Landfill Gas flare Station. No long- term shutdowns have occurred since implementation, and no unplanned shutdowns due to mechanical/electrical failure have occurred in calendar year 2013. | Intended results have been achieved. |

FY 2012-13 Budget Augmentation Requests and Results

| Waste Management | | | | Fund Center 130 |
|---|---|---|--|--------------------------------------|
| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
| Gross: \$72,735 (purchase of 690 labor hours for the Civil Engineering Tech III) Funding Source: Los Osos Landfill reserve designation located in FC 230 – Capital Projects General Fund support: \$0 | Restore the Stormwater Management Plan (SWMP) Coordinator position to a full-time position to address implementation gaps in the program by increasing the Solid Waste Coordinator time in the NPDES section of the Waste Management Budget by 690 hours and backfill the Solid Waste Coordination section with a Civil Engineering Tech III. | Restoration of the Stormwater Management Plan Coordinator will: 1. Reduce the possibility of receiving more notices of violation for the existing permit and better address the requirements of the new permit; 2. Reduce the possibility of receiving significant fines for not effectively implementing the SWMP or requirements of new permit; Backfilling the Solid Waste Coordination section with a Civil Engineering Tech III will: 3. Continue the Construction and Demolition Debris Recycling Program; 4. Continue approval of construction project recycling plans for issuance of permits in a timely manner; 5. Continue reviewing recycling compliance in a timely manner so final inspection approval of construction projects is not delayed unnecessarily; and | The Storm Water Coordinator position has been full time and engaged in coordinating the 2013 Municipal Storm Water Permit as well as continued efforts on the 2007 Storm Water Management Plan. There have not been any more notices of violations or fines. The Construction and Demolition Debris Recycling Program has been transferred to the Planning and Building Department. Remaining efforts of public assistance and review of permits not yet issued are being handled by Civil Engineering Tech staff, assuring efficient, timely review of project plans. | Intended results have been achieved. |

FY 2012-13 Budget Augmentation Requests and Results

| Waste Management | | Fund Center 130 | | |
|---|---|---|--|--|
| Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
| | | 6. Continued staff availability for the public via the department's front counter, email, and telephone contacts. | | |
| Gross: \$98,230 (\$46,530 – consultant fees; \$51,700 for on-going monitoring and sampling) Funding Source: Los Osos Landfill reserve designation located in FC 230 – Capital Projects General Fund support: \$ | Update and amend the County's Wasteload Allocation Attainment Plan (WAAP) to address the violations and deficiencies found during the April 2011 audit by the Regional Water Quality Control Board (RWQCB) and the United States Environmental Protection Agency (EPA). | The updated WAAP will encompass the following principle components: 1. Provide a clear and diligent process to demonstrate the current WAAP best management practices (BMP) are sufficient for effectively abating pollutant sources, reducing pollutant discharges, and achieving waste load allocations for the relevant total maximum daily loads (TMDL); 2. Develop an approach to measure the effectiveness of BMP that demonstrates the wasteload allocation will be met; 3. Develop a monitoring program to confirm the WAAP approach, identify point sources, and assess discharges and receiving water quality for TMDL compliance. | The WAAP update is complete and is awaiting approval from Central Coast Regional Water Quality Control Board (RWQCB). Water sampling is occurring monthly for those water bodies identified in the WAAP to measure the pollutant discharge that occurs from County lands/roads. The TMDL limits have been exceeded and appear to be randomly occurring in some areas. The WAAP will need amendments to further investigate sources of the pollutant load. | While the WAAP updated was completed, it is unknown if the intended results have been achieved as the Central Coast RWQCB has not approved the plan. Therefore, the department will again report on the progress of this work as part of the FY 2015-16 budget |

FY 2012-13 Budget Augmentation Requests and Results

Waste Management

Fund Center 130

| Unit Amount | Decription | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|-------------|------------|--|-------------------------|-----------------------|
| | | (Additional amendments to the WAAP may be required if the monitoring results reveal the discharge exceeds TMDL). | | |

2012-13 Budget Augmentation Results (Mid-Year Adjustments)

FY 2012-13 Budget Augmentation Requests and Results

Planning & Building

Fund Center 142

| Agenda Date and Item # | Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|---------------------------|--|---|--|--|--|
| 12/18/2012 Item#18 | Gross: \$78,691 General Fund support: \$0 | Add 2.0 FTE Limited Term Land Use Technicians to support implementation of the Energy Watch Partnership and Innovator Pilot Programs. | <ul style="list-style-type: none"> The positions will allow the Department to complete our on-going obligations to the Energy Watch Partnership contract and complete the Innovator Pilot Program. These programs will reduce energy use by 3,041,870 kwh in our county through the calendar years of 2013 and 2014. | <p>The obligations to the Energy Watch Partnership contract including activities in Marketing, Direct Implementation, and Strategic Planning have been met.</p> <p>The finalization of the Innovator Pilot is in process and scheduled to be completed at the end of FY 2013-14.</p> <p>For calendar year of 2013 we are tracking ahead of our goal of kwh reduction in the community. Reports prepared in conjunction with PG&E demonstrate countywide savings in 2013 that total 1,800,000 kwh. Savings are tracked by PG&E and are based on projects and rebates.</p> | The intended results have not yet been fully achieved. This item will be revisited in the FY 2015-16 budget. |

FY 2012-13 Budget Augmentation Requests and Results

Social Services

Fund Center 180

| Agenda Date and Item # | Unit Amount | Description | Intended Results | Actual Results FY 12-13 | Admin Office Comments |
|------------------------|--|--|---|--|---------------------------------|
| 2/5/13 Item #12 | Gross \$208,091 General Fund support: \$0 | Addition of 2.0 FTE Employment Resource Specialist (ERS) I/II/III positions to accommodate the transition of the Healthy Families Program to the County. | These new positions will help to address increased workload due to the transition of Healthy Families to the County. This includes: processing 145 new applications a month and eventually assuming responsibility for more than 5,400 existing cases | The transition of Healthy Families to the County included processing 140 to 145 new applications per month and assuming responsibility for more than 5,400 existing cases. The addition of two ERS positions helped existing staff with the transition and the increased workload. | Intended results have been met. |