Budget Augmentation Requests

The following section tracks the results of budget augmentations approved by the Board of Supervisors in past fiscal years. A budget augmentation is any request by a department for additional resources, such as the addition of staff positions, major information technology purchases, major professional service contracts and other substantial expenses related to the maintenance or expansion of programs and services.

Budget augmentations are tracked to monitor whether departments are achieving the results intended by the Board's approval of the request. When requesting a budget augmentation, departments are required to state the results that will be achieved in terms of efficiency, quality of service, or outcome performance measures.

Two types of budget augmentations are tracked. The first type is any augmentation granted as part of the annual budget development process. These augmentations are recorded in the adopted budget in the section for the requesting Fund Center, under the heading "Budget Augmentation Requests Recommended." The second type of budget augmentation tracked is any request approved mid-year, after the adoption of the budget. Mid-year augmentations are tracked if they result in the addition of positions to the Position Allocation List (PAL) or add $100,000 or more to a department's budget.

2011-12 Budget Augmentation Results

Budget Augmentation Description	Intended Results	Actual Results FY 2011-12, FY 2012-13, and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Geographic Information System (GIS) Program Manager Funding for this position will come from a combination of charges to departments with charges being weighted based on the number of GIS licenses held by departments and existing funding in the Information Technology budget. <u>Financial Information</u> Total Cost: $130,000 Amount of General Fund support: $0 Approved via the FY 2011-12 Budget Adoption	1. Develop and maintain a GIS data layer inventory allowing more efficient use across all departments; 2. Develop or update GIS data layers for: • countywide address points • countywide street centerlines • parcels • countywide aerial ortho-photography 3. Develop formal, standardized metadata for all GIS data layers; 4. Consolidate existing GIS licensing; and 5. Develop incident models and visualizations for tracking environmental gradients and hazardous incidents such as flooding and chemical spills.	1. A data inventory was completed in April 2013 and was updated Fall 2014. 2. Results for developing or updating GIS data layers: • Countywide address points and street centerlines were completed. • The County started implementation with a vendor to create GIS parcel data to be owned and maintained by the County. This is an 18-month project due to finish summer of 2016. • The County started acquiring aerial imagery through the San Luis Obispo Regional GIS Collaborative. Completed delivery should occur January 2015.* 3. The development of metadata was completed for all enterprise data layers in Fall 2014.	Note: results for #1, #3, #4, #5 were reported on in prior years. 2. Results for developing or updating GIS data layers: • The County started implementation with a vendor to create GIS parcel data to be owned and maintained by the County. This was originally an 18-month project, which has been extended to finish in the spring of 2017 due to delivery delays from the vendor. These delays are being addressed in FY 2015-16. • The County began acquisition of aerial imagery through the San Luis Obispo Regional GIS Collaborative. Final delivery expected in the winter of FY 2015-16.	The results are partially achieved. All intended results have been met, with the exception of acquiring parcel data and aerial imagery. This item will continue to be reported on again the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2011-12, FY 2012-13, and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		4. GIS vendor, licenses were consolidated under IT in Fall 2014, allowing more departments to participate and leverage GIS offerings. 5. Environmental Health launched “HealthView” mapping application in Spring 2014, which allows for the visualization of environmental incident data and allows for user-defined analysis. *The FY 15-16 Budget incorrectly stated that the County had acquired aerial imagery. Only partial aerial imagery had been acquired at that time.		

2012-13 Budget Augmentation Results

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Limited Term Appraiser, 2.00 FTE Limited Term Assessment Analysts and 1.50 FTE Limited Term Assessment Technician to backfill for existing staff who will be assigned to the Property Tax System Modernization project. The project is anticipated to last approximately 2.5 years. The requested positions are Limited Term and are planned to be eliminated at the end of the project. Funding for these positions and the project will come from FC 266 – Countywide Automation. <u>Financial Information</u> Total Cost: $372,913 for FY 2012-13 Amount of General Fund support: $0 Approved via the FY 2012-13 Budget Adoption	The Property Tax System Modernization Project will: 1. Reduce annual mainframe hardware and software operating costs by $400,000 2. Avoid system obsolesce by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment 3. Move Property Tax system data to a modern database 4. Position the County to provide additional Property Tax related services electronically or on-line; and, 5. Extend the life of the current system by a minimum of 10 years.	A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform. The vendor and County are engaged in system design and data migration testing. The new system is projected to go live in March 2015. Per the FY 2013-14 Supplemental Budget Document the 1.00 FTE Limited Term Appraiser position was eliminated and a third Limited Term Assessment Analyst position was added. The 3.00 FTE Limited Term Assessment Analyst positions were filled and dedicated directly to the project. The 1.50 FTE Limited Term Assessment Technician positions were not filled in FY 2013-14.	County staff continued to work with consultants on the Property Tax System Modernization Project throughout the year. Unanticipated issues uncovered during testing caused the go live date to be delayed until the end of the fiscal year. The 3.00 FTE Limited Term Assessment Analyst positions were filled and dedicated directly to the project. The 1.50 FTE Limited Term Assessment Technician positions were not filled in FY 2014-15. The team continued to do extensive testing of the new system and development of the on-line user's manual.	Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until the first half of 2016. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		The team has done extensive testing of on-line transactions in the new platform. In addition an on-line user's manual is being created as a training tool for the Assessor's office staff to use when the new system goes live.		

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Limited Term Accounting Technician position and 1.00 FTE Limited Term Auditor-Analyst III position to backfill for existing staff assigned to the Property Tax System Modernization Project. The project is anticipated to last approximately 2.5 years. These limited term positions are planned to be eliminated at the end of the project. Funding for these positions and the project will come from FC 266 – Countywide Automation. <u>Financial Information</u> Total Cost: $212,000 for FY	The Property Tax System Modernization Project will: 1. Reduce annual mainframe hardware and software operating costs by $400,000; 2. Avoid system obsolescence by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment; 3. Move Property Tax System data to a modern database; 4. Position the County to provide additional Property Tax related services electronically or on-line; 5. Extend the life of the current system by a minimum of 10 years.	A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform. The vendor and County are engaged in system design and data migration testing. The new system is projected to go live in March 2015. The Limited Term Auditor-Analyst III position remained unfilled in FY 2012-13 and FY 2013-14; it is anticipated it will be filled in FY 2014-15. The Accounting Technician position was eliminated in the FY 2013-14 budget.	County staff continued to work with consultants on the Property Tax System Modernization Project throughout the year. Unanticipated issues uncovered during testing caused the go live date to be delayed until the end of the fiscal year. The Limited Term Auditor-Analyst position was filled in April 2015. Funding for the position was approved through the end of FY 2015-16.	Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until the first half of 2016. This item will be reported on again in the FY 2017-18 budget.

FY 2012-13 Budget Augmentation Request Results

Auditor-Controller **Fund Center 107**

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
2012-13 Amount of General Fund support: $0 Approved via the FY 2012-13 Budget Adoption				

FY 2012-13 Budget Augmentation Request Results

Countywide Automation Replacement

Fund Center 266

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
This Budget Augmentation Request funds the second and final phase of the Property Tax System Re-platform project. This phase funds 7.50 FTE in Limited Term staffing and 1.00 FTE Permanent staff for 2.5 years. The staffing is as follows: • Assessor 4.50 FTE • Auditor–Controller 2.00 FTE • Tax Collector-Treasurer 1.00 FTE • 1.00 FTE existing Project Manager in Information Technology This project is funded from the Tax Loss Reduction Reserve. <u>Financial Information</u> Total Cost: $2,477,500 Amount of General Fund support: $0 Approved via the FY 2012-13 Budget Adoption	1. Reduce annual mainframe hardware and software costs operating costs by $400,000; 2. Avoid system obsolescence by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment; 3. Move Property Tax System data to a modern database; 4. Position the County to provide additional Property Tax related services electronically or on-line; and 5. Extend the life of the current system by a minimum of 10 years.	The implementation of a new, modern system to replace the current property tax system began in January 2013. Property Tax System data has been mapped and migrated by the vendor to the new database structure and the results reviewed by the County. Test data has been mapped and migrated to facilitate testing converted code. Three of the five groups of code have been converted, tested and delivered by the vendor. The County has completed testing two of the three groups of code delivered. Due to complexity in moving this set of applications and data to the new system just prior to the busy property tax season in the April to June timeframe, the new system go live is now scheduled for October 2015.	All five groups of Property Tax System applications were converted by the vendor and are in the process of final validation by the departments (Auditor-Controller-Treasurer-Tax-Collector and Assessor) along with the IT Property Tax support team. These applications are running on a Windows-Intel compatible platform and users employ a web browser to access the application. The new MS SQL server database is being used by the application. IT Developers have been trained to debug the application on the new platform. Testing yielded three critical factors that needed to be addressed by the vendor which included code reconversion and redelivery. Final validation by the Assessor and Tax Collector is not yet complete, which postponed the go-live date	Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until March 2016. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
			to March 2016.	

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Limited Term Financial Analyst I/II/III to backfill for existing staff who will be assigned to the Property Tax System Modernization project. The project is anticipated to last approximately 2.5 years. This limited term position is planned to be eliminated at the end of the project. Funding for these positions and the project will come from FC 266 – Countywide Automation. <u>Financial Information</u> Total Cost: $124,397 for FY 2012-13 Amount of General Fund support: $0 Approved via the FY 2012-13 Budget Adoption	The Property Tax System Modernization Project will: 1. Reduce annual mainframe hardware and software operating costs by $400,000; 2. Avoid system obsolescence by moving a critical set of applications, commonly described as the Property Tax System, from the mainframe to a more modern application and system development environment; 3. Move Property Tax System data to a modern database; 4. Position the County to provide additional Property Tax related services electronically or on-line; 5. Extend the life of the current system by a minimum of 10 years.	A professional services and software contract was awarded in December 2012 to convert the current property tax system to a Microsoft SQL database that will run on a modern Windows platform. The vendor and County are engaged in system design and data migration testing. The new system is projected to go live in March 2015. The position was filled at a lower level than anticipated resulting in budget savings in FY 2012-13 of $53,384. The position was vacant for most of FY 2013-14 The position was filled on October 23, 2014 in anticipation of increased workload due to testing activity from the Property Tax System Modernization project.	The position was utilized during FY 2014-15 to backfill for existing staff who are involved in extensive testing activity for the Property Tax System Modernization project. The position continues to be filled during FY 2015-16 as testing activity increases in anticipation of the revised project completion at the end of the fiscal year.	Intended results have not yet been achieved as the Property Tax System project is not anticipated to be completed until the first half of 2016. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Update and amend the County's Wasteload Allocation Attainment Plan (WAAP) to address the violations and deficiencies found during the April 2011 audit by the Regional Water Quality Control Board (RWQCB) and the United States Environmental Protection Agency (EPA). Funded by Los Osos Landfill reserve designation located in FC 230 – Capital Projects <u>Financial Information</u> Total Cost: $98,230 ($46,530 – consultant fees; $51,700 for on-going monitoring and sampling) Amount of General Fund support: $0 Approved via the FY 2012-13 Budget Adoption	The updated WAAP will encompass the following principle components: 1. Provide a clear and diligent process to demonstrate the current WAAP Best Management Practices (BMP) are sufficient for effectively abating pollutant sources, reducing pollutant discharges, and achieving waste load allocations for the relevant total maximum daily loads (TMDL); 2. Develop an approach to measure the effectiveness of BMP that demonstrates the wasteload allocation will be met; 3. Develop a monitoring program to confirm the WAAP approach, identify point sources, and assess discharges and receiving water quality for TMDL compliance. (Additional amendments to the WAAP may be required if the monitoring results reveal the discharge exceeds TMDL).	1. The WAAP update is complete and is awaiting approval from Central Coast Regional Water Quality Control Board (RWQCB). Water sampling is occurring monthly for those water bodies identified in the WAAP to measure the pollutant discharge that occurs from County lands/roads. The TMDL limits have been exceeded and appear to be randomly occurring in some areas. The WAAP will need amendments to further investigate sources of the pollutant load. 2. Depending on the resources available from the RWQCB, consultant services are likely needed to assist with measuring the effectiveness of BMPs and possible design of additional ones. Additional sampling sites are expected to be required in FY 2014-15 along with improvements for sampling safety. 3. A consultant will be needed in FY 2014-15 to design and prepare a Program Effectiveness	1. The pending review by the Regional Water Quality Control Board did not take place. New TMDL reporting requirements triggered by the 2013 MS4 Permit are now requiring a new WAAP that will include more requirements than the previous. New Total Maximum Daily Loads (TMDL) have also been assigned to Nipomo Creek and the Santa Maria Watershed. 2, 3. These two factors have resulted in the need to update the WAAP. This is in progress and is expected to be completed in mid to late 2016. As of March, 2016, the department is waiting to hear from the Regional Water Quality Control Board for comments on the WAAP.	Intended results have not yet been achieved. This project will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2012-13 and FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		Assessment and Improvement Plan (Permit Section E. 14) to be submitted to the RWQCB by June 2015.		

2013-14 Budget Augmentation Results

Administrative Office **Fund Center 104**

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
To fund a consultant to conduct an economic impact analysis of the Diablo Canyon Power Plant on San Luis Obispo County as directed by the Board of Supervisors on February 19, 2013. <u>Financial Information</u> Total Cost: $50,000 Amount of General Fund support: $50,000 Approved via the FY 2013-14 Budget Adoption	Allow the County and partner municipalities to better position themselves to implement a strategic plan in the event of the closure of the Diablo Canyon Power Plant.	The economic impact analysis was delayed and these funds were rolled forward in FY 2014-15.	Pacific Gas and Electric partnered with Cal Poly, the Nuclear Energy Institute and Productive Impact to produce an economic impact analysis for Diablo Canyon Power Plant. After a review of that study, it was determined that an additional study was not necessary and the $50,000 was returned to the General Fund at the end of FY 2014-15.	The intended results have not been achieved as another option was implemented. No further reporting is required.

Countywide Automation Replacement — Fund Center 266

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Microsoft Office 365 Project to replace the County's e-mail system. (Groupware Replacement Project) This project will be funded with depreciation charges for technology systems. <u>Financial Information</u> Total Cost: $725,000 Amount of General Fund support: $0 Approved via the FY 2013-14 Budget Adoption	Assuming a 100% cloud approach, the Groupware Replacement project will: 1. Reduce costs associated with providing email by approximately $200,000/year; 2. Avoid impending costs for an upgrade to the existing email system and servers – one-time cost of approximately $260,000.If a hybrid approach is used, where some users remain on servers, the savings will be considerably less or costs may be essentially the same as current costs; 3. The new system will utilize a platform that is the dominant e-mail platform in use today. It will also provide greater functionality and ease of use.	Pre-migration efforts resulted in an IT governance decision to cancel the Request for Proposal (RFP) process for professional services without entering contract negotiations, and put the Office 365 migration on hold. Reasons included: 1. Giving the Microsoft Office 365 service offering time to mature; 2. Allowing the County the opportunity to monitor progress of other California counties planning to migrate to Microsoft Office 365 in 2014; 3. Giving staff more time to prepare for the migration by replacing Lotus Notes eforms and simplifying system architecture; 4. Revisiting the business case for the groupware replacement project, as costs were determined to be higher for Microsoft Office 365 than for the current groupware environment. Efforts are now underway to: 1. Gain better insight into the evolving Microsoft service offerings and licensing options as they pertain to Microsoft	An IT governance decision was made to move forward with the purchase of Office 365 licenses after carefully considering the following: 1. Other counties were having success with Office 365 adoption; 2. Microsoft began offering a "government only" cloud that could meet stringent security compliance requirements; 3. Microsoft began offering additional migration assistance through a dedicated "On-Boarding Center" as well as credits toward professional services to assist in the migration. These offerings allowed IT to plan a project that would fit within the existing budget allocation. The email replacement project is now anticipated to be completed before the end of FY 2015-16.	The intended results have not yet been achieved. This item will again be reported on in the FY 2017-18 budget.

Countywide Automation Replacement **Fund Center 266**

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		Office 365; 2. Update project cost estimates. After a careful re-evaluation of potential costs, no savings will be realized. The cost of email will go up as the new system will include a range of functionality not available in today's Lotus Notes environment including mobile data features and the ability to collaborate and share information online. The implementation team will present a recommendation and options to the Steering Committee by March 2015; 3. Learn from other counties who implemented Office 365, and 4. Update goals, determine impact of Microsoft Office 365 implementation on the enterprise, and revisit associated project scope, budget, and timeline. Considering the number of active enterprise wide technology initiatives, and in order to mitigate the impact on County business activities, the planned go live date will likely be no earlier than January 2017.		

Social Services

Fund Center 180

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Social Worker I - IV to Adult Protective Services to provide case management services for elder and dependent adult clients. Funded with 2011 Realignment allocations for Adult Protective Services. <u>Financial Information</u> Total Cost: $69,765 Amount of General Fund support: $0 Approved via the FY 2013-14 Budget Adoption	1. Reduce the number of investigations that each Social Worker must complete by approximately 25%; 2. Provide case management services to elderly and dependent adult clients following investigations; and 3. Reduce the percentage of repeat referrals that Adult Protective Services receives from the current of 15%.	During the year, one Social Worker was on an extended leave so the results are not what were intended. 1. The average investigation case load per Social Worker decreased from 38 to 30, a reduction of 21%. 2. Adult Protective Services was not able to provide case management services following investigations due to the increasing number of investigations, which take much more time. 3. Adult Protective Services received 376 repeat referrals (out of 1,400 total) on clients that had been seen within the past 12 months, resulting in 26.8% repeat referrals.	Adult Protective Services has seen a rather dramatic increase in referrals in the FY 2014-15, with 2,055 received compared to 1,400 in FY 2013-14. 1. The average investigative case load per worker for this fiscal year was 34, an actual increase from the previous year. 2. As a result of increased case load, case management has not been implemented, but the use of a Structured Decision Making (SDM) assessment tool has occurred. 3. Although caseloads have increased, there were 436 repeat referrals of abuse, out of 2,055 on clients that had been seen within the past 12 months. Therefore, recurrence actually decreased from the prior fiscal year to 21% even though workers were handling more referrals.	Intended results have partially been achieved. This item will be reported on again in the FY 2017-18 budget.

Social Services

Fund Center 180

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Social Worker I - IV to the In Home Supportive Services (IHSS) program to provide ongoing case management and process applications more timely. Funded with State and Federal IHSS allocations <u>Financial Information</u> Total Cost: $69,765 Amount of General Fund support: $0 Approved via the FY 2013-14 Budget Adoption	Reduce the amount of time that it takes to process applications and determine eligibility for IHSS from over two months to 45 days.	The department was unable to fill this position in FY 2013-14 due to difficulties recruiting candidates for the Social Worker position. After a major work effort was put into recruiting and hiring, the department filled this position in September 2014. Actual results will be available after FY 2014-15.	There was a reduction in the number of overdue intake processing. 54% of IHSS applications processed by the unit were completed in less than 60 days, which is an improvement over the previous year. Of these, half were completed within 45 days. This number continues to improve as the new staff develops proficiency in the program.	The intended results have been partially achieved. This item will be reported on again in the FY 2017-18 budget.
Add 1.00 FTE Social Services Investigator to the Special Investigations Unit to assist with eliminating investigations backlogs and provide timely response to new referrals. Funded with State and Federal allocations for CalWORKs, CalFresh and Fraud Incentive programs	1. Eliminate a backlog of 5,200 investigation referrals within two years; 2. Increase the amount of client-caused CalWORKs overpayment recovery by 50%, from $153,889 to $230,834 within two years; and 3. Focus on early fraud detection to enhance cost avoidance by 50%, from $773,184 to	Intended results have not been achieved due to a variety of staffing and training issues. The investigator position was filled in January 2014 and primarily spent the remainder of FY 2013-14 in training. Training included required POST academy training, which the employee finished in December 2014. In addition to meeting training requirements, the department also experienced an	Staffing and training issues continue to exist for the Special Investigations Unit (SIU). The hiring of the new SIU Supervisor resulted in a vacancy of an SIU Investigator. That position was filled in June 2015. The new Investigator is currently attending the required POST training and is expected to return to work in January 2016.	Intended results have not been achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Financial Information Total Cost: $88,082 Amount of General Fund support: $0 Approved via the FY 2013-14 Budget Adoption	$1,159,776 within two years	unexpected vacancy in the Supervising Investigator position, beginning in August 2014. A new Supervising Investigator was hired in November 2014. It is anticipated that there will be a learning curve for both the new investigator and the new Supervising Investigator.		
Add 1.00 FTE Program Review Specialist to the Special Investigation Unit to assist with eliminating investigations backlogs and provide timely response to new referrals. Funded with a combination of State and Federal allocations and 1991 and 2011 Realignment funds. Financial Information Total Cost: $78,873 Amount of General Fund support: $0 Approved via the FY 2013-14 Budget Adoption	1. Eliminate a backlog of 5,200 investigation referrals within two years; 2. Increase the amount of client-caused CalWORKs overpayment recovery by 50%, from $153,889 to $230,834 within two years; and 3. Focus on early fraud detection to enhance cost avoidance by 50%, from $773,184 to $1,159,776 within two years.	The Program Review Specialist position was filled in August 2013. Approximately the first six months were utilized for training of the new position. 1. The Special Investigations Unit (SIU) received 1,679 new referrals during FY 2013-14. As of the end of FY 2013-14 there were 4,881 total referrals pending. The backlog of work has been brought current for the following: • DMV checks, referrals for federal drug charges, fleeing felon, program violation and SSN & property checks. • National prison match.	The promotion of a Special Investigations Unit (SIU) Program Review Specialist (PRS) to the investigator position resulted in a vacancy of the SIU PRS. That position was filled in September 2015 and the incumbent is currently in training. 1. There was an error previously reported. The actual log of open referrals was in fact 6,609 at the beginning of the FY 2014-15 instead of 4,881. SIU received 1,828 referrals during the FY 2014-15 and disposed of 3,786 referrals leaving 4,651 referrals pending as of the close of the FY 2014-15. SIU remains	The intended results have partially been achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		• Income Eligibility Verification System-Internal Revenue Service (IEVS-IRS)/ Beneficiary Earnings Exchange Record (BEER)/ Franchise Tax Board (FTB). • Diligent searches. 2. The SIU generated $190,675 in overpayments cost recovery for CalWORKs in FY 2013-14. 3. The SIU generated $571,373 in cost avoidance for CalWORKs in FY 2013-14.	current in the previous areas reported. 2. SIU generated $266,923 in overpayments cost recovery for the FY 2014-15. 3. SIU generated $805,100 in cost avoidance for the FY 2014-15.	

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Provide funds to 1) hire a consultant to conduct and analyze a target audience survey during FY 2013-14; and 2) add additional staff hours for the Storm Water permit educational requirements. <u>Financial Information</u> Total Cost: $45,964 Amount of General Fund support: $45,964 Approved via the FY 2013-14 Budget Adoption	Compliance with the new Phase II Storm Water Program permit by: 1. Surveying County residents to determine effectiveness of the past education programs and provide a baseline of knowledge for future program efforts; 2. Implementation of required education programs for the general public using Community Based Social Marketing, i.e., that will be communicated via radio, television, web-based social networks, web sites, brochures, and presentations; 3. Avoidance of Notices of Violations and/or fines for not effectively implementing the Storm Water Management General Permit requirements.	1. A survey will need to be accomplished twice during the permit's term. We anticipate the first survey to be in FY 2014-15 and the second in FY 2015-16. 2. The Phase II Storm Water Program under the 2013 Statewide Permit requires permittees to implement Community Based Social Marketing (CBSM) techniques as part of the Public Education element. The County intended to begin the CBSM effort during FY 2013-14, however, will be starting the program FY 2014-15. The non CBSM portions of the Public Education program continue to be implemented. 3. There have been no Notices of Violations and/or fines for not effectively implementing the requirements of the permit.	1-3. The performance of the required survey is now anticipated to be performed as a joint effort with the other local MS4 permittees. Progress is expected in late 2016 on determining the participants, method, and the cost sharing for the survey. The topic of the survey will be the shared Pollutants of Concern that each community has identified and incorporated into the Community Based Social Marketing effort of the past year.	Intended results have not yet been achieved. This project will be reported on again in the FY 2017-18 budget.

2013-14 Budget Augmentation Results

(Mid-Year Adjustments)

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Transfer $212,500 from the Tax Reduction Reserve, to provide the remaining cash match required for the $500,000 U.S. Department of Transportation Small Community Air Service Development Program Grant received by San Luis Obispo County Regional Airport in February 2010. This grant is to support the Airline Revenue Guarantee Program for San Luis Obispo County Regional Airport. In addition to the funding the County is contributing, over $1M in non-binding pledges were also made by the community to support this effort. <u>Financial Information</u> Total Cost: $212,500 Amount of General Fund support: $0 Approved via Board action on 11/5/2013 (Item #24).	1. Recruitment of additional air service out of San Luis Obispo Regional Airport. 2. Addition of a direct flight from San Luis Obispo to Denver on United Airlines.	Airports staff is continuing efforts to recruit additional commercial air service by working with its consultant and the airlines. Staff is now in discussions with two separate airlines, but has not yet received commitment from either for new air service. The Small Community Air Service Development grant is scheduled to expire on January 14, 2015. Airports submitted a request to extend the grant to the Department of Transportation (DOT) in December 2014. As of January 7, 2015, Airports had not received approval of the grant extension request from DOT. As of October 2013, Airports had collected over $1M of community pledges for purchase of new service airline tickets. If Airports is successful in obtaining a new service destination, the customers who pledged in October 2013 will be asked to purchase tickets and/or gift cards as a final commitment. If Airports is successful in	Working very closely with its consultant, community partners and the airlines, Airports staff is continuing efforts to recruit additional commercial air service. This past April, staff met with two airlines capable of providing Pacific Northwest service, followed by additional meetings with these carriers in June, and culminating with an airline headquarters meeting in November. Although a firm commitment has not yet been rendered, both carriers continue to express significant interest in providing new service to San Luis Obispo County. While Airports was successful in securing a 2nd grant extension which extended the expiration date from January 14, 2015 to January 15, 2016, a formal request was made on December 8, 2015 to extend the Small Community Air Service Development grant term to January 14, 2017. As of December 16, 2015, Airports had not received	The intended results have not yet been fully achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		obtaining new service, the earliest flight additions would take place in Summer 2015.	DOT approval on their request. As of October 2015, Airports, through local community partnerships, had more than tripled available funding commitments for new route marketing to approximately $174,000. If Airports is successful, the earliest this proposed new destination service could commence would be summer 2016.	

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Replace the Nipomo Community Park playground. Funded with $120,000 from Quimby Fees for District 4. Financial Information Total Cost: $120,000 Amount of General Fund support: $0 Approved via Board action on 6/17/2014 (Item #7)	Additional funding will enable continuation of a project to remove and replace the aging Nipomo Community Park playground. Improvements will include replacing the existing wooden playground border with a concrete curb and replacing existing wood chip surfacing with resilient rubber to provide for enhanced access for disabled users.	In December 2012, the Board of Supervisors approved the Nipomo Park Master Plan and certification of the Environmental Impact Report (EIR). On January 18, 2013 a community group filed suit to prevent the County from pursuing construction of all elements of the Master Plan. Assuming successful defense of the approved Master Plan and EIR in the Summer of 2015, the project initiation is planned to follow the completion of litigation and is expected to be completed within a year.	The suit seeking to prevent the County from pursuing elements of the Master Plan is scheduled for trial with a probable outcome in 2016. Assuming successful defense of the Master Plan and EIR, the project will proceed. This project will replace the playground using $250,000 from Park Facility Fees in addition to the $120,000 Quimby Fees allocated.	The intended results have not been achieved due to the noted legal action. This item will be revisited in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
Add 18.00 FTEs in various classifications to support implementation of changes to the CalWORKs and CalFresh programs. The new positions include: nine Employment Resource Specialists, three Program Review Specialists, five Administrative Assistants, and one Supervising Administrative Clerk. The cost of these positions will be fully offset with Federal and State allocations. <u>Financial Information</u> Total Cost: $1,346,827 Amount of General Fund support: $0 Approved via Board action on 11/26/2013, Item #26	The addition of the funding and positions will enable the County to: 1. Implement the provisions of Assembly Bill (AB) 74 pursuant to Federal and State statutory requirements. AB 74 includes requirements for early engagement strategies for CalWORKs Welfare to Work participants such as Expanded Subsidized Employment (ESE), Robust Appraisal and Family Stabilization; and 2. Reduce processing time and increase accuracy in the administration of the CalFresh Program; and 3. Meet financial obligations as a member of the CalWIN consortium to enable changes to the CalWIN system as required by the Affordable Care Act.	1. The department began to implement the provisions of AB 74 in FY 2013-14, pursuant to Federal and State statutory requirements. Policies and procedures for ESE and Family Stabilization programs were developed to begin training staff. Robust Appraisal was delayed by the State's delay in developing an appraisal tool for counties. ESE service contracts were developed in FY 2013-14 and executed in FY 2014-15. 2. The State mandated timeframe for processing approvals or denials for CalFresh is 30 days. The processing time frame for approvals was met 6% more of the time (increased from 93% to 99%); and the processing time frame for denials was met 22% more of the time (increased from 76% to 93%). 3. Financial obligations as a member of the CalWIN consortium have been	1. ESE contracts were developed with two vendors that enrolled 91 ESE participants. Of the 91 enrollees, 33 completed a training program and 43 acquired unsubsidized employment or are enrolled in post-secondary education. 20.00 FTE Employment Services Supervisors and 30.00 FTE Employment Resource Specialist IVs were trained and implemented Family Stabilization that resulted in 77 Family Stabilization cases. Staff Development received training on Robust Appraisal, specifically on the Online CalWORKs Appraisal Tool (OCAT) in preparation for implementation in September 2015. 2. The State-mandated timeframe for processing both approvals and denials of CalFresh is 30 days. The timeframe	Intended results have been partially achieved. This item will be reported on again in the FY 2017-18 budget process.

Budget Augmentation Description	Intended Results	Actual Results FY 2013-14	Actual Results FY 2014-15	Administrative Office Comments
		met and the CalWIN system was updated as required and needed for the implementation of the Affordable Care Act.	for processing CalFresh approvals within 30 days was met 97.6% of the time and the timeframe for CalFresh denials was met 92.6% of the time. Due to increased accuracy, the cost of over-issuances caused by Administrative errors has decreased by 20.5% (from $313,748 in FY 2013-14 to $249,364 in FY 2014–15). 3. Financial obligations related to membership of the CalWIN consortium continue to be met.	

2014-15 Budget Augmentation Results

FY 2014-15 Budget Augmentation Request Results

Administrative Office **Fund Center 104**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Limited Term Administrative Analyst II to support the replacement of the budget preparation software system. Funding for this position will come from FC 266 – Countywide Automation. Financial Information Total Cost: $106,538 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	This position will ensure that the Administrative Office is able to provide the full-time, dedicated resource that was identified as being required to support the budget preparation system replacement project.	The position was filled in January of 2015. The project to replace the budget system is currently underway and on track and will go live in the Fall of 2016, to be used for FY 2017-18 budget development.	Intended results have not yet been achieved as the new budget system is not anticipated to be on-line until the middle of FY 2016-17. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Historic Map Preservation and Storage - Year 2 of 6 Continuation of funding to properly preserve, scan for digital retrieval, microfilm, and acquire storage for historic maps. Funded through FC 266-Countywide Automation Replacement (total cost of $150,000 over six years) <u>Financial Information</u> Total Cost: $25,000 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Properly preserve historic maps over a six year period on the following timetable: • Year 1- Township Maps of San Luis Obispo County • Year 2- Subdivision and Re-subdivision Maps • Years 3-4- City of San Luis Obispo blocks • Years 5-6- City of San Luis Obispo subdivisions 2. Assure that historic maps are preserved and available as a part of the public record for 300-500 years in the future as required by the State. 3. Enable the department to provide accurate assessment rolls in the future.	Year 2: The 45-Page Subdivision and the 41-Page Re-subdivision Maps were funded for preservation: Three large-scale map volumes were permanently preserved, encapsulated, and placed in our on-site storage cabinets for access by staff and the public. These maps have also been digitized for increased access, decreased wear and tear on preserved maps, and as a back-up to guard against catastrophic loss. The 41-Page Re-subdivision Maps were preserved and digitized as intended. The 45-Page Subdivision Maps were not preserved due to their smaller map size. Two other larger sized volumes of maps were preserved instead. All of the maps yet to be preserved can be placed in smaller sized envelopes.	The intended results have been achieved.
Add 2.00 FTE Appraiser Trainees <u>Financial Information</u> Total Cost: $142,562 Amount of General Fund support: $142,562	The addition of these positions will assist in addressing the continually increasing workload due to the economic downturn, which has caused an increase in the number of Prop 8 “Decline In Value” reviews. Each full-time position would address on	At the completion of the FY 2013-14 assessment roll (June 30, 2014) the backlog consisted of 8,366 work items, only a 91% completion of the roll. For FY 2014-15, 96% of the assessment roll was completed, surpassing our projected goal of 93%. Each of	The intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Approved via the FY 2014-15 Budget Adoption	average 700 work items annually equating to approximately $327,040 in additional Property Tax Revenue added to the roll.	the two additional Appraiser Trainees achieved the goal of completing approximately 700 work items adding the expected $327,040 in additional revenues to the roll. Both were instrumental in reducing the backlog for the FY 2014-15 assessment roll (June 30, 2015) to 3,314 work items.	
Add 1.00 FTE Auditor-Appraiser I <u>Financial Information</u> Total Cost: $85,151 Amount of General Fund support: $85,151 Approved via the FY 2014-15 Budget Adoption	Assist in addressing increased workload, including a backlog of outstanding audits. The estimated average increase in assessment value is $96,000 per audit. The new position would handle 55 audits a year, which would increase the assessment roll by $5,280,000. The timely enrollment of the unsecured supplemental assessments could also increase the assessment roll by an estimated $10,300,000 ($155,800 estimated increase in property tax revenue to County).	The added Auditor-Appraiser was instrumental in the completion of the State Mandated audit requirements for San Luis Obispo County for the 2014-15 assessment year. The additional Auditor Appraiser completed twelve mandatory audits after several months of training and contributed heavily to the annual processing workload allowing other more seasoned auditors to complete a larger number of audits. The projected additional 55 audits are a long term goal as training and mentoring at the entry level are time consuming for the both the trainer and the new auditor. All unsecured supplemental bills were issued for FY 2014-15 due to the addition of an Auditor-Appraiser, resulting in an increase of $5,000.000 in assessed value. The 2014-15 unsecured assessment roll was closed timely,	The intended results have not been met. This item will be reported on again in the FY 2017-18 budget process.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
		the assessment review numbers were reduced by 22% and unsecured roll revisions were reduced by 52%. The unsecured assessment roll increase 1.77% at roll close.	

FY 2014-15 Budget Augmentation Request Results

Auditor-Controller **Fund Center 107**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Increase 0.50 FTE Auditor-Analyst II to full time adding capacity to continue the implementation of Enterprise Financial System (EFS) development requests from departments and increase end-user trainings. <u>Financial Information</u> Total Cost: $96,904 (total cost of an Auditor-Analyst II) Amount of General Fund support: $58,378 Approved via the FY 2014-15 Budget Adoption	1. Reduce the number of days to post workers compensation charges after payroll has posted from 26 times per fiscal year to zero. 2. Increase the number of times EFS training courses are offered to end-users by adding one additional session of the Departmental Accounts Payable and Requisitioning and Receiving courses. 3. Develop two new EFS training courses. 4. Develop course materials for the Advanced Financial Reporting course and update the Departmental Controlling course materials. Hold a training session for each.	1. Beginning July 2014, workers compensation charges posted to departments' budgets on the same day as payroll without exception. 2. In FY 2014-15, EFS Support added one session of Departmental Accounts Payable and one session of Requisitioning and Receiving as part of an on-going effort to increase both the number of courses offered and the number of times each course is offered. 3. In FY 2014-15, EFS Support began work to develop a new three part course on SAP reporting using Business Objects. The course was presented to select departments in August 2015. In addition, staff began developing a new Project Systems course which will be presented to departments in late FY 2015-16. 4. In FY 2014-15, EFS Support developed course materials for the Advanced Financial Reporting course and updated the Departmental Controlling course materials. One training session for each was conducted during the year.	Intended results have been achieved for items #1-2 and #4. Intended results have not been achieved for item #3. This item will be reported on again in the FY 2017-18 budget process.

Behavioral Health **Fund Center 166**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 14.00 FTE (various classifications noted below) to offer new Intensive Outpatient Treatment and expanded Voluntary Treatment services to those with Substance Use Disorder, now available due to the implementation of the Affordable Care Act. The positions added include: • 6.00 FTE Drug and Alcohol Specialist (DAS) I/I/II/IV • 3.00 FTE DAS I • 1.00 FTE Drug and Alcohol Program Supervisor • 2.00 FTE Administrative Assistant III • 1.00 FTE Health Information Technician • 1.00 FTE Administrative Services Officer I/II The cost of these positions will be partially offset with Drug Medi-Cal revenue. <u>Financial Information</u> Total Cost: $1,077,662 Amount of General Fund support: $429,697 Approved via the FY 2014-15 Budget Adoption	1. A minimum of 200 individuals will be served each year. 2. 100% of those completing the program will have at least 90 days of abstinence prior to completion, complete all individualized treatment plan goals and be engaged in a long-term community based support program such as a twelve step program, community based counseling, etc. 3. Clients in the Intensive Outpatient Program will stay in the program and average of 180 days (the minimum length of time for effective substance use disorder treatment).	1. For FY 2014-15, a total of 97 individuals participated in the new Intensive Outpatient Treatment program and 162 individuals participated in the expanded Adult Outpatient Treatment, for a total of 259 clients. 2. Because the program started in the 3rd quarter of FY 2014-15, it is too early to measure completion rates as newly enrolled clients have not yet completed the program. 3. The San Luis Obispo Clinic was the first clinic to implement the program in FY 2014-15, and was operating for only nine months. The length of stay for that partial year was 5.34 months. It is anticipated that this goal of 180 days (6 months) will be met in FY 2015-16.	The intended results have been partially achieved. This item will be reported on again in the FY 2017-18 budget.

Behavioral Health **Fund Center 166**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Conversion of 5,200 Temporary Help hours to five half-time positions (2.50 FTE) to ensure continuity of regular staffing at the Psychiatric Health Facility (PHF) and to reduce reliance on temporary help for work of an ongoing nature. The positions added include: • Four 0.50 FTE Mental Health Worker Aides (2.00 FTE) • One 0.50 FTE Mental Health Therapist II The cost offset comes from savings in temp help expenditures. <u>Financial Information</u> Total Cost: $141,649 Amount of General Fund support: $51,824 Approved via the FY 2014-15 Budget Adoption	1. Increase predictability and consistency of staff at the PHF which is expected to improve the quality of care provided to patients. 2. Ensure compliance with the County's policy on use of Temporary Help.	The conversion of Temp Help to permanent staff has: 1. Increased the predictability and consistency of staff at the PHF, and 2. In FY 2014-15, temp help hours for Mental Health Worker Aides on the PHF were reduced by 5,222 hours. Mental Health Therapists 7,907. Thus complying with the County's policy of not utilizing non-permanent staff for work of a continuing nature.	Intended results have been achieved.
Add 3.00 FTE Drug and Alcohol Specialist IIs to provide Substance Use treatment services at nine high schools that have been recently certified as Drug Medi-Cal sites. This results	1. Participants will reduce their self-reported drug and alcohol use in the past 30 days. 2. Participants will report improved grades and class	In FY 2014-15, 3.00 FTE Drug & Alcohol Specialist II's were added to the Prevention & Outreach Division. Initially, four of the nine newly certified Drug Medi-Cal (DMC) schools requested	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
in contracts to provide these services to six new high school sites and continuation of services at three high schools currently receiving early intervention services. This budget augmentation request will generate Drug Medi-Cal revenue in excess of the total cost of the three positions being added because resources currently paid for with General Fund support will also be eligible for Drug Medi-Cal reimbursement. There will be a net savings of $53,912 in General Fund support in the first year. <u>Financial Information</u> Total Cost: $282,556 Amount of General Fund support: $0 ($53,912 in savings) Approved via the FY 2014-15 Budget Adoption	attendance. 3. Participants will report reduced feelings of depression and other behavioral health indicators such as reduced suicidal ideations.	services via contracts with the Department. Other Districts increased their contracts for additional (non DMC) student support counseling services. One of the three Specialists was assigned to provide non DMC services, for which the schools paid for directly. The results for those students served by the 3.00 FTE (both DMC and non DMC sites) are as follows: 1. Participants reduced their self-reported drug and alcohol use by an average of 33%. 2. Participants improved grades and attendance- grades improved by 17%; absences were reduced by 14%. 3. Participants reported a 31% reduction in feelings of depression and anxiety, a 73% reduction in suicidal ideation, and a 79% reduction in self-harm.	

Behavioral Health **Fund Center 166**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Convert a vacant 1.00 FTE Administrative Services Officer I to a 1.00 FTE Mental Health Therapist IV to provide technical assistance and training to staff and community-based organizations using the Behavioral Health Electronic Health Records (BHEHR) system. Funded with Mental Health Services Act revenue. Financial Information Total Cost: $35,792 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Ensure optimized use of the BHEHR system. 2. Provide 8-10 trainings a month to an estimated 325 system users. 3. Track training courses and participants through the E-Learning system.	1. The conversion of the Administrative Services Officer to a Mental Health Therapist has provided a licensed clinical staff person as a trainer for the BHEHR system, to train on how and what to document. 2. 55 trainings were held with 137 attendees. More one-on-one technical assistance was needed to be provided to temporary physicians than originally anticipated, resulting in a lower number of attendees than expected. There was a three-month (January 2015 – April 2015) gap in services due to a key staff vacancy. During the final quarter of FY 2014-15 (April – June) staff averaged over eight trainings per month and was performing at target. 3. The utilization of E-learning for the BHEHR system is being phased out as it is not as successful as personalized training.	Intended results were partially achieved due to a staff vacancy. This item will be reported on again in the FY 2017-18 budget.
Add 1.00 FTE Health Information Technician I/II/III to the BHEHR system technical team to serve as a dedicated help desk support person.	1. Assist in resolving an anticipated 75% increase in requests for technical support as a result of the implementation of a new	1. 862 requests for technical assistance were resolved during FY 2014-15. Requests to unlock user accounts and provide simple	Intended results have been achieved. The department overestimated the number of technical support requests that would be generated in FY 2014-

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
This will be fully revenue offset with Mental Health Services Act and Federal Medicaid revenue. <u>Financial Information</u> Total Cost: $67,333 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	module for the Psychiatric Health Facility (an increase from 800 requests to approximately 1,400). 2. Ensure optimized use of the BHEHR system.	technical assistance were not included in the overall ticket count. 2. The addition of the Health Information Technician has provided a dedicated staff person to respond to reported issues with the BHEHR system. This has provided consistency in responses.	15.
Add 3.00 FTE to expand and conduct medically-based outpatient detoxification services. The positions added include: • 0.50 FTE Nurse Practitioner • 0.50 FTE Health Information Technician • 2.00 FTE Drug and Alcohol Specialist I/II/III/IV The cost of these positions will be partially offset by Drug Medi-Cal revenue. <u>Financial Information</u> Total Cost: $240,255 Amount of General Fund support: $150,013 Approved via the FY 2014-15	1. 150 individuals will be treated in the first year. 2. The average length of stay in the program for detox clients will be 60 days. 3. Less than 15% of clients treated will be re-admitted into the program within one year. 4. 90% of clients will be offered case management services in order to provide essential linkages to treatment and social services. 5. 70% treated clients will complete detoxification.	1. 175 individuals participated in the outpatient detoxification services during FY 2014-15. 2. The average length of stay in the program was 79 days. 3. A total of 13 (7%) clients were re-admitted into the outpatient detoxification program within one year. 4. 61% of clients received case management services in order to provide essential linkages to treatment and social services. Case management services were impacted by staff changes in the Specialist II position providing those services. 5. 31% of clients who participated in the program completed the full detoxification treatment	The intended results have been partially achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Budget Adoption		program. Since FY 2014-15 was the first year of the program, maximum caseload targets were set too high and, due to the large demand for services, resulted in less face-to-face time and focused treatment with the clients. This led a higher than expected program dropout rate. Late in the fiscal year, case load targets were revised to a maximum of 40 clients in an effort to improve completion rates.	
Purchase two vehicles, two laptops and fund other startup costs for an expansion of Mobile Crisis Services. Funded by Mental Health Services Act revenue. <u>Financial Information</u> Total Cost: $87,445 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	Three full-time positions were reassigned from other programs to staff this expansion of Mobile Crisis Services. The staff and additional vehicles and equipment will: 1. Reduce response times to local hospitals and law enforcement agencies requesting crisis intervention and transport by 10% - response will be within 45 minutes or 70 minutes for rural and remote areas. 2. Reduce admissions to the Psychiatric Health Facility by 20 clients a year (clients to be placed in other, more appropriate levels of care.) 3. Using the electronic Health	The three person Crisis Resolution Team (CRT) has closely served both Sierra Vista Hospital and French Hospital. In FY 2014-15 the CRT team provided 136 face to face mental health evaluations. 1. The County did not have a recorded baseline of what wait times were in FY 2013-14, but anecdotally wait times have been reduced. CRT is able to respond to the central hospitals within 10 minutes as reported and tracked by the CRT team. The rural and remote areas are carried out by another program and contracted provider, and are not a part of this budget augmentation.	The intended results have been partially achieved. The department will report on this item again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
	Records system, crisis clients will be monitored and information will be expedited to reduce barriers to access to services. As a result, there will be a 10% increase in crisis clients (approx. 51 individuals) entering outpatient mental health services.	2. In 2013-14 there were 1,146 total admissions to the PHF and in 2014-15 there 1,122. The CRT team helped to provide lower level of care to clients and helped maintain the 16 bed capacity of the PHF, reducing total admissions by 24. 3. Of the 136 clients served, 30% (41) were referred to the PHF, 45% (61) were stabilized in the field and referred to a lower level of care, 14% (19) were placed out of County, and 11% (15) were referred to Outpatient County Mental Health services.	

Clerk-Recorder **Fund Center 110**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Restoration and Preservation of historical record books and indices. Financing will come from Clerk-Recorder's restricted revenue. <u>Financial Information</u> Total Cost: $100,000 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Provide the public and researchers with enhanced images making the records easier to read and enhancing the searching of these records. 2. Restore and preserve 73 historical records of the County for posterity.	1. After restoration, it was determine that document image quality was good and therefore, imaging would not be required. As a result, there was a savings in the amount of approximately $7,000. 2. 73 books were restored (pages were de-acidified, repaired, and encapsulated) then re-bound in newly-designed water-resistant covers providing additional protection at no extra cost.	Intended results have been achieved.

County Fire **Fund Center 140**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Augment Winter Staffing at Station 51 - Shandon Add three limited term Firefighter-II positions during the six-month non-fire season each year (equivalent to 1.50 FTE) to the County's fire service contract with CAL FIRE. <u>Financial Information</u> Total Cost: $183,046 Amount of General Fund support: $183,046 Approved via the FY 2014-15 Budget Adoption	During non-fire season, a third firefighter will respond to all incidents assigned to Station 51 - Shandon, even when no Paid-Call Firefighters (volunteers) are available. (Shandon is fully staffed during fire season at State expense.)	The additional Firefighter II positions were hired and assigned to Station 51 – Shandon when fire season ended in November 2014. Since that time, they have responded with permanent staff to numerous emergencies, ensuring a third firefighter responds to all incidents during non-fire season, even when no Paid-Call Firefighters (volunteers) are available.	The intended results have been achieved.

Countywide Automation Replacement

Fund Center 266

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Information Technology project management and technical staff support to identify the requirements for, and implement a replacement for the current Sheriff Warrants system. Funding for this resource will come from FC 266 – Countywide Automation. <u>Financial Information</u> Total Cost: $75,000 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	The dedication of IT resources to this project will help to migrate the current system to a modern computing platform, provide business monitoring and reporting capability and provide integration with the new Criminal Justice Information Systems (CJIS) being implemented by the District Attorney, Probation and Superior Court. The new Warrants system will provide the necessary functionality to issue, recall, and re-issue warrants.	During FY 2014-15 requirements were completed and the software development implementation began for the replacement of the Sheriff Warrants system. A contract and requirements for a vendor to provide Warrants/Department of Justice (CLETs) interfaces was completed and development began. The project is approximately 75% complete and scheduled for completion in April 2016.	Intended results have not been achieved. This item will be reported on again in the FY 2017-18 budget.
Information Technology project management to carry out an e-government strategic plan and content management system selection request for proposal (RFP) including global website redesign project management. Funding for this resource will come from FC 266 – Countywide Automation. <u>Financial Information</u> Total Cost: $65,000	The dedication of IT resources to this project will help provide direction on how to move forward with e-government and the implementation of a new content management system. A new content management system will update or replace the County's existing website allowing for integration of mobile device functionality, social media and Americans with Disability Act compliance.	A multi-phased plan was approved by IT governance to issue an RFP to replace the existing content management system (CMS) and redesign the County's website to make it more user-friendly, mobile device compatible, and ADA compliant. Responsibilities for managing the content management system were documented in preparation for assigning website administration duties to a dedicated technical resource.	Intended results have not been achieved. This item will be reported on again in the FY 2017-18 budget.

Countywide Automation Replacement — Fund Center 266

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption		Future phases will include an on-going review of website content by all departments, followed by strategic planning to further expand County services on-line.	
Update countywide digital aerial imagery to be used for enterprise GIS purposes. The imagery will be purchased through the SLO Regional GIS Collaborative (SLORGC). Funding for this project will come from FC 266 – Countywide Automation. <u>Financial Information</u> Total Cost: $75,000 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Reduce the overall County cost for reviewing community plans, infrastructure, facility management and safety issues by providing desktop access to current imagery. 2. Provide all County staff the ability to utilize photographic imagery that can be shared and used for a variety of projects as opposed to static, hard copy photographic prints. 3. Decrease amount of staff time spent verifying property information for valuation and land-based permits by at least 10%. 4. Decrease staff time spent reviewing property for compliance with current standards. 5. Provide ability to produce multiple maps with imagery included which can be used for a variety of purposes. 6. Reduce the amount of time preparing disaster mitigation plans: Fire defense, flooding, landslide/mudslide risk, etc. Improves emergency response	Updated countywide digital aerial imagery to be used for enterprise GIS purposes. Flight acquisition began in the summer of 2014. This project is expected to end by April 2016.	Intended results have been partially achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
	times. Citizen safety and property protection strategies will be enhanced resulting in less property loss. 7. Increase accuracy of traffic collision locations and encroachment permit mapping due to the use of the current aerial imagery as a base layer by at least 50%.		

Office of Emergency Services

Fund Center 138

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Contract with a consultant to develop a disaster recovery and continuity of government planning template. <u>Financial Information</u> Total Cost: $24,500 Amount of General Fund support: $12,250 Other Funding: $12,250 in Emergency Management Performance Grants (EMPG). Approved via the FY 2014-15 Budget Adoption	Develop the equivalent of a standard operating procedure that will provide guidance on the extensive disaster recovery processes for State, Federal and related recovery efforts in order to be eligible for State and Federal disaster assistance.	While this project has carried over into FY 2015-16, it has extended beyond the expected guidance document for disaster recovery purposes. There have been significant changes in both the Federal and State recovery processes which are being incorporated into this project to ensure the most effective recovery guidance possible. In addition to development of this guidance, Office of Emergency Services (OES) staff has been receiving formalized training from Cal OES and other recovery experts and the information is being incorporated into the final document. OES shared the recovery information with countywide jurisdictions and agencies including County departments with a training session held in the Board of Supervisors' Chambers in December 2015. That in turn resulted in OES beginning to work with the Auditor's Office on enhancing the County's recovery process. Due to increased cost, this project is being implemented by a temporary help employee instead of a consultant. This project is intended to be complete by the end of FY 2015-16.	Intended results have not yet been achieved. This item will be reported on again in the FY 2017-18 budget.

Office of Emergency Services

Fund Center 138

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Implement readiness guidelines from the state-wide TsunamiReady Program and provide community education including hazard awareness and response planning. This will be partially funded by $1,200 in EMPG funds. Financial Information Total Cost: $12,000 Amount of General Fund support: $10,800 Approved via the FY 2014-15 Budget Adoption	Participation in the TsunamiReady program will insure collaboration between local and state jurisdictions and provide the public with visual reminders and information regarding tsunami threats along the coastline.	Due to staffing shortages and a vacancy during FY 2014-15 this project was not completed. No augmented General Support funds were expended for this item. In addition, the funds were never incorporated into the FY 2014-15 Office of Emergency Services (OES) budget.	No further reporting will be required as this project was not implemented due to staffing issues. Additionally, the funds were never allocated due to an accounting error. As a result, a budget adjustment would have to go to the Board to reappropriate the funds. If the staffing situation changes, OES will return to the Board with a request to implement the project.

FY 2014-15 Budget Augmentation Request Results

Human Resources **Fund Center 112**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 2.00 FTE HR Analyst Aide – Confidential positions and 1.00 FTE Administrative Assistant III to enhance the HR Department's capability to serve the County as a strategic business partner. <u>Financial Information</u> Total Cost: $192,919 Amount of General Fund support: $192,919 Approved via the FY 2014-15 Budget Adoption	1. Analysts will transfer certain tasks to HR Analyst Aides, so their time is freed up to implement industry best practices, providing strategically focused HR services. Anticipated increase in analyst time spent on recruitment processing (from 40% to 80%), due to demographic trends, will be avoided. Analyst time spent on recruitment will remain at 40% or decrease to 35%; however, analyst time will be spent more on value-added selection services and less on routine process work. 2. Improve quality and reduce transaction time in the recruitment and selection process from the current target of 60 days to 50 days to list in FY 2014-15. Quality improvement will be initially assessed with customer satisfaction ratings, which are expected to rise from the current target of 85% to 90% in FY 2015-16. 3. Increase job specification updates to 10% of most outdated specifications per year.	1. Analysts successfully transferred certain recruitment processing tasks (such as 'routine minimum qualification' screening) to HR Analyst Aides, and avoided the impending increase in their time spent on recruitment processing. Analyst time spent on recruitment activities remains at approximately 40%. Note, this was also facilitated by the introduction of the new technology (see below). Analysts were able to devote proper time to strategically focused HR services (such as increasing the number of CEB talent measurement assessments used in the selection process, aligning the competencies sought in the recruitment/selection process with those evaluated in employee performance measurement, and improving our social media interface to reach a wider pool of candidates). Success in this arena can be measured by the increased satisfaction in our strategic business partner relationships. Client satisfaction with HR as a business partner, as surveyed at the end of each year,	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
		increased 12% in FY 2014-15 from 52% in FY 2013-14 to 64% in FY 2014-15. 2. Service quality goals and transaction time reductions were met as planned. The performance measure "Days to List" was reduced from 62 days at the time this BAR was written, and 55 days in FY 2013-14, to 41 days in FY 2014-15. Additionally, total time to fill positions went from 160 days in FY 2013-14 to 98 days in FY 2014-15. Customers rating HR services as satisfactory or better rose to 92% in FY 2014-15. These results were achieved despite a 23% increase in recruitment volume. 3. Of 591 job specifications, 131 specs (22%) were updated, replaced with new specs, or slated for removal due to obsolescence.	
Add 1.00 FTE Limited Term Personnel Analyst to support the implementation of the on-line application on-boarding and performance management system (to replace JobApps). Funding will come from FC 266 – Countywide Automation.	Replace the outdated applicant tracking system with new industry standard system. Implement technology solution for current manual onboarding and performance management systems.	The applicant tracking system was successfully replaced with a new industry standard product, NeoGov. The NeoGov system's three elements (applicant tracking, employee onboarding, and performance management) were installed within FY 2014-15. The Applicant tracking and onboarding elements are fully integrated and	The intended results have not been achieved as a result of the expanded scope to include competency based performance management. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
<u>Financial Information</u> Total Cost: $80,337 Amount of General Fund support: $80,337 Approved via the FY 2014-15 Budget Adoption		functioning. The project's scope was expanded to make the performance management module fully 'competency based'. As part of the FY 2015-16 budget, a BAR was approved to extend the 1.0 FTE Limited Term Personnel Analyst position for one more year to continue implementation/optimization of the the NEOGOV and CEB talent measurement systems.	

Law Enforcement Medical Care **Fund Center 184**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 0.50 FTE Correctional Nurse Supervisor and 1.00 FTE Administrative Services Officer (ASO) to improve the span of control of supervision of the jail medical staff and to shift responsibility of the administrative oversight/responsibility from the Correctional Nurse Supervisors to the new ASO. Revenue from the 2011 Public Safety Realignment (AB 109) will be used to offset $35,271 of the cost of these added positions. <u>Financial Information</u> Total Cost: $117,181 Amount of General Fund support: $81,910 Approved via the FY 2014-15 Budget Adoption	1. The number of direct reports supervised by the existing 0.75 FTE Correctional Nurse Supervisor will be reduced from 26 to 14. 2. Jail Medical and Mental Health administrative and clinical policies will be reviewed and updated annually. 3. The rate of inpatient days per annual average daily population will decrease by 10%.	1. With the addition of the 0.50 FTE Correctional Nurse Supervisor (CNS) and the 1.00 FTE ASO, the number of staff directly reporting to the previously existing 0.75 FTE CNS has been reduced from 26 to 16. At the end of FY 2014-15, 16 people reported to the original CNS. Given the subsequent addition of more staff for jail medical, reducing the number of direct reports to 14 is not achievable. 2. Jail Medical and Mental Health administrative and clinical policies underwent a large-scale revision beginning in FY 2014-15. That revision remained in progress during FY 2015-16 and is expected to be completed by January 2017. 3. The rate of inpatient days per annual average daily population (ADP) increased slightly due to a fall in the ADP, but less than 1% from the baseline average rate of 0.1560 (122 days / 782 Total ADP) established in FY 2013-14. In FY 2014-15 the rate increased to 0.1561 (106 days / 679 Total ADP). It therefore did not meet the targeted rate decrease of 10%. The number of inpatient days declined 13.11% (from 122 to 106) while the Total ADP declined 13.17% (from 782 to 679). However, the number of inmates	Intended results have not been achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
		with a hospitalization of 10 days or more fell from 6 in FY 2013-14 to 2 in FY 2014-15.	

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Land Use Technician <u>Financial Information</u> Total Cost: $69,146 Amount of General Fund support: $69,146 Approved via the FY 2014-15 Budget Adoption	The following services will be available at the North County Service Center: 1. Building Permit Customer Service (General Public Information) 2. Construction Permit Submittal 3. Construction Permit Review 4. Payment of Fees for Construction Permits 5. Pick up of Issued Construction Permits	The Building Permit Counter at the North County Service Center (NCSC) opened at the end of August 2014. Hours of operation are M/W/F 7:30-4:00. This newly established Building Permit Counter staffed by a Building Division Land Use Technician has facilitated customer service by allowing the public to: 1. Access general land use information 2. Submit construction and land use permit applications 3. Obtain construction permit review 4. Pay permit fees 5. Receive issuance and pick-up construction permits. Due to the continued rebound in the economy and increase in Land Use and Building Permits, customer service contacts continue to increase. Walk-in clients, pre-application meetings and research requests for FY 2014-15 were 19,636. Of these, 766 were contacts at the North County Service Center (NCSC).	Intended results have been achieved.

Probation **Fund Center 139**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 Limited Term Deputy Probation Officer (DPO) to provide additional services to families with students enrolled in San Luis Obispo County Office of Education Court and Community schools, as well as students enrolled in district schools countywide. This position was requested by the County Office of Education (COE). This position will be funded entirely by COE. <u>Financial Information</u> Total Cost: $141,511 Amount of General Fund support: $0 Approved by the Board April 8, 2014 and via the FY 2014-15 Budget.	1. Improved coordination of services by allied agencies to families of truant minors. 2. Increased case management services to families of truant minors. 3. Increased school attendance of truant minors being served.	Part way through FY 2014-15 the County Office of Education decided to shift the funding for this position from truancy to school resource officer duties at Loma Vista school. The information below provides results for the period during which this position provide truancy services as originally intended. 1. Chaired the County-Wide School Attendance Review Board to coordinate truancy efforts. Assisted the Juvenile Deputy District Attorney chair the monthly School Resources Officer meeting to coordinate truancy efforts with the police departments and Sheriff's Office. Served as the San Luis Obispo County representative for the State School Attendance Review Board. Created the Truancy Citation procedure for the County of San Luis Obispo. Trained all of the County's school based probation, police and sheriff staff assigned to the schools. 2. Case managed 103 cases. Made approximately 40 home visits to work with families with truant minors. Attended Individual Education Programs for approximately 12 truant minors. 3. 21 out of 103 cases managed by the truancy DPO were dismissed by the court due to improved attendance.	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Program Manager II, 1.00 FTE Department Automation Specialist, and $50,000 in funds to hire consultants and/or purchase required tools to develop/enhance the Health Agency's Compliance Program to ensure that all Health Agency programs are in full compliance with applicable privacy and security laws and regulations. <u>Financial Information</u> Total Cost: $233,409 Amount of General Fund support: $233,409 Approved via the FY 2014-15 Budget Adoption	Full compliance with applicable rules and regulations as evidenced in the results of an audit conducted at least two years after the initial program development period.	The Program Manager conducted an analysis of Compliance Program effectiveness and developed work plans to update programs and address gaps in compliance. Major results include updates of several major policies and procedures, development and deployment of training on key compliance topics, development of programs to ensure compliance with required public postings, completion of a comprehensive risk analysis identifying risk in the areas of information privacy and security, and development of automated systems that improve efficiency and ensure compliance. The Department Automation Specialist participated in analysis of data systems and identification of risk areas, to address risk areas: implemented risk mitigation measures such as monitoring for unauthorized devices and software; researched multi-factor authentication programs and related building access systems; and conducted regular monitoring and auditing of system security to ensure protection of the public's protected health information.	Intended results were partially achieved. The audit has yet to be completed to provide evidence of full compliance. This item will be reported on again in the FY 2017-18 budget process.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 0.75 FTE Physical Therapist/Occupational Therapist I/II in the California Children's Services (CCS) Medical Therapy Program, to better meet the treatment needs of clients. This additional staffing will be added to existing part-time positions: a 0.50 FTE Physical Therapist in Oceano and a 0.25 FTE Occupational Therapist in San Luis Obispo. This will be funding with revenue from the CCS trust fund and an increase in the CCS allocation. Financial Information Total Cost: $69,242 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Achieve 90% of therapy per prescribed treatments (compared to current performance of 75% - 80%). 2. Reduce the number of complaints about reduced treatment time from parents from 4-6/year to 0-2/year.	Position was not filled due to difficulty in recruiting	Intended results have not been achieved. This item will be reported on again in the FY 2017-18 budget process.
Add 1.00 FTE Department Automation Specialist III to augment the technical team that serves the Health Agency with computer tech support. Financial Information Total Cost: $121,455	1. Reduce existing response time to requests for technical support by 15 minutes (from 25 to 10 minutes). 2. Increase the amount of scheduled routine maintenance by 50% (from once per 6 months to once per 3 months).	1. By adding the Department Automation Specialist III position as a full time Service Desk lead, the Health Agency was able to reduce the support time from greater than 25 minutes per call to less than 15 minutes per call on 90%	Intended results were partially achieved. The department will report on this item again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Amount of General Fund support: $121,455 Approved via the FY 2014-15 Budget Adoption	3. Reduce the number of support requests submitted by 15% (from 300/month to 250/month) due to improved security measures.	of calls and less than 10 minutes on 85% of all calls. 2. Assigning a Department Automation Specialist to specific roles has allowed the team to become more proactive in patching both desktops computers and servers. Server patching occurred at irregular intervals with large gaps in patching critical patches prior to filling the Department Automation Specialist position. Health Agency IT staff were able to schedule monthly patching of servers over a 3 month period. This allows all servers within the Health Agency network to be patched quarterly. A single person is responsible for the schedule and assigning tasks to staff to complete. 3. During the past 11 months, the number of support tickets created for Health Agency employees has increased by 23%. The number of overall tickets has increased by 812 tickets and the percentage of tickets handled by Health Agency IT staff has increased from 84.76% to 90.79%. This was in part due to the change in	

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
		the down town Service Desk staff and the approximately 50 new staff added to the Health Agency over the past 2 years.	

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Sheriff's Commander position Financial Information Total Cost: $207,115 Amount of General Fund support: $69,835 (new), $137,280 (existing expense for contract position) Approved via the FY 2014-15 Budget Adoption	Ensure continued staffing of the Professional Standards Unit; implement grant-funded complaint tracking system.	The previous contract position has been converted to a 1.00 FTE Sheriff's Commander position and is providing continued staffing of the Professional Standards Unit. Additionally, the Sheriff's Office has established a Professional Standards Unit compliance tracking system and expanded the system to include reportable Use of Force Reports, avoidable traffic collisions, vehicle pursuits, civil claims and jail grievances.	Intended results have been achieved.
Add 1.00 FTE Sergeant position and a vehicle A single Sergeant position currently supervises 25.50 FTE, including 3.00 FTE coroners, 13.00 FTE detectives, 6.00 FTE lab personnel, and 3.50 FTE clerks. Financial Information Total Cost: $212,324 Amount of General Fund support: $212,324 Approved via the FY 2014-15 Budget Adoption	The resulting span of control will split a total of 25.50 FTE positions between two Sergeants instead of one. The new position will provide direct supervision to the Coroner's Unit and Forensic Laboratory personnel, including 3.00 FTE coroners, 6.00 FTE lab personnel, and 1.50 FTE clerks (10.50 FTE positions total). The existing Sergeant will retain supervision duties for the remaining 15.00 FTE positions.	These positions have been filled and the improved supervision ratio has been achieved, resulting in a better span of supervisory control.	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Purchase a body image screening system for the jail. Financial Information Total Cost: $185,000 Amount of General Fund support: $125,000 Other Funding: $60,000 Court Security revenue (2011 Public Safety Realignment) Approved via the FY 2014-15 Budget Adoption	Reduce the number of contraband items entering the jail through increased detection and deterrence.	In the ten month period preceding implementation of the body imaging machine (10/15/13 through 8/15/14), there were 23 incidents in which drugs were found in the jail. During the same ten month period following implementation of the body imaging machine (10/15/14 through 8/15/15), there were 9 incidents in which drugs were found in the jail. The use of the body scanning machine therefore resulted in a 61% reduction in incidents in which drugs were found.	Intended results have been achieved.
Add 3.00 FTE Sheriff's Dispatcher positions to provide dispatch services under a contract to the City of Arroyo Grande. The positions will be fully funded by the City of Arroyo Grande. Financial Information Total Cost: $322,576 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	This contract will be cost effective for City of Arroyo Grande and will increase the level of service for dispatch including emergency medical dispatch.	The Sheriff's Dispatcher positions have been filled and are providing service to the City of Arroyo Grande as agreed in the contract between the City and the County.	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Program Manager II to the Staff Development Division to oversee and monitor all employee induction training program materials and processes. Funded entirely with Federal/State allocations and 1991 Realignment funds. <u>Financial Information</u> Total Cost: $128,659 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Induction training classes will be held year round, on an as-needed basis 2. Staffing shortages throughout the department will be reduced and the duration of position vacancies will decrease 3. Induction classes will be able to accommodate 6-26 new employees 4. Training unit staff will be able to more readily implement new programs and regulations and develop policies and procedures to support them	1. Induction training classes were held year round, as needed. The induction classes conducted in FY 2014-15 included one CalWORKs/CalFresh/Medi-Cal class from which 25 Employment Resource Specialists (ERS) graduated. In addition, two CalWORKs cross-training classes were also held for 24 ERS staff. 2. Staffing shortages for ERS positions were reduced by 35% from 20 vacancies in June of 2014 to 13 vacancies in June of 2015. At the conclusion of one class another recruitment is initiated. Filling every induction class to capacity (maximum 26) reduced the duration of ERS vacancies. 3. Induction class accommodated between 6-26 new employees. 4. Training unit staff was able to implement new programs and regulatory changes and develop policies and procedures to support them.	Intended results have been achieved.
Add 3.00 FTE Program Review Specialists to the Staff Development Division to support	1. Induction training classes will be held year round, on an as-needed basis	1. Induction training classes were held year round, as needed. The induction	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
the development and ongoing management of a year-round induction training program for new employees. Funded entirely with Federal/State allocations and 1991 Realignment funds. <u>Financial Information</u> Total Cost: $280,296 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	2. Training modules will be updated on an ongoing basis, based on regulatory system and program changes 3. New hires will be better equipped to manage caseloads	classes conducted in FY 2014-15 included one CalWORKs/CalFresh/Medi-Cal class from which 25 Employment Resource Specialists (ERS) graduated. In addition, two CalWORKs cross-training classes were also held for 24 ERS staff. Filling every induction class to capacity (maximum 26) reduces the duration of ERS vacancies. 2. Training unit staff was able to update modules as necessary and implement new programs and regulatory changes and develop policies and procedures to support them. 3. By having additional Program Review Specialists in Staff Development, materials are always current per new regulations. When the newly trained ERS staff go out to the regions to begin their new jobs they have accurate worker tools and policies and procedures for reference and application.	
Add 2.00 FTE Administrative Assistants to provide administrative support to staff and process a significant amount of client applications for financial	1. Reduce the amount of time it takes to perform data entry for new applications for financial assistance from several days to the same day that the	1. Morro Bay opened in June 2015. With the additional 2.00 FTE Administrative Assistants, processing time has been reduced to same	Intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
assistance in the department's new Morro Bay office (department is in the process of acquiring space). Funded entirely with Federal/State allocations and 1991 Realignment funds. <u>Financial Information</u> Total Cost: $139,318 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	application is submitted.	day as submission.	
Add 1.00 FTE Administrative Assistant to provide clerical and administrative support to the Human Resources Division to support the department's new on-boarding program. Funded entirely with Federal/State allocations and 1991 Realignment funds. <u>Financial Information</u> Total Cost: $69,659	1. Within one year of launching a full and comprehensive on-boarding program, reduce new hire processing time by 30% 2. Within two years, reduce new hire processing time by 50% 3. Reduce the number of staff who choose to leave the department within six months of their hire date	1. With additional support we have decreased on-boarding processing by 2.5 weeks, from an average of 7.5 weeks to an average of 5 weeks. This is a reduction of 33%. Adding administrative resources to our on-boarding process has expedited hiring practices so candidates do not take other positions before we have the opportunity to make them an offer. 2. This measure will be reported on in the FY 2017-18 budget.	The intended results have been partially achieved. The department will continue to report on the budget augmentation in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption		3. The number of new hire staff separating from the department within six months of their hire date remains unchanged.	
Add five mid-size cars to the department's fleet for use by staff in various programs. Add one minivan to be used by the Team Services Division to be used for daily mail deliveries and delivering equipment and supplies to various offices. Funded entirely with Federal/State allocations and 1991 Realignment funds. <u>Financial Information</u> Total Cost: $177,204 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	Reduce the need for staff to use their personal vehicles for departmental business, and reduce the amount of mileage reimbursement that the department must pay to employees. Addition of vehicles will support the recent addition of new staff and will assure that the department can effectively conduct business without violating department policy which does not allow for the use of personal vehicles to transport non-departmental staff (such as children) in the course of business.	The five vehicles were received in February 2015. All of the vehicles were put into service and utilized for department business during the remaining five months of the fiscal year. This has helped to reduce the need for staff to use their own vehicles when transporting non-departmental staff (such as children), thereby adhering to the department policy. The overall cost of reimbursement did increase by $8,125 from FY 2013-14 to FY 2014-15. This increase is due to the fact that there was also a staffing increase of 61 positions and an increase in the reimbursement rate from .565 cents per mile to .575 cents per mile in the same FY comparison. The minivan for Team Services has been incorporated into the daily business. With the addition of the Morro Bay office we have been able to continue timely service delivery of mail and supplies to all offices. The addition of the van has allowed one van to make deliveries to our north	The intended results have been partially achieved. The total cost of mileage reimbursement increased instead of decreased because the mileage reimbursement rate went up and additional positions were added to the department. The department will not continue to report on this in future budget years.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
		county offices, one van to make deliveries to our south county offices and one van to make deliveries to the Morro Bay office and County Government Center.	
Add one Electric Utility Transport vehicle to be used to transport equipment and clients with limited mobility between the department's South Higuera and Empleo offices in San Luis Obispo. Funded entirely with Federal/State allocations and 1991 Realignment funds. <u>Financial Information</u> Total Cost: $15,000 Amount of General Fund support: $0 Approved via the FY 2014-15 Budget Adoption	1. Department will be able to quickly access case files or other equipment that may be stored in one office or the other, without having to wait for a departmental vehicle to be available. Department will also be able to easily transport clients with limited mobility between the two offices, as needed.	1. The electric utility transport vehicle was put into service in February 2015. This has been successful in being utilized for transporting supplies to the Higuera office as well as used during days when there are State hearings for transport of staff and files between the two offices. The electric vehicle is available to transport staff or clientele with limited mobility between the Empleo and Higuera office.	Intended results have been achieved.

FY 2014-15 Budget Augmentation Request Results

Veterans Services **Fund Center 186**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Administrative Assistant Aide Financial Information Total Cost: $47,668 Amount of General Fund support: $47,668 Approved via the FY 2014-15 Budget Adoption	The addition of the Administrative Assistant Aide will provide administrative assistance at the Veterans Services Office in Paso Robles allowing that location to increase its operating hours to full time.	The position was filled and enabled the Paso Robles office to increase its hours of operation on a full-time basis.	Intended results have been achieved.

2014-15 Budget Augmentation Results

(Mid-Year Adjustments)

FY 2014-15 Mid-Year Budget Augmentation Request Results

Behavioral Health **Fund Center 166**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add a 1.00 FTE Mental Health Therapist IV, 1.00 FTE Drug and Alcohol Specialist II, and 0.50 FTE Administrative Assistant III to work on a four-year Behavioral Health Treatment Court Collaborative (BHTCC) grant program. All three positions are Limited Term, due to end on January 27, 2019, when the grant expires. Funding for these positions will be entirely offset with grant funds. <u>Financial Information</u> Total Annual Cost: $268,738 Amount of General Fund support: $0 Approved via Board action on 1/27/2015 Item # 11	1. The BHTCC program will enroll 60 unduplicated adult participants per year. 2. 240 participants will be served with enhanced intensive outpatient co-occurring treatment services over the four years. 3. Participants in the BHTCC will have achieved and sustained a lifestyle of sobriety and recovery, including learning skills to better manage their lives.	1. 60 individuals were admitted to the BHTCC program during the first year, October 1, 2014 – September 30, 2015. 2. Considering the first year of implementation covered only nine program months, it is anticipated that the four-year goal will be met or exceeded. 3. Indicators that program participants have improved functioning include: • 100% of the program participants are medication compliant during the program. • 41 of the 60 unduplicated intakes (68.3%) reported being homeless at intake, showing that we are meeting our objective for reaching homeless clients. Of those homeless clients, all (100%) of them are meeting with the case manager to find stable housing. Sober living homes and community based organizations help house these clients with success.	Intended results have partially been achieved. This item will be reported on again in the FY 2017-18 budget.

FY 2014-15 Mid-Year Budget Augmentation Request Results

Clerk Recorder **Fund Center 110**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Replace the department's recording, cashiering and imaging system. Funds from restricted revenues will be used to offset a portion of this cost. <u>Financial Information</u> Total Annual Cost: $448,123 Amount of General Fund support: $175,000 Approved via Board action on 6/2/15 Item # 15	1. Replace the existing recording, cashiering and imaging system. 2. Host the new system on a new server platform. 3. Increase the ability to manage office workflow. 4. Create a more customer-friendly experience for the public 5. Facilitate electronic recordings in the future 6. Avoid risk of catastrophic failure of the current system which is at the end of its useful life.	1. The new recording, cashiering, and imaging system went live in February. 2. The new system is hosted on standard Microsoft servers running in the County's virtual server infrastructure. 3. As the department is performing User Acceptance Training, the new system is displaying the ability to manage office workflow more effectively. 4. Furthermore, the system has a more customer-friendly interface for the public, including some enhanced functionality on the web. 5. The implementation of electronic recordings is expected to occur in 2017. 6. Moving off of the aging, obsolete, vendor-supported hardware to standard Microsoft servers in the virtual server infrastructure provides multiple layers of support, including County ITD that can mitigate a catastrophic failure.	It is anticipated that as of February 2016, the Clerk-Recorder's new recording, cashiering and imaging system will go-live. However, at that time it would be too soon to tell if items #3 or #4 have been achieved. Additionally, item #5 is not expected to be implemented until 2017. As a result, this item will be reported on again in the FY 2017-18 budget.

FY 2014-15 Mid-year Budget Augmentation Request Results

Public Defender **Fund Center 135**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Amend the contract for primary Public Defender services to add funding for a full-time Attorney, a half-time Investigator and Information Technology resources to address a 30% increase in felony case workload. <u>Financial Information</u> Total Annual Cost: $153,340 Amount of General Fund support: $153,340 Approved via Board action on 8/12/2014 Item # 4	The additional resources will further support the Public Defender's responsibility to provide an adequate legal defense for the indigent and help mitigate the possibility of complaints leading to substitution of counsel, or the reversal of a defendant's conviction on appeal.	A full-time Attorney, a half-time Investigator and information technology resources have been added to contract staff providing primary Public Defender services in order to address the increase in felony caseload.	The intended results have been achieved.

FY 2014-15 Mid-Year Budget Augmentation Request Results

Sheriff Coroner **Fund Center 136**

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Add 1.00 FTE Department Automation Specialist II to provide support for existing automation systems and on-going projects to support the Cal-ID program. Funding for this positions will come from the Cal-ID program. Financial Information Total Annual Cost: $114,758 Amount of General Fund support: $0 Approved via Board action on 1/27/2015 Item # 15	Support the system for the Cal-ID program and assist in the development and incorporation of new projects on behalf of the program, to the benefit of all law enforcement agencies in the county and the communities they serve.	Due to the difficulty in recruiting for this position, it was not filled until June 22, 2015. The position is currently filled and is providing support for the Cal-ID program and assisting in the development and incorporation of new projects on behalf of the program, as intended.	The intended results have been achieved.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Three year contract (August 26, 2014 through August 25, 2017) with Transitions Mental Health Association in a total amount of $1,860,098 for housing placement and supportive services for chronically homeless individuals, and a corresponding budget adjustment in the amount of $578,719 from Social Services Realignment funds to FC 180-Department of Social Services to fund the initial year of the contract. <u>Financial Information</u> Total Cost: $1,860,098 Amount of General Fund support: $0 Approved via Board action on 8/26/14 Item # 17	1. Within 9 months permanently house 17 individuals. 2. Within 15 months permanently house 34 individuals (cumulative). 3. Within 21 months permanently house 50 individuals (cumulative).	1. 27 persons were permanently housed within 9 months. 2. Will be reported on in the FY 2017-18 budget. 3. Will be reported on in the FY 2017-18 budget.	The intended results have partially been achieved and are exceeding targets to date. This item will be reported on again in the FY 2017-18 budget.
Acquisition and renovation of two apartment complexes to house homeless families participating in the CalWORKs Housing Support Program or who are Child Welfare Services clients for a minimum of 20 years. Funds for this acquisition and renovation project come from the	1. Eight homeless families plus an on-site manager will be housed at any given time over a 20 year period.* 2. Family Care Network will provide case management services for eight families to enable them to stabilize and acquire skills for successful transition to other permanent	1. Renovations were initiated in preparation for placing homeless families into housing in FY 2015-16. One apartment is occupied by an on-site manager. 2. An Operating Program Agreement with Family Care Network, Inc. (FCN) was initiated and effective May	Intended results have not yet been achieved. This item will be reported on again in the FY 2017-18 budget.

Budget Augmentation Description	Intended Results	Actual Results FY 2014-15	Administrative Office Comments
Social Services Realignment trust. Financial Information Total Annual Cost: $1,250,000 Amount of General Fund support: $0 Approved via Board action on 5/5/2015 Item # 24	housing options. *The intended results have been modified from the Board staff report to clarify that eight families will be housed and case managed, and one unit will house an on-site manager (rather than nine homeless families being housed). This is consistent with the executed operating agreement.	2015 for case management services for eight families to enable the families to stabilize and successfully transition to other permanent housing. The first eight families and the on-site manager will be housed in FY 2015-16, and the results will be reported on in the FY 2017-18 budget.	