RFP #984

PRE - QUALIFICATION
for
DESIGN / BUILD ENTITIES

San Luis Obispo County
Regional Airport

PARKING STRUCTURE
PROJECT #330008

MARCH 2008

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Notification of Pre-Qualification Requirements

NOTIFICATION OF PRE-QUALIFICATION REQUIREMENTS for the COUNTY OF SAN LUIS OBISPO REGIONAL AIRPORT PARKING STRUCTURE

The County of San Luis Obispo ("County") is requesting competitive proposals for design-build services to construct the County's new Regional Airport Parking Structure ("Project"). The County's competitive selection process will proceed in two steps.

Step 1 is the prequalification phase of the Design Build Entity (D/B Entity).

Step 2 is the technical proposal from the D/B Entity. Only those D/B Entities that are successfully qualified in Step 1 will be allowed to participate in Step 2.

GENERAL DESCRIPTION OF THE PROJECT

The construction budget is approximately **$12,000,000.00**.

The County has retained Vanir CM as the construction manager and URS as planners and bridging architects. The Project consists an airport terminal parking garage of approximately 1,000 vehicles.

MINIMUM BONDING REQUIREMENTS

The minimum bonding capacity will equal the construction budget. Prospective D/B Entities that cannot provide bonding at that amount will not be considered qualified even though they may be qualified otherwise.

NOTICE OF PRE-QUALIFICATION REQUIREMENTS

Notice is hereby given that the County has determined that all bidders on the Project to be undertaken by the County must be pre-qualified prior to submitting a bid on the Project. It is mandatory that all Design-Build Entities, hereinafter referred to as D/B Entities, who intend to submit a bid, fully complete the Pre-Qualification Document, provide all materials requested herein, and be approved by the County to be on the final qualified Bidders list. No bid will be accepted from a D/B Entity that has failed to comply with these requirements.

Answers to questions contained in the Pre-Qualification Document, information about current bonding capacity, notarized statement from surety, and the most recent reviewed or audited financial statements, with accompanying notes and supplemental information, are required. The County will use these documents as the basis of rating D/B Entities in respect to the size and scope of contracts upon which each D/B Entity is qualified to bid.

The County reserves the right to check other sources available. The County's decision will be based on objective evaluation criteria.

While it is the intent of the Pre-Qualification Document and supporting information required therewith to assist the County in determining bidder responsibility prior to bid, neither the fact of pre-qualification, nor any pre-qualification rating, will preclude the County from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

A D/B Entity may be found not qualified for bidding on this Project until the D/B Entity meets the County's requirements. In addition, a D/B Entity may be found not qualified for either: (1) Omission of requested information or (2) Falsification of information.

IMPORTANT PROVISIONS OF THE PUBLIC CONTRACT CODE RELATED TO PREQUALIFICATION

The California Legislature has enacted a law that authorizes certain public agencies, including San Luis Obispo County, to utilize the design/build process. Public Contract Code Section 20133 allows the design/build method of procurement for projects in excess of $2.5 million. Within the context of this law, section 20133 (3)(A) states that 'the county shall establish a procedure to prequalify design/build entities using a standard questionnaire developed by the County".

The County of San Luis Obispo has chosen to adopt the prequalification process described in Public Contract Code Section 20101. Section 20101 requires every public agency that creates a prequalification procedure to: (1) use a standardized questionnaire and financial statement in a form specified by the public entity, (2) adopt and apply a uniform system of rating bidders on objective criteria, on the basis of the completed questionnaire and financial statements, and (3) create an appeals procedure, by which a D/B Entity that is denied prequalification may seek a reversal of that determination.

PUBLIC RECORD

The Pre-Qualification Documents (questionnaire answers and financial statements) submitted by D/B Entities are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification, or investigation of substantial allegations, or in an appeal hearing. State law requires that the names of D/B Entities applying for pre-qualification status shall be public records subject to disclosure; the Contact Information page of the questionnaire will be used for that purpose.

COUNTY OF SAN LUIS OBISPO RIGHTS

The County reserves the right to waive minor irregularities and omissions in the information contained in the pre-qualification application submitted, to make all final determinations, and to determine at any time that the pre-qualification procedures will not be applied to a specific future public works project.

The County may refuse to grant pre-qualification where the requested information and materials are not provided, or not provided by the date specified above. There is no appeal from a refusal for an incomplete or late application, but re-application for a later project is permitted. The closing time for bids will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

The County reserves the right to adjust, increase, limit, suspend or rescind the pre-qualification rating based on subsequently learned information. D/B Entities whose rating changes sufficient to disqualify them will be notified, and given an opportunity for a hearing consistent with the hearing procedures described for appealing a pre-qualification rating.

The County may require D/B Entities that will be using Sub-Contractors who are not members of the D/B Entity for this Project, to submit: (1) The qualification of certain Sub-Contractors as specified in the bidding documents, and (2) Post-bid qualification review. Such requirements, if enacted, will follow acceptance of the bid, but before an award is made.

APPEAL PROCESS

Where the scoring of a timely and completed Pre-Qualification Document results in a rating below that necessary to pre-qualify, an appeal can be made. An appeal is begun by the D/B Entity delivering notice to the County of its appeal of the decision with respect to its pre - qualification rating, no later than ten business days after the notification of non-qualification. Without a timely appeal, the D/B Entity waives any and all rights to challenge the decision of the County, whether by administrative process, judicial process or any other legal process or proceeding.

If the D/B Entity gives the required notice of appeal and requests a hearing, the hearing shall be conducted no later than 10 business days after County's receipt of the notice of appeal. The hearing shall be an informal process conducted by a panel to whom the Board of Supervisors of the County has delegated responsibility to hear such appeals (the "Appeals Panel"). The D/B Entity will be given the opportunity to present information and present reasons in opposition to the rating. Within one day after the conclusion of the hearing, the Appeals Panel will render its decision. It is the intention of the County that the date for the submission of Technical Proposal Documents will not be delayed or postponed to allow for completion of an appeal process.

Introduction and Overview

INSTRUCTIONS AND OVERVIEW OF THE PRE-QUALIFICATION PROCESS

SUBMISSION REQUIREMENTS, DATE AND DELIVERY

Each Pre-Qualification Document must be signed under penalty of perjury in the manner designated on the certification page, by an individual who has the legal authority to bind the D/B Entity on whose behalf that person is signing.

If any information provided by a D/B Entity becomes inaccurate, the D/B Entity must immediately notify the County and provide updated accurate information in writing, under penalty of perjury.

The due date for the submission of the fully completed Pre-Qualification Document is 3:00 PM on Monday , April 7, 2008.

A complete submission will be comprised of an electronic copy on a compact disc and 6 fully completed, signed, printed hard copies with required attachments.

The Pre-Qualification Document (CD and hard copy) must be submitted in a sealed envelope and marked "CONFIDENTIAL - RFP 984", to General Services Agency, County of San Luis Obispo, 1087 Santa Rosa Street, San Luis Obispo, CA 93408.

DEFINITION OF WHAT COMPRISES A DESIGN-BUILD ENTITY

A Design-Build Entity is defined by Public Contract Code Section 20133 [c] [3] as: "Design-Build Entity" means a partnership, corporation, or other legal entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a design-build contract."

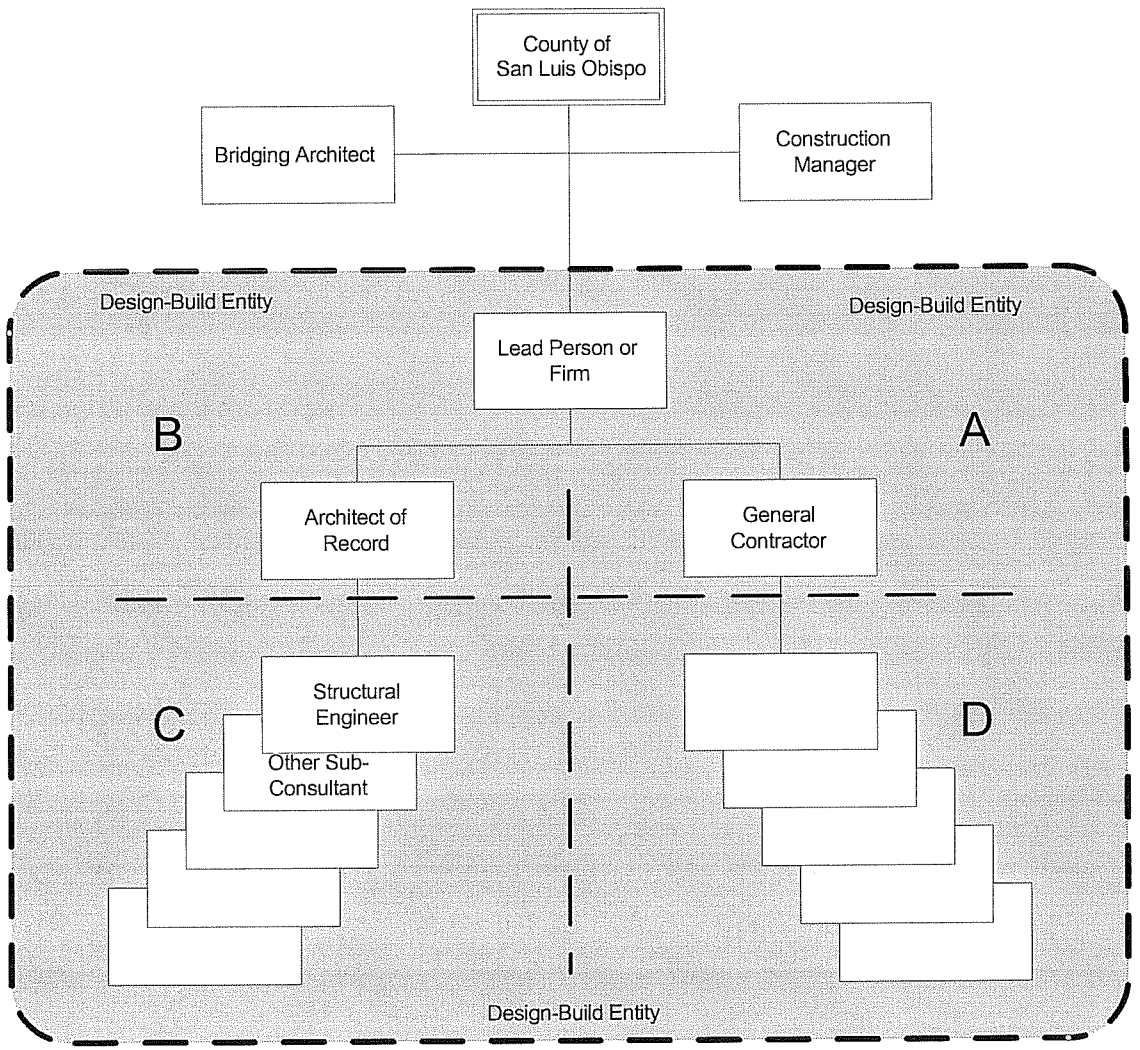
Although that definition allows wide latitude in the composition of the D/B Entity, San Luis Obispo County requires the D/B Entity to identify and list certain core member organizations that will comprise the Entity. Those core organizations must individually complete the appropriate scored question portion of the prequalification questionnaire and obtain a passing score to be considered qualified. The core members that must be listed in the D/B Entity are:

- General Contractor
- Architect
- Structural Engineer
- Mechanical Engineer
- Electrical Engineer
- Civil Engineer

Those core members of the D/B Entity identified in response to this Pre-Qualification Document must be selected on qualifications alone, but the services of other subcontractors or sub-consultants who are not identified as core members must be procured by a public bid process (Section 20133 [f]), subsequent to selection of the D/B Entity

If the D/B Entity chooses to use a core member organization with the capability of performing both design and construction services (i.e. a subcontractor with a licensed engineer & design staff), then that member must successfully qualify as both the subconsultant and subcontractor for the listed discipline.

The following diagram illustrates the various members of a D/B Entity that may be included and that if included as members of the D/B Entity must complete the appropriate portions of the Pre-Qualification Document. There are four portions of the questionnaire each tailored to the general responsibility of the particular D/B Entity member. Subpart 'A' applies to the general contractor; subpart 'B' applies to the architect; subpart 'C' applies to the design sub-consultants; and subpart 'D' applies to the sub-contractors.



SCORING APPROACH

The rating of the D/B Entity will result from consideration of the scores attained in all parts of the questionnaire.

PART I: INFORMATION ABOUT THE D/B ENTITY AND EACH OF ITS MEMBERS

This part applies to the D/B Entity as a whole and to each of its Members, and is for identification purposes only. There is no scoring value to this part.

The first two pages of this part is the only public information portion of the Pre-Qualification Document.

PART II: ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY AS A WHOLE

This part applies to the D/B Entity as a whole unit, and is a qualify-don't qualify series of questions. All questions must be answered correctly or the D/B Entity will be disqualified. This is the first step in rating the D/B Entity. If the D/B Entity is able to correctly answer each of these questions, its ultimate rating will be dependent upon the scored questions.

If the D/B Entity is unable to correctly answer each of these questions, it will be disqualified regardless of the results of the scored questions.

PART III: SCORED QUESTIONS FOR INDIVIDUAL MEMBERS OF THE D/B ENTITY

Subpart A: Scored Questions for the General Contractor Member

This part applies to the general contractor member. It consists of a series of questions that must be answered. Each question has an established numerical value. The total score attained establishes the rating for the general contractor.

There is a maximum possible score of 104. A minimum score of 78 must be attained or the General Contractor Member will be rated as not qualified which would disqualify the D/B Entity. A score between the minimum and the maximum will be combined with the scores of the other parts to determine the total score for the D/B Entity.

Subpart B: Scored Questions for the Architect Member

This part applies to the architect member. It consists of a series of questions that must be answered. Each question has an established numerical value. The total score attained establishes the rating for the architect.

There is a maximum possible score of 41. A minimum score of 31 must be attained or the architect will be rated as not qualified which would disqualify the D/B Entity. A score

between the minimum and the maximum will be combined with the scores of the other parts to determine the total score for the D/B Entity.

Subpart C: Scored Questions for the Sub-Consultant Member(s)

This part applies to each Sub-Consultant Member. It consists of a series of questions that must be answered for each sub-consultant member of the D/B Entity. Each question has an established numerical value. The total score attained establishes the rating for each sub-consultant. San Luis Obispo County requires that the architectural, mechanical, electrical, and civil engineer consultants be listed as member firms.

There is a maximum possible score of 41. A minimum score of 31 must be attained or the sub-consultant will be rated as disqualified. Disqualification of a Sub-Consultant Member will not disqualify the D/B Entity, but will require a substitution with a qualifying member.

Subpart D: Scored Questions for the Sub-Contractor Member(s)

This part applies to each Sub-Contractor Member. It consists of a series of questions that must be answered for each Sub-Contractor Member of the D/B Entity. Each question has an established numerical value. The total score attained establishes the rating for each Sub-Contractor Member. San Luis Obispo County requires that the mechanical, electrical, and plumbing subcontactors be listed as member firms.

There is a maximum possible score of 61. A minimum score of 46 must be attained or the Sub-Contractor Member will be rated as disqualified. Disqualification of a Sub-Contractor Member will not disqualify the D/B Entity, but will require a substitution with a qualifying member.

DETERMINING THE TOTAL QUALIFICATION RATING OF THE D/B ENTITY

The successfully qualified Design/Build Entity must pass three levels of questions. The scores achieved for the three levels are not aggregated to a "total score" to achieve a pass-fail rating, rather, each level is a stand-alone threshold and must be passed sequentially. The three levels in sequence are:

Level 1
Essential Requirements

This level is comprised of the minimum basic requirements that the D/B Entity must possess. The questions are answered by the proposed D/B entity. Each question has a pre-assigned score value. The D/B Entity must be able to answer all questions correctly so that the aggregate score for all questions in this level is equal to 100% of the possible score. If the D/B Entity passes this level, they may then proceed to the next level.

Level 2
Scored Questions

This level is comprised of the qualifications and experiences that the D/B Entity should possess. The questions are answered by the proposed D/B Entity. Each question has a pre-assigned score value. The D/B Entity must be able to answer the questions so that the aggregate score for all questions in this level is equal to or greater than 75% of the possible maximum score. If the D/B Entity passes this level, consideration of their qualifications will proceed to the next level.

Level 3
Referenced Projects

This level is comprised of a series of questions relating to the D/B Entity's performance on three completed projects. The three projects will be selected by the County from the total list of projects submitted. The questions will be asked by the County's staff and answered by an owner's representative for each project. Each question has a possible score value ranging from 1 to 10. The D/B Entity must be given a score value for each question so that the aggregate score for all questions in this level is equal to or greater than 50% of the possible. If the D/B Entity passes this level, they are considered qualified.

REVIEW AND NOTIFICATION PROCESS

The completed Pre-Qualification Document must be submitted by the deadline specified above. Once received, the review and notification process will be as follows:

1. The Pre-Qualification Document will be date and time stamped upon receipt.
2. It will be reviewed for completeness by County staff within 5 working days from the deadline date. The D/B Entity will be notified as to completeness. If the Pre-Qualification Document is not complete, the D/B entity will have 3 working days to add whatever information is needed to make the Document complete. If the Pre-Qualification Document is complete, it will proceed to be reviewed.
3. The completed Pre-Qualification Document will be reviewed by County staff within twenty working days from the deadline date.
4. The D/B Entity will be notified of their qualification rating by certified mail within twenty-five days from the deadline date.

If the D/B Entity is rated as "Qualified" for this Project, they will be eligible for bidding on the Project and will be notified of the opportunity when the Technical Proposal documents are issued.

If the D/B Entity is rated as "Non-Qualified" for this project, they may initiate an appeal process as defined previously.

Pre-Qualification Questionnaire

PART I:
INFORMATION about the DESIGN-BUILD ENTITY (D/B Entity) and each of its MEMBERS

Lead Person or Firm - Contact Information

D/B Entity _____

Contact: _____

Address: _____

Phone: _____ Fax: _____

Type of Entity (check one):

☐ Corporation ☐ Partnership ☐ Sole Prop. ☐ Other Association

If D/B Entity is a sole proprietor or partnership:

Owner(s) of _____

Member Firm(s)		
Discipline	**Name**	**License**
General Contractor		
Architect		
Structural Engineer		
Mechanical Engineer		
Electrical Engineer		
Civil Engineer		

Discipline	Name	License

INFORMATION ABOUT THE GENERAL CONTRACTOR MEMBER OF THE D/B ENTITY

1a. Date of company formation or incorporation: _____

1b. State of formation or incorporation: _____

1c. How many persons does your organization currently employ: _____

1d. If your organization is a corporation, please complete the following table: Provide information for each officer of the corporation or individual(s) with 20% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

1e. If your organization is a partnership, please complete the following table: Provide information for each partner who owns 20% or more of the firm.

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

1f. If your organization is a sole proprietorship, please complete the following table: Provide information for each member of the proprietorship.

Owner	Years as Owner

1g. If your organization is a joint venture, please complete the following table: Provide information for each member of the joint venture.

Joint Venture Member #1

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #2

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #3

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

1h. For each person listed in table 1d, 1e, 1f, or 1g, identify every construction company that the person has been employed with at any time during the last five years:

Name	Company	Position with Company	Dates of Employment with Company

2. Has there been any change in ownership of the General Contractor Member at any time during the last three years?
   NOTE: A corporation whose shares are publicly traded is not required to answer this question.

   ☐ Yes ☐ No

   If "yes," explain:

3. Is the General Contractor Member a subsidiary, parent, holding company or affiliate of another construction firm?

   NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

   ☐ Yes ☐ No

   If "yes," explain:

4. Are any of the General Contract Member's corporate officers, partners or owners connected to any other construction companies?

   NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

   ☐ Yes ☐ No

   If "yes," explain:

5. State the General Contractor Member's gross revenues for each of the last three years:

2005: _____ **2006:** _____ **2007:** _____

6. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by the General Contractor Member:

☐ Yes ☐ No

Contractor License	Classification	Expiration Date

7. If any of the General Contractor Member's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individuals(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

8. Has the General Contractor Member changed names or license number in the past five years?

☐ Yes ☐ No

If "yes," explain:

9. Has any owner, partner or (for corporations) officer of the General Contractor Member operated a construction company under any other name in the last five years.

☐ Yes ☐ No

If "yes," explain:

10. Bonding capacity: Provide documentation from your surety identifying the following:

Bonding Co./Surety:		**Surety Agent:**	
Agent Address:		**Telephone #:**	

11. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:

Date	Surety	Address

INFORMATION ABOUT THE ARCHITECT MEMBER OF THE D/B ENTITY

12a. Date of company formation or incorporation _____

12b. State of formation or incorporation _____

12c. How many persons does your organization currently employ _____

12d. If your organization is a corporation, please complete the following table: Provide information for each officer of the corporation or individual(s) with 20% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

12e. If your organization is a partnership, please complete the following table: Provide information for each partner who owns 20% or more of the firm.

Position	Name	Years with Co.	% Ownership

12f. If your organization is a sole proprietorship, please complete the following table. Provide information for each owner of the proprietorship.

Owner	Years as Owner

12g. If your organization is a joint venture, please complete the following table: Provide information for each member of the joint venture.

Joint Venture Member #1

Name of Owner	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #2

Name of Owner	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #3

Name of Owner	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

12h. For each person listed in table 12d, 12e, 12f, or 12g, identify every architectural firm that the person has been employed with at any time during the last five years:

Name	Firm	Position with Firm	Dates of Employment with Firm

13. Has there been any change in ownership of the Architect Member at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If "yes," explain:

14. Is the Architect a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

15. Are any of the Architect's corporate officers, partners or owners connected to any other construction firms?

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

16. Has the Architectural Firm changed names or license number in the past five years?

☐ Yes ☐ No

If "yes," explain:

17. Has any owner, partner or (for corporations) officer of the Architect operated a construction firm under any other name in the last five years?

☐ Yes ☐ No

If "yes," explain:

PART II:
ESSENTIAL REQUIREMENTS for the DESIGN-BUILD ENTITY as a WHOLE

ESSENTIAL REQUIREMENTS FOR THE CONTRACTOR AND SUBCONTRACTORS OF THE D/B ENTITY:

NOTE: D/B Entity will be automatically disqualified if the answer to any of questions 1 through 5 is "no."

1. Does the General Contractor Member and each proposed Subcontractor Member possess a valid, current California contractor's license for the project for which it intends to submit a bid?

   ☐ Yes ☐ No

2. Does the General Contractor Member have a liability insurance policy with a policy limit of at least $1,000,000 per occurrence and $2,000,000 aggregate?

   ☐ Yes ☐ No

3. Does the General Contractor Member and each proposed Subcontractor Member have current workers' compensation insurance policies as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.

   ☐ Yes ☐ No

4. Has the General Contractor Member attached the latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information?

   ☐ Yes ☐ No

   A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.

5. Has the General Contractor Member attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the General Contractor's current bonding capacity is sufficient for the project for which you seek pre-qualification?

   ☐ Yes ☐ No

Notarized statement must be from the surety company, not an agent or broker.

NOTE: D/B Entity will be automatically disqualified if the answer to any of questions 6 thru 12 is "yes."

6. Has the General Contractor Member or any of its proposed Subcontractor Member's license been revoked or suspended at any time in the last five years?

☐ Yes ☐ No

7. Has a surety firm completed a contract on behalf of the General Contractor Member or any proposed Subcontractor Member, or paid for completion because the firm was default terminated by the project owner within the last five (5) years?

☐ Yes ☐ No

8. At the time of submitting this pre-qualification form, is the General Contractor Member or any proposed Subcontractor Member ineligible to bid on or be awarded a public works contract, or perform as a sub-contractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

☐ Yes ☐ No

9. At any time during the last five years, has the General Contractor Member, Subcontractor Members or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

☐ Yes ☐ No

10. Has the General Contractor or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

11. Has the General Contractor or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

12. Has the General Contractor or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

ESSENTIAL REQUIREMENTS FOR THE ARCHITECT AND SUBCONSULTANTS OF THE D/B ENTITY:

NOTE: D/B Entity will be automatically disqualified if the answer to any of questions 13 through 16 is "no."

13. Does the Architect Member and each Subconsultant Member possess a valid and current California professional license for the project for which it intends to submit a proposal?

☐ Yes ☐ No

14. Does the Architect Member and each Subconsultant Member have a professional liability insurance policy with a policy limit of at least $1,000,000 per occurrence and $2,000,000 aggregate?

☐ Yes ☐ No

15. Does the Architect Member and each Subconsultant Member have current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.?

☐ Yes ☐ No

16. Has the Architect Member attached the latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information?

☐ Yes ☐ No

A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.

NOTE: D/B Entity will be automatically disqualified if the answer to questions 17 or 21 is "yes."

17. Has the Architect Member or any Subconsultant Member's license been revoked at any time in the last five years?

☐ Yes ☐ No

18. At any time during the last five years, has the Architect Member, Subconsultant Member or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?

☐ Yes ☐ No

19. Has the Architect or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

20. Has the Architect or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

21. Has the Architect or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

PART III:
SCORED QUESTIONS for MEMBERS of the D/B ENTITY

SUBPART A: SCORED QUESTIONS FOR THE GENERAL CONTRACTOR MEMBER

1. How many years has the General Contractor Member or each Contractor Member of a Joint Venture organization been in business in California as a General Contractor under its present business name and license number?

NOTE: If Contractor Member is a Joint Venture, add years for each partner to the Joint Venture and enter the average of combined experience.

Years: _____

2. Is the General Contractor Member or any part of the Joint Venture currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:** _____

3. Was the General Contractor Member or any party to the Joint Venture in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 2, above)

☐ Yes ☐ No

If "yes," indicate the case number and the date on which the petition was filed, and the Bankruptcy Court's discharge order number.

Case #: _____ **Date filed:** _____ **Discharge #:** _____

4. At any time in the last five years has the General Contractor Member or any party to the Joint Venture been assessed and paid liquidated damages of more than $50,000 after completion of a project under a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Completed		**Amount:**	
Other:			

5. In the last five years has the General Contractor Member, or any firm with which any of the General Contractor's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company:				**Person:**	
Year:		**Owner**		**Project:**	
Basis:					

Company:				**Person:**	
Year:		**Owner**		**Project:**	
Basis:					

Company:				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

6. In the last five years has the Contractor been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

☐ Yes ☐ No

If "yes," identify the year of the event, the owner, the project and the basis for the finding by the public agency.

Year:		**Owner:**		**Project:**	
Basis:					

Year:		**Owner:**		**Project**	
Basis:					

Year:		**Owner:**		**Project:**	
Basis:					

NOTE: The following two questions refer only to disputes between the Contractor and the owner of a project. You need not include information about disputes between the Contractor and a supplier, another contractor, or sub-contractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner.

7. In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the Contractor concerning its work on a construction project?

   ☐ Yes ☐ No

   If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

8. In the past five years has your firm made any claim in excess of $50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

9. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Contractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

10. Has the General Contractor Member or party to the Joint Venture been required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which the Contractor worked at any time during the last three years?

☐ Yes ☐ No

If "yes," state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

NOTE: Score based on highest single year

Year:		**Rate:**	
Explanation:			

Year:		**Rate:**	
Explanation:			

Year:		**Rate:**	
Explanation:			

11. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

If yes, indicate the date when your firm was denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.

Date:		**Surety:**		**Duration Period:**	
Explanation:					

Date:		**Surety:**		**Duration Period:**	
Explanation:					

Date:		**Surety:**		**Duration Period:**	
Explanation:					

Date:		**Surety:**		**Duration Period:**	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

12. Has CAL OSHA cited and assessed penalties against the General Contractor Member for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

13. Has the federal Occupational Safety and Health Administration cited and assessed penalties against the General Contractor Member in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe each citation.

Explanation:

Explanation:

14. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Contractor or the owner of a project on which the Contractor was the D/B Entity, in the past five years?

    NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

    ☐ Yes ☐ No

    If "yes," describe each citation.

Explanation:

Explanation:

Explanation:

15. Does the General Contractor Member require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

    ☐ Yes ☐ No

    If "yes," identify how often the meetings are required.

Weekly	**Bi-Weekly**	**Monthly**	**Less Frequent**
☐	☐	☐	☐

16. Has the General Contractor Member been issued an Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years?

☐ Yes ☐ No

If your EMR for any of these three years is or was 1.00 or higher, provide explanation.

NOTE: Score based on highest EMR in any single Year

Current Year:		**Rate:**	
Explanation:			

Current Year:		**Rate:**	
Explanation:			

Current Year:		**Rate:**	
Explanation:			

17. Within the last five years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

Year:		**Period:**	
Explanation:			

Year:		**Period:**	
Explanation:			

18. Has there been more than one occasion during the last five years in which the General Contractor Member was required to pay either back wages or penalties for the General Contractor's failure to comply with the **state's** prevailing wage laws?

This question refers only to the Contractor's violation of prevailing wage laws, not to violations of the prevailing wage laws by a sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

19. During the last five years, has there been more than one occasion in which the General Contractor has been penalized or required to pay back wages for failure to comply with the **Federal** Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

20. Does the General Contractor Member intend to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council for use on this Project if you are awarded the contract?

☐ Yes ☐ No

If "yes," provide the name, address and telephone number of the apprenticeship program from whom you intend to request the dispatch of apprentices.

Program Name	Address	Phone

21. Has the General Contractor Member operated its own State-approved apprenticeship program during the last three years?

☐ Yes ☐ No

If "yes," state the year in which each such apprenticeship program was approved, identify the craft or crafts in which your firm provided apprenticeship training, and state the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

Year:		**Rate:**	
Crafts:			

22. At any time during the last five years, has the General Contractor Member been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

You may omit reference to any incident that occurred prior to January 1, 2004, if the violation was by a sub-contractor and the Contractor, as general contractor on a project, had no knowledge of the sub-contractor's violation at the time they occurred.

☐ Yes ☐ No

If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

Date	Department	Finding	Decision #

SUBPART B: SCORED QUESTIONS FOR THE ARCHITECT MEMBER

23. How many parking structures has the Architect completed in the last 5 years?

Project Name	Location	Description

24. How many years has the Architect's firm been in business in California as an Architect under its present business name and license number?

NOTE: If Architect Member is Joint Venture, add years for each partner to the Joint Venture and enter the average of combined experience.

Years: _____

25. Is the Architect currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes," indicate the case number, and the date on which the petition was filed.

Case number: _____ **Date filed:** _____

26. Was the Architect in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 2, above)

☐ Yes ☐ No

If "yes," indicate the case number and the date on which the petition was filed, and the Bankruptcy Court's discharge order number.

Case number: _____ **Date filed:** _____ **Discharge #:** _____

27. In the past five years has any claim in excess of $50,000 been filed in court or arbitration against the Architect concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project Name:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

28. In the past five years has your firm made any claim in excess of $50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of
the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project Name:		**Date:**	
Entity(s):		**Court:**	
Nature:			
Description:			

29. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Architect?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Carrier:		**Ins. Form:**		**Refusal Year:**	
Carrier:		**Ins. Form:**		**Refusal Year:**	
Carrier:		**Ins. Form:**		**Refusal Year:**	
Carrier:		**Ins. Form:**		**Refusal Year:**	

Carrier:		Ins. Form:		Refusal Year:	

30. Does Architect currently employ LEEDS (Leadership in Energy & Environmental Design) accredited designers?

☐ Yes ☐ No

If yes, identify individuals

Name	Years With Firm

31. Has Architect cerfitied building projects in accordance with LEEDS criteria

☐ Yes ☐ No

If yes, identify projects (s)

Project	Location	Certification Level	Date Completed

SUBPART C-1
SCORED QUESTIONS FOR THE STRUCTURAL ENGINEER MEMBER(S)

C-1-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-1-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-1-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-1-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-1-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-1-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

C-1-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-1-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-1-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART C-2
SCORED QUESTIONS FOR THE MECHANICAL ENGINEER MEMBER(S)

C-2-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-2-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-2-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-2-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-2-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-2-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

C-2-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-2-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-2-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART C-3
SCORED QUESTIONS FOR THE ELECTRICAL ENGINEER MEMBER(S)

C-3-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-3-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-3-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-3-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-3-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-3-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

C-3-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-3-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-3-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART C-4
SCORED QUESTIONS FOR THE CIVIL ENGINEER MEMBER(S)

C-4-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-4-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-4-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-4-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-4-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-4-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

C-4-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-4-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-4-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART C- 5
SCORED QUESTIONS FOR THE OTHER SUB-CONSULTANT MEMBER(S)

C-5-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-5-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-5-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-5-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-5-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-5-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

C-5-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-5-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-5-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART C- 6
SCORED QUESTIONS FOR OTHER SUB-CONSULTANT MEMBER(S)

C-6-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-6-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-6-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-6-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-6-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-6-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

C-6-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-6-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-6-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART C- 7
SCORED QUESTIONS FOR OTHER SUB-CONSULTANT MEMBER(S)

C-7-32. How many parking structures located in California has the Subconsultant provided engineering services on, in the last 5 years?

Project	Number of Spaces	Owner

C-7-33. How many years has the Subconsultant been in business in California under its present business name and license number?

Number of Years: _____

C-7-34. Is the Subconsultant currently a debtor in a bankruptcy case?

☐ Yes ☐ No

C-7-35. Was the Subconsultant in bankruptcy at any time during the last five years?

Case #: _____ **Date** _____ **Discharge** _____

C-7-36. In the last 5 years, has your firm filed any claim in court or arbitration in excess of $50,000 against a project owner concerning work on a project or payment?

☐ Yes ☐ No

If "yes," identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

Project:		**Date:**	
Entity:		**Defendant:**	
Description:			

C-7-37. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the subconsultant concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

C-7-38. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subconsultant?

☐ Yes ☐ No

If "yes" give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

C-7-39. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

If "yes" identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

C-7-40. Has the Subconsultant or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes" identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

SUBPART D-1
SCORED QUESTIONS FOR THE MECHANICAL SUBCONTRACTOR MEMBER(S)

D-1-42. How many parking structures located in California has the Subcontractor worked on in the last 5 years?

Project	Number of Spaces	Owner

D-1-43. How many years has the Subcontractor been in business in California under its present business name and license number?

Number of Years: _____

D-1-44. Is the Subcontractor currently a debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes" indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:**

D-1-45. Was the Subcontractor in bankruptcy at any time during the last 5 years?

☐ Yes ☐ No

Case #: _____ **Date** _____ **Discharge** _____

D-1-46. Has your organization paid liquidated damages of more that $50,000 in the last 5 years?

☐ Yes ☐ No

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Complete		**Amount:**	
Other:			

D-1-47. In the last 5 years, has the Subcontractor, or any firm with which any of the subcontractor's owners, officers, or partners was associated, been disbarred, disqualified, removed, or otherwise prevented from bidding on, or completing any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

D-1-48. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the Subcontractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			

Description:

D-1-49. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

D-1-50. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of a crime involving any federal state, or local law related to construction?

☐ Yes ☐ No

If "yes," identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

D-1-51. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

D-1-52. Has Cal OSHA or federal OSHA cited an assessed penalties against the Subcontractor of any "serious", "willful", or "repeat" violations of its safety or health regulations in the last 5 years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

D-1-53. During the last 5 years, have there been instances in which the subcontractor was penalized or required to pay either back wages or penalties because of subcontractor's failure to comply with state's prevailing wage laws?

This question refers only to the violation of prevailing wage laws by this subcontractor, not to violations of the prevailing wage laws by a third tier sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

D-1-54. During the last 5 years, have there been occasions in which the Subcontractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the

amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

SUBPART D-2
SCORED QUESTIONS FOR THE ELECTRICAL SUBCONTRACTOR MEMBER(S)

D-2-42. How many parking structures located in California has the Subcontractor worked on in the last 5 years?

Project	Number of Spaces	Owner

D-2-43. How many years has the Subcontractor been in business in California under its present business name and license number?

Number of Years: _____

D-2-44. Is the Subcontractor currently a debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes" indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:**

D-2-45. Was the Subcontractor in bankruptcy at any time during the last 5 years?

☐ Yes ☐ No

Case #: _____ **Date** _____ **Discharge** _____

D-2-46. Has your organization paid liquidated damages of more that $50,000 in the last 5 years?

☐ Yes ☐ No

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Complete		**Amount:**	
Other:			

D-2-47. In the last 5 years, has the Subcontractor, or any firm with which any of the subcontractor's owners, officers, or partners was associated, been disbarred, disqualified, removed, or otherwise prevented from bidding on, or completing any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

D-2-48. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the Subcontractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

D-2-49. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

D-2-50. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of a crime involving any federal state, or local law related to construction?

☐ Yes ☐ No

If "yes," identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

D-2-51. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

D-2-52. Has Cal OSHA or federal OSHA cited an assessed penalties against the Subcontractor of any "serious", "willful", or "repeat" violations of its safety or health regulations in the last 5 years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

D-2-53. During the last 5 years, have there been instances in which the subcontractor was penalized or required to pay either back wages or penalties subcontractor's failure to comply with state's prevailing wage laws?

This question refers only to the violation of prevailing wage laws by this subcontractor, not to violations of the prevailing wage laws by a third tier sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

D-2-54. During the last 5 years, have there been occasions in which the Subcontractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:			**Project:**	
Completion:		**Agency:**		

Employees:		**Amount:**	

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:		**Agency:**	
Employees:		**Amount:**	

SUBPART D-3
SCORED QUESTIONS FOR THE PLUMBING SUBCONTRACTOR MEMBER(S)

D-3-42. How many parking structures located in California has the Subcontractor worked on in the last 5 years?

Project	Number of Spaces	Owner

D-3-43. How many years has the Subcontractor been in business in California under its present business name and license number?

Number of Years: _____

D-3-44. Is the Subcontractor currently a debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes" indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:**

D-3-45. Was the Subcontractor in bankruptcy at any time during the last 5 years?

☐ Yes ☐ No

Case #: _____ **Date** _____ **Discharge** _____

D-3-46. Has your organization paid liquidated damages of more that $50,000 in the last 5 years?

☐ Yes ☐ No

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Complete		**Amount:**	
Other:			

D-3-47. In the last 5 years, has the Subcontractor, or any firm with which any of the subcontractor's owners, officers, or partners was associated, been disbarred, disqualified, removed, or otherwise prevented from bidding on, or completing any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

D-3-48. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the Subcontractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			

Description:

D-3-49. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

D-3-50. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of a crime involving any federal state, or local law related to construction?

☐ Yes ☐ No

If "yes," identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		Public Agency:		Date:	
Grounds:					

D-3-51. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		Court:		Year:	
Criminal Conduct:					

D-3-52. Has Cal OSHA or federal OSHA cited an assessed penalties against the Subcontractor of any "serious", "willful", or "repeat" violations of its safety or health regulations in the last 5 years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

D-3-53. During the last 5 years, have there been instances in which the subcontractor was penalized or required to pay either back wages or penalties subcontractor's failure to comply with state's prevailing wage laws?

This question refers only to the violation of prevailing wage laws by this subcontractor, not to violations of the prevailing wage laws by a third tier sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

D-3-54. During the last 5 years, have there been occasions in which the Subcontractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:		**Project:**	
Completion:	**Agency:**		

Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

SUBPART D- 4
SCORED QUESTIONS FOR OTHER SUBCONTRACTOR MEMBER(S)

D-4-42. How many parking structures located in California has the Subcontractor worked on in the last 5 years?

Project	Number of Spaces	Owner

D-4-43. How many years has the Subcontractor been in business in California under its present business name and license number?

Number of Years: _____

D-4-44. Is the Subcontractor currently a debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes" indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:**

D-4-45. Was the Subcontractor in bankruptcy at any time during the last 5 years?

☐ Yes ☐ No

Case #: _____ **Date** _____ **Discharge** _____

D-4-46. Has your organization paid liquidated damages of more that $50,000 in the last 5 years?

☐ Yes ☐ No

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Complete		**Amount:**	
Other:			

D-4-47. In the last 5 years, has the Subcontractor, or any firm with which any of the subcontractor's owners, officers, or partners was associated, been disbarred, disqualified, removed, or otherwise prevented from bidding on, or completing any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

D-4-48. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the Subcontractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

D-4-49. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

D-4-50. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of a crime involving any federal state, or local law related to construction?

☐ Yes ☐ No

If "yes," identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		**Public Agency:**		**Date:**	
Grounds:					

D-4-51. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		**Court:**		**Year:**	
Criminal Conduct:					

D-4-52. Has Cal OSHA or federal OSHA cited an assessed penalties against the Subcontractor of any "serious", "willful", or "repeat" violations of its safety or health regulations in the last 5 years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

D-4-53. During the last 5 years, have there been instances in which the subcontractor was penalized or required to pay either back wages or penalties subcontractor's failure to comply with state's prevailing wage laws?

This question refers only to the violation of prevailing wage laws by this subcontractor, not to violations of the prevailing wage laws by a third tier sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

D-4-54. During the last 5 years, have there been occasions in which the Subcontractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

SUBPART D- 5
SCORED QUESTIONS FOR OTHER SUBCONTRACTOR MEMBER(S)

D-5-42. How many parking structures located in California has the Subcontractor worked on in the last 5 years?

Project	Number of Spaces	Owner

D-5-43. How many years has the Subcontractor been in business in California under its present business name and license number?

Number of Years: _____

D-5-44. Is the Subcontractor currently a debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes" indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:**

D-5-45. Was the Subcontractor in bankruptcy at any time during the last 5 years?

☐ Yes ☐ No

Case #: _____ **Date** _____ **Discharge** _____

D-5-46. Has your organization paid liquidated damages of more that $50,000 in the last 5 years?

☐ Yes ☐ No

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Complete		**Amount:**	
Other:			

D-5-47. In the last 5 years, has the Subcontractor, or any firm with which any of the subcontractor's owners, officers, or partners was associated, been disbarred,

disqualified, removed, or otherwise prevented from bidding on, or completing any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

D-5-48. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the Subcontractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

D-5-49. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

D-5-50. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of a crime involving any federal state, or local law related to construction?

☐ Yes ☐ No

If "yes," identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		**Public Agency:**		**Date:**	
Grounds:					

D-5-51. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		**Court:**		**Year:**	
Criminal Conduct:					

D-5-52. Has Cal OSHA or federal OSHA cited an assessed penalties against the Subcontractor of any "serious", "willful", or "repeat" violations of its safety or health regulations in the last 5 years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

D-5-53. During the last 5 years, have there been instances in which the subcontractor was penalized or required to pay either back wages or penalties subcontractor's failure to comply with state's prevailing wage laws?

This question refers only to the violation of prevailing wage laws by this subcontractor, not to violations of the prevailing wage laws by a third tier sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

D-5-54. During the last 5 years, have there been occasions in which the Subcontractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

Violation:			**Project:**		
Completion:		**Agency:**			
Employees:				**Amount:**	

SUBPART D- 6
SCORED QUESTIONS FOR OTHER SUBCONTRACTOR MEMBER(S)

D-6-42. How many parking structures located in California has the Subcontractor worked on in the last 5 years?

Project	Number of Spaces	Owner

D-6-43. How many years has the Subcontractor been in business in California under its present business name and license number?

Number of Years: _____

D-6-44. Is the Subcontractor currently a debtor in a bankruptcy case?

☐ Yes ☐ No

If "yes" indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:**

D-6-45. Was the Subcontractor in bankruptcy at any time during the last 5 years?

☐ Yes ☐ No

Case #: _____ **Date** _____ **Discharge** _____

D-6-46. Has your organization paid liquidated damages of more that $50,000 in the last 5 years?

☐ Yes ☐ No

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		**Address**	
Complete		**Amount:**	
Other:			

D-6-47. In the last 5 years, has the Subcontractor, or any firm with which any of the subcontractor's owners, officers, or partners was associated, been disbarred, disqualified, removed, or otherwise prevented from bidding on, or completing any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company				**Person:**	
Year:		**Owner:**		**Project:**	
Basis:					

D-6-48. In the past 5 years, has any claim in excess of $50,000 been filed in court or arbitration against the Subcontractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		**Date:**	
Claimant:		**Court:**	
Nature:			
Description:			

D-6-49. In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Subcontractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

Year:		**Carrier:**		**Form:**	
Comments:					

D-6-50. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of a crime involving any federal state, or local law related to construction?

☐ Yes ☐ No

If "yes," identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

Who:		**Public Agency:**		**Date:**	
Grounds:					

D-6-51. Has the Subcontractor or any of its officers, owners, or partners ever been convicted of any federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

If "yes," identify the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Person(s):		**Court:**		**Year:**	
Criminal Conduct:					

D-6-52. Has Cal OSHA or federal OSHA cited an assessed penalties against the Subcontractor of any "serious", "willful", or "repeat" violations of its safety or health regulations in the last 5 years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

Description:		**Date:**		**Nature:**	
Project:		**Amount:**			

D-6-53. During the last 5 years, have there been instances in which the subcontractor was penalized or required to pay either back wages or penalties subcontractor's failure to comply with state's prevailing wage laws?

This question refers only to the violation of prevailing wage laws by this subcontractor, not to violations of the prevailing wage laws by a third tier sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

D-6-54. During the last 5 years, have there been occasions in which the Subcontractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

Violation:		**Project:**	
Completion:	**Agency:**		
Employees:		**Amount:**	

PART IV:
RECENT CONSTRUCTION PROJECTS COMPLETED

D/B Entity shall provide information about its and its members, six most recently completed public works projects and the Contractor Members three largest completed D/B projects within the last three years. The D/B projects may be public or private and located anywhere in the United States. Names and references must be **current** and **verifiable**.

Project Number 1:

Project Name: _____
Responsible Member: _____
Location: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____
Description of Project, Scope of Work Performed:

Total Value of Construction (including change orders): _____
Original Scheduled Completion Date: _____
Time Extensions Granted (number of days): _____
Actual Date of Completion: _____

Project Number 2:

Project Name: _____
Responsible Member: _____
Location: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____
Description of Project, Scope of Work Performed:

Total Value of Construction (including change orders): _____
Original Scheduled Completion Date: _____
Time Extensions Granted (number of days): _____
Actual Date of Completion: _____

Project Number 3:

Project Name: _____
Responsible Member: _____
Location: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____
Description of Project, Scope of Work Performed:

Total Value of Construction (including change orders): _____
Original Scheduled Completion Date: _____
Time Extensions Granted (number of days): _____
Actual Date of Completion: _____

Project Number 4:

Project Name: _____
Responsible Member: _____
Location: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____
Description of Project, Scope of Work Performed:

Total Value of Construction (including change orders): _____
Original Scheduled Completion Date: _____
Time Extensions Granted (number of days): _____
Actual Date of Completion: _____

Project Number 5:

Project Name: _____
Responsible Member: _____
Location: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____
Description of Project, Scope of Work Performed:

Total Value of Construction (including change orders): _____
Original Scheduled Completion Date: _____
Time Extensions Granted (number of days): _____
Actual Date of Completion: _____

Project Number 6:

Location: _____
Responsible Member: _____
Owner: _____
Owner Contact Name: _____
Owner Contact Name: _____
Owner Contact Phone: _____
Architect or Engineer: _____
Architect or Engineer Contact Name: _____
Architect or Engineer Phone: _____
Construction Manager Name: _____
Construction Manager Phone: _____
Description of Project, Scope of Work Performed:

Total Value of Construction (including change orders): _____
Original Scheduled Completion Date: _____
Time Extensions Granted (number of days): _____
Actual Date of Completion: _____

CERTIFICATION

I, the undersigned, on behalf of the Design-Build Entity, certify and declare that I have read all the foregoing answers to this Pre-Qualification Document and know their contents. The matters stated in the Questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

_____
(Printed name)

_____
(Signature)

_____
(Dated)

Reference Interview Questions

REFERENCE INTERVIEW QUESTIONS

The following questions will be used to interview selected contacts from at least two of the recently completed projects. The County will select projects and conduct the interviews. No action on the D/B Entity's part is necessary. These questions are included in the package given to the D/B Entity for information only.

Project #: _____
Contractor: _____
Project: _____
Brief Description:

1. Are there any outstanding stop notices, liens, or claims by the Contractor that are currently unresolved on contracts for which notices of completion were recorded more than 120 days ago?

   Yes No If "yes," how many separate instances? _____

2. On a scale of 1-10, with 10 being the best, did the Contractor provide adequate personnel?

   Rating: _____

3. On a scale of 1-10, with 10 being the best, did the Contractor provide adequate supervision? Rating: _____

4. On a scale of 1-10, with 10 being the best, was there adequate equipment provided on the job?

   Rating: _____

5. On a scale of 1-10, with 10 being the best, was the Contractor timely in providing reports and other paperwork, including change order paperwork and scheduling updates?

   Rating: _____

6. On a scale of 1-10, with 10 being the best, did the Contractor adhere to the project schedule that your agency or business approved? Rating: _____

7. Was the project completed on time?

   Yes No If "no," rate Contractor responsibility : _____

8. On a scale of 1-10, with 10 being the best, rate the Contractor on the timely submission of reasonable cost and time estimates to perform change order work. Rating: _____

9. On a scale of 1-10, with 10 being the best, rate the Contractor on how well they performed the work after a change order was issued, and how well they integrated the change order work into the existing work.
Rating: _____

10. On a scale of 1-10, with 10 being the best, rate how has the Contractor been performing in the area of submitting Operation & Maintenance manuals, completing as-built drawings, providing required training, and taking care of warranty items?
Rating: _____

11. On a scale of 1-10, with 10 being the best, rate the Contractor on whether there were an unusually high number of claims, given the nature of the project, or unusual difficulty in resolving them.
Rating: _____

12. On a scale of 1-10, with 10 being the highest, rate the Contractor with respect to timely payments by them to sub-contractors and/or suppliers.
Rating: _____

13. On a scale of 1-10, with 10 being the best, rate the quality of the work overall.
Rating: _____

14. On a scale of 1-10, with 10 being the best, was the contractor cooperative with the owner and the architect?
Rating: _____

15. On a scale of 1-10, with 10 being the best, did the contractor try to resolve disputes in a fair and equitable manner.
Rating: _____

Scoring Worksheets

SCORING WORKSHEETS

The rating of the Design-Build Entity is determined by: 1) confirming the correct answers to the Essential Requirements, 2) adding the point value of all of the answers to the Scored Questionnaire and 3) adding the point value of all Reference Interview Questions. The table below gives the value of each scored question for the Essential Requirements and Scored Questionnaire parts.

The Scoring Worksheets list every essential requirement and scored question. The requirements and questions are abbreviated to capture the essence of the item. The abbreviation does not change the actual requirement or question as written in the Essential Requirements and Scored Questionnaire sections of the Pre-Qualification Questionnaire.

PART II Essential Requirements For the Design/Build Entity as a Whole

Question #	Requirement / Question	Yes	No	Score
1	**Does General Contractor & Subcontractors have current California contractor's license?** *Yes = 0; No = 1*			
2	**Does General Contractor & Subcontractors have liability insurance?** *Yes = 0; No = 1*			
3	**Does Contractor & Subcontractors have workers' compensation insurance?** *Yes = 0; No = 1*			
4	**Is General Contractor's financial statement attached?** *Yes = 0; No = 1*			
5	**Is General Contractor's notarized surety statement attached?** *Yes = 0; No = 1*			
6	**Has General Contractor or Subcontractors' license revoked in the last five years?** *Yes = 1; No = 0*			
7	**Has General Contractor or Subcontractors' surety paid for completion last 5 years?** *Yes = 1; No = 0*			
8	**Is General Contractor or Subcontractors' ineligible to bid on public works?** *Yes = 1; No = 0*			
9	**Has General Contractor or Subcontractors been convicted of crime on public contract?** *Yes = 1; No = 0*			
10	**Has Contractor been found liable or guilty in a criminal action?** *Yes = 1 pt; No =0*			
11	**Has General Contractor been convicted of a crime related to construction?** *Yes =1 pt; No =0*			
12	**Has General Contractor been convicted of a fraud, theft or dishonesty?** *Yes = 1 pt; No =0*			
13	**Does Architect & Subconsultants have current license?** *Yes = 0; No = 1*			

14	**Does Architect & Subconsultants have professional liability insurance?** *Yes = 0; No = 1*			
15	**Does Architect & Subconsultants have workers' compensation insurance?** *Yes = 0; No = 1*			
16	**Is Architect's financial statement attached?** *Yes = 0; No = 1*			
17	**Has Architect & Subconsultants' license been revoked in last five years?** *Yes = 1; No = 0*			
18	**Has Architect or Subconsultants been convicted of a crime on public contract?** *Yes = 1; No = 0*			
19	**Has the Architect been found guilty of criminal activity against a public agency?** *Yes =1 pt; No =0 pts*			
20	**Has Architect been convicted of a crime related to construction?** *Yes =1 pt; No =0 pts*			
21	**Has Architect been convicted of a fraud, theft or dishonesty?** *Yes =1 pt; No =0 pts*			
Total Score for Essential Requirements (Disqualified if score is greater than "0")				

Scoring Worksheet

PART III A. Scored Questions for the General Contractor

Question #	Requirement / Question	Yes	No	Instance	Score
1	**How many years General Contractor been in business in California?** *3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs + = 5 pts*				
2	**Is General Contractor currently in a bankruptcy?** *Yes = 0 pts; No = 3 pts*				
3	**Has General Contractor been in bankruptcy during the last five years?** *Yes = 0 pts; No = 3 pts*				
4	**How many projects has General Contractor paid liquidated damages of $50,000 in last five years?** *0 to 1 projects = 5 pts; 2 projects =3 pts; more than 2 = 0 pts.*				
5	**Has General Contractor been prevented from bidding on public works projects in last five years?** *Yes =subtract 5 pts; No =5 pts*				
6	**Has General Contractor been denied award of a public works projects in last five years?** *Yes = 0 pts; No = 5 pts*				
7	**Has a claim over $50,000 been files against General Contractor in last five years?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances.*				
8	**Has General Contractor filed a claim of more than $50,000 against owner in last five years?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances*				
9	**Has any insurance carrier refused to renew General Contractor policy?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2*				
10	**Has General Contractor paid more than 1% for a performance & payment bond?** *5 pts for up to 1%; 3 pts for no higher than 1.10%; 0 pts for any other answer*				
11	**Has a surety ever denied General Contractor bond coverage in last five years?** *Yes =0; No =5*				
12	**Has CAL OSHA cited General Contractor for serious, willful or repeated safety violations in last five years?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer*				
13	**Has federal OSHA cited General Contractor in last five years?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer*				
14	**Has EPA, AQMD or RWQCB assessed penalties against General Contractor?** *5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer*				
15	**Does General Contractor require weekly safety meetings?** *Yes, weekly =3; any other answer=0*				

16	**Has General Contractor been issued an EMR for last three years?** *5 pts for .95 or less; 3 pts if between .96 and 1.00; 0 pts for any other answer*				
17	**Has General Contractor been without workmen's compensation insurance in last five years?** *5 pts for 0 to 1 instance; 0 pts for any other answer*				
18	**Has General Contractor failed to comply with state prevailing wage laws?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
19	**Has General Contractor failed to comply with federal prevailing wage laws?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
20	**Does General Contractor intend to use a California Apprenticeship Council program?** *Yes =5; No =0*				
21	**Has General Contractor operated own state approved apprenticeship program?** *5 pts if 1 or more persons; 0 pts if no persons*				
22	**Has General Contractor violated California apprentice laws?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
Total for Scored Contractor Questions **Minimum Qualifying Score is 78**					

Scoring Worksheet

PART III B. Scored Questions for the Architect

Question #	Requirement / Question	Yes	No	Instance	Score
23	**How many parking structures in California?** *5 pts for 2 or more; 3 pts for 1; 0 pts for 0*				
24	**How many years has Architect been in business in California?** *3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs + = 5 pts*				
25	**Is Architect a debtor in a bankruptcy case?** *Yes =0; No =3*				
26	**Was Architect in bankruptcy in last five years?** *Yes =0; No =3*				
27	**Has a claim in excess of $50,000 been filed against Architect?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer.*				
28	**Has Architect filed a claim in excess of $50,000 against any owner?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer.*				
29	**Has any insurance carrier refused to renew a policy for the Architect?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
30	**Does Architect have LEEDS accredited designers?** *Yes = 5 pts., No = 0 pts.*				
31	**Has Architect certified LEEDS projects?** *Yes = 5 pts, No = 0 pts*				
Total for Scored Architect Questions. Minimum Qualifying Score is 31.					

Scoring Worksheet

PART III C. Scored Questions for the Sub-Consultant. Scoring table is typical for all SubConsultants.

Question #	Requirement / Question	Yes	No	Instance	Score
32	**How many parking structures in California?** *5 pts for 2 or more; 3 pts for 1; 0 pts for 0*				
33	**How many years in business in California?** *3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs + = 5 pts*				
34	**Is subconsultant a debtor in a bankruptcy case?** *Yes =0 pts; No = 3 pts*				
35	**Was subconsultant in bankruptcy in last five years?** *Yes =0 pts; No = 3 pts*				
36	**Has subconsultant filed a claim in excess of $50,000 against any owner?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer.*				
37	**Has a claim in excess of $50,000 been filed against subconsultant?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer.*				
38	**Has any insurance carrier refused to renew a policy for the subconsultant?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
39	**Has subconsultant been convicted of a crime related to construction?** *Yes =subtract 5 pts; No =5*				
40	**Has subconsultant been convicted of a fraud, theft or dishonesty?** *Yes =subtract 5 pts; No =5*				
Total for Scored Sub-Consultant Questions. Minimum Qualifying Score is 31.					

PART III D. Scored Questions for the Subcontractor. Scoring table is typical for all Subcontractors.

Question #	Requirement / Question	Yes	No	Instance	Score
41	**How many parking structures in California?** *5 pts for 2 or more; 3 pts for 1; 0 pts for 0*				
42	**How many years in business in California?** *3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs + = 5 pts*				
43	**Is Subcontractor a debtor in a bankruptcy case?** *Yes =0; No =3*				
44	**Was Subcontractor in bankruptcy in last five years?** *Yes =0; No =3*				
45	**How many projects has Subcontractor paid liquidated damages of $50,000 in last five years?** *5 pts for 0 to 1 projects; 2 projects =3 pts; more than 2 = 0 pts.*				
46	**Has Subcontractor been prevented from bidding on public works projects in last five years?** *Yes =subtract 5 pts; No =5 pts*				
47	**Has a claim over $50,000 been filed against Subcontractor in last five years?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances.*				
48	**Has any insurance carrier refused to renew Subcontractor policy?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2*				
49	**Has Subcontractor been convicted of a crime related to construction?** *Yes = subtract 5 pts; No =5*				
50	**Has Subcontractor been convicted of a fraud, theft or dishonesty?** *Yes = subtract 5 pts; No =5*				
51	**Has CAL or federal OSHA cited Subcontractor for serious, willful or repeated safety violations in last five years?** *5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer*				
52	**Has Subcontractor failed to comply with state prevailing wage laws?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
53	**Has Subcontractor failed to comply with federal prevailing wage laws?** *5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer*				
Total for Scored Subcontractor Questions					

Summary of Scored Questions for Core D/B Entity Members

Section	Section Score
Part II. Essential Requirements For the Design/Build Entity as a Whole (Disqualified if score is greater than 0)	
Part III A. Scored Questions for the General Contractor (Minimum qualifying score is 78 points)	
Part III B. Scored Questions for the Architect (Minimum qualifying score is 31 points)	
Part III C. Scored Questions for the Structural Engineer Subconsultant (Minimum qualifying score is 31 points)	
Part III C. Scored Questions for the Mechanical Engineer Subconsultant (Minimum qualifying score is 31 points)	
Part III C. Scored Questions for the Electrical Engineer Subconsultant (Minimum qualifying score is 31 points)	
Part III C. Scored Questions for the Civil Engineer Subconsultant (Minimum qualifying score is 31 points)	
Total Score **Minimum Qualifying Score is 233**	

Attachments Required