

INTERACTIVE PDF
OF
SECTION 4: APPLICATION FOR PRE-QUALIFICATION
FROM
PRE-QUALIFICATION OF SUB-CONTRACTORS
SEEKING TO BID



San Luis Obispo County
Sheriff – Operations Center – Expand Women’s Jail
Project No 300034
San Luis Obispo County, California

RSOQ #1205-12

January 4, 2013

Prior to submittal of hard copies printed from this interactive PDF, Contractor is responsible for ensuring all information entered is shown on the hard copies.

PART I. ORGANIZATION INFORMATION and AFFIDAVIT

The following documents, Pre-qualification Application, Parts I through VI, are to be filed with the County of San Luis Obispo by the Organization requesting Pre-qualification, in accordance with the Public Contract Code, for the aforementioned Project:

Firm Name: Check One:

Corporation (as it appears on license)

Partnership

Sole Prop

Contact Person: _____

Address: _____

Phone: Fax: _____

If firm is a sole proprietor or partnership:

Owner(s) of Company: _____

Contractor's License Number(s) Classification(s), expiration date(s): _____

AFFIDAVIT

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated: _____

(Authorized Signature)

(Printed name and title)

PART II. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Sub-Contractor will be immediately disqualified if the answer to any of questions 1 through 5 is “no.”¹ Sub-Contractor will be immediately disqualified if the answer to any of questions 6, 7, 8 or 9 is “yes.”² If the answer to question 8 is “yes,” and if debarment would be the sole reason for denial of pre-qualification, any pre-qualification issued will exclude the debarment period.

1. Sub-Contractor possesses a valid and current California Contractor’s Specialty License for the trade for which it intends to submit.

Yes No

2. Sub-Contractor has a commercial general liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$5,000,000 aggregate.

Yes No

3. Sub-Contractor has current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.

Yes No

Sub-Contractor is exempt from this requirement, because it has no employees

4. Have you attached a copy of our most recent reviewed or audited financial statement with accompanying notes and supplemental information.³

Yes No

NOTE: A financial statement must either be reviewed or audited to be considered acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

5. SKIP QUESTION

6. Has your Contractor’s license been revoked at any time in the last five years?¹

Yes No

7. SKIP QUESTION

8. At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a sub-contractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes No

If the answer is “Yes,” state the beginning and ending dates of the period of debarment:

9. At any time during the last five years, has your firm or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract? ¹

Yes No

Notes:

1. A “no” answer to Question 4 will not be disqualifying if the sub-contractor is exempt from complying with Question 4 for reasons explained in Note 3.

2. A Sub-Contractor disqualified solely because of a “Yes” answer given to question 6, 7, or 9 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

3. Public Contract Code Section 20101(e) exempts from this requirement a sub-contractor who has qualified as a Small Business Administration entity pursuant to Government Code Section 14837(d)(1), when the bid is “no more than 25 percent of the qualifying amount provided” in Government Code Section 14837(d)(1).

PART III. ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For Firms That Are Corporations:

- 1a. Date incorporated: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, and treasurer), or (b) the owner of at least ten per cent of the corporation's stock.

Name	Position	Years with Co.	% Ownership	Social Security #

- 1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

For Firms That Are Partnerships:

- 1a. Date of formation: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each partner who owns 10 percent or more of the firm.

Name	Position	Years with Co.	% Ownership	Social Security #

1d. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

For Firms That Are Sole Proprietorships:

- 1a. Name of business owner: _____
- 1b. Date of commencement of business: _____
- 1c. Social security number of company owner: _____
- 1d. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Company	Position	Years with Co.	% Ownership

B. History of the Business and Organizational Performance

2. Has there been any change in ownership of the firm at any time during the last five years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No If "yes," explain on a separate signed page.

3. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No If "yes," explain on a separate signed page.

4. Are any corporate officers, partners or owners connected to any other construction firms?

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No If "yes," explain on a separate signed page.

5. State your firm's gross revenues in dollars for each of the last three fiscal years:_____

6. How many years has your organization been in business in California as a contractor under your present business name and license number? _____years.

7. Is your firm currently the debtor in a bankruptcy case?

Yes No If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed. _____

8. Was your firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 7, above)

Yes No If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

Licenses

9. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:

10. If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the Contractors State Licensing Board (CLSB) records who meet(s) the experience and examination requirements for each license.

11. Has your firm changed names or license number in the past five years?

Yes No If "yes," explain on a separate signed page, including the reason for the change.

12. Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?

Yes No If "yes," explain on a separate signed page, including the reason for the change.

13. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

Yes No If "yes," please explain on a separate signed sheet.

Disputes

14. At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?

Yes No If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, and the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

15. In the last five years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

Yes No If "yes," explain on a separate signed page. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

16. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

Yes No If “yes,” explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

17. In the past five years has any claim **against** your firm concerning your firm’s work on a construction project been **filed in court or arbitration**?

Yes No If “yes,” on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

18. In the past five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and **filed that claim either with a public entity pursuant to Government Code sections 810 et seq., or in court or arbitration**?

Yes No If “yes,” on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

19. SKIP QUESTION

20. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes No If “yes,” explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

Criminal Matters and Related Civil Suits

21. Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material is representation to any public agency or entity?

Yes No If “yes,” explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

22. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No If “yes,” explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

23. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No If "yes," identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Bonding - SKIP QUESTIONS

24. SKIP QUESTION

25. SKIP QUESTION

C. Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

26. Has the California Occupational Safety and Health Administration (CAL OSHA) cited and assessed penalties against your firm for any "serious", "willful" or "repeat" violations of its safety or health regulations in the past five years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No If "yes," attach a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

27. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No If "yes," attach a separate signed page describing each citation.

28. Has the state or Federal Environmental Protection Agency, California Department of Fish and Game, Army Corps of Engineers, US Fish and Wildlife Service, any local/regional Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No If "yes," attach a separate signed page describing each citation.

29. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project? _____
30. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

NOTE: your workers' compensation insurance carrier issues an Experience Modification Rate to your firm annually.

Current year: _____

Previous year: _____

Year prior to previous year: _____

If your EMR for any of these three years is or was 1.00 or higher you may, if you wish, attach a letter of explanation.

31. Within the last five years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No If "yes," please explain the reason for the absence of workers' compensation insurance on a separate signed page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in the construction business.)

Prevailing Wage and Apprenticeship Compliance Record

32. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the State's prevailing wage laws?

NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a sub-contractor.

Yes No If "yes," attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

33. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with prevailing wage requirements?

Yes No If "yes," attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

34. Provide the **name, address and telephone number** of the apprenticeship program sponsor(s) (approved by the California Apprenticeship Council) from whom you intend to

request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by San Luis Obispo County.

35. If your firm operates its own State-approved apprenticeship program:

(a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.

(b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s). (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

36. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public construction projects?

Yes No If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

PART IV. ORGANIZATION'S STATEMENT OF EXPERIENCE AND RECENT CONSTRUCTION PROJECTS COMPLETED

Name of Organization _____

Provide information on projects completed or currently in progress that demonstrate your organization's experience with projects of similar scope, size and complexity as the San Luis Obispo County Women's Jail Expansion.

Please label response consistent to the categories listed below and includes project name, location, construction value, and owner contact information.

1. Relevant Projects

Provide specific project related experience, relevance of scope, size and complexity. Submit at least (3) examples recently completed or in progress projects and no more than six (6) examples of your organization's relevant projects completed within the last ten (10) years, including at least three (3) public/ government bid projects in the state of California . Relevant projects shall include as many of the following components and construction types, as applicable:

- a. At least one 40,000 gross square foot (or greater) correctional, military, justice, medical, or education facility, or the addition of 40,000 square feet to an existing facility.
Failure to provide this information will automatically disqualify the Sub-Contractor.
- b. Correctional, military, justice, medical, or education Facilities with approximately a 20,000 gross square footage area or total construction costs of at least \$15,000,000.
- c. Large, complex, detention military, justice, hospital, or other applicable secure campus facilities with related scope, complexity and value as described above, and specifically projects under the regulatory jurisdictions such as:
 - U.S. Department of Justice:
 - Bureau of Prisons
 - Department of Homeland Security
 - Immigration and Customs Enforcement
 - U.S. Marshalls Office
 - Department of Defense Facilities
 - CA Department of Corrections and Rehabilitation
 - Corrections Standards Authority
 - Office of Statewide Health Planning and Development
- d. Projects with complex interrelated building systems such as security monitoring and alarm, building and energy management, telecommunications, data distribution and other related subsystems.
- e. Projects requiring completion of the project in phases, possibly allowing owner occupancy of portion of completed phase(s) prior to final completion of whole project.
- f. Projects requiring work in adjacent existing site/facility that remains continually occupied and operational, while new work including utilities, site features, security, building and energy management, and telephone/data systems are constructed and connected to the existing facility. Clearly identify the relevance of each project and be specific as to the nature of any self-performed work and the role of your organization in the management of the overall project.

List each project by name, location, year of completion, and owner's name, owner's project manager's name and current contact information including phone number. Include a description of the construction type, project schedule, and the construction value of the work performed. Photos and other graphic materials would be helpful to delineate each project. A Sample Format is included following this listing of categories.

2. Key Personnel

Separately, provide proposed key personnel's qualifications, experience, length of employment with company, and training to competently manage this project. Key personnel shall include principal(s), or officer(s) having overall project responsibility, as well as on-site project manager(s), superintendent(s), project controls engineer(s), schedule manager(s), and all others involved in the management of the project. Provide an overview of how your organization intends to structure on-site management operations and interface with the home office, owner, general contractor and County representatives during the construction of the project.

Part IV Example Project 1

- a) Project Name: _____
- b) Location: _____
- c) Owner: _____
- d) Owner Contact (name and current phone number):

- e) Architect Engineer (name of firm): _____
- f) Architect or Engineer contact (name and current phone number):

- g) General Contractor (name and current phone number): _____

- h) Description of Project:

- i) Identification of Project:
New Construction Renovation Structural Rehabilitation
Addition Remodel Specialty Upgrade Other- Explain: _____
- j) Scope of Work performed:

- k) Explain relevance to this project:

- l) Total value of Project: _____ SQ FT?: _____
- m) Total number and total value of change orders: _____
- n) Original Scheduled Completion Date: _____
- o) Time Extensions Granted (number of days): _____
- p) Actual Date of Completion: _____

Sub-Contractor's Team:

Position/Name: _____

Position/Name: _____

Position/Name: _____

Attachments

Photos

Others

NOTE: contact information will only be used to verify information as needed.

Part IV Example Project 2

a) Project Name: _____

b) Location: _____

c) Owner: _____

d) Owner Contact (name and current phone number):

e) Architect Engineer (name of firm): _____

f) Architect or Engineer contact (name and current phone number):

g) General Contractor (name and current phone number): _____

h) Description of Project:

i) Identification of Project:

New Construction Renovation Structural Rehabilitation

Addition Remodel Specialty Upgrade Other- Explain: _____

j) Scope of Work performed:

k) Explain relevance to this project:

l) Total value of Project: _____ SQ FT?: _____

m) Total number and total value of change orders: _____

n) Original Scheduled Completion Date: _____

o) Time Extensions Granted (number of days): _____

p) Actual Date of Completion: _____

Sub-Contractor's Team:

Position/Name: _____

Position/Name: _____

Position/Name: _____

Attachments

Photos

Others

NOTE: contact information will only be used to verify information as needed.

Part IV Example Project 3

- a) Project Name: _____
- b) Location: _____
- c) Owner: _____
- d) Owner Contact (name and current phone number):

- e) Architect Engineer (name of firm): _____
- f) Architect or Engineer contact (name and current phone number):

- g) General Contractor (name and current phone number): _____

- h) Description of Project:

- i) Identification of Project:
New Construction Renovation Structural Rehabilitation
Addition Remodel Specialty Upgrade Other- Explain: _____
- j) Scope of Work performed:

- k) Explain relevance to this project:

- l) Total value of Project: _____ SQ FT?: _____
- m) Total number and total value of change orders: _____
- n) Original Scheduled Completion Date: _____
- o) Time Extensions Granted (number of days): _____
- p) Actual Date of Completion: _____

Sub-Contractor's Team:

Position/Name: _____

Position/Name: _____

Position/Name: _____

Attachments

Photos

Others

NOTE: contact information will only be used to verify information as needed.

PART V. ORGANIZATION'S FINANCIAL STATEMENT

Name of Organization _____

1. Financial Statements and Supplemental Information

Financial pre-qualification will be established by determining capacity to perform the County of San Luis Obispo contract in the following manner:

- a. Working capital is determined from the most recent balance sheet submitted, by subtracting the current liabilities from current assets.
- b. Available lines of credit or other credit facilities are then added to the working capital, and then the sum is multiplied by ten (10).
- c. Uncompleted work on current contracts, which have been awarded to your organization (backlog), will be subtracted from the amount determined in paragraph "b" above.

Should your organization not qualify on the basis of the above calculation, the County may consider other alternative information you can provide that, in its sole judgment, indicates clearly your ability to meet the financial requirements of the anticipated County contract. This information must include the required data described below, and sufficient supplemental analysis and description as needed to clearly present your position. This information must be submitted with your AFP. It is your responsibility to make the above described calculation and determine if additional information will be required to demonstrate your ability to perform the project.

2. Information you must submit includes:

- a. Full set of financial statements for your most recent three (3) complete fiscal years, accompanied by either an audit or review report by an independent Certified Public Accountant. ***Compiled or internally prepared financial statements will not be accepted.*** Statements, which are older than six (6) months, must be supplemented by internally prepared financial statements, which update the information to no more than six (6) months from the date of submission of the AFP. Such statements must be prepared in accordance with generally accepted accounting principles, including all required information disclosures.
- b. Letter from a financial institution in support of available lines of credit or other facilities, if you wish them to be considered in prequalification. See attached "General Statement of Bank Credit" for sample language; Attachment – 1A.
- c. Schedule indicating contracts, which have been awarded to you, and reconciling the original award, any amendments, completed portion and uncompleted portion of such contracts. This is your backlog of work awarded but not yet complete.

PART V. ORGANIZATION'S FINANCIAL STATEMENT

Name of Organization: _____

3. Insurance Requirements

Each policy of insurance carried by the successful bidder for this project shall be issued by an insurance company licensed to do business in the State of California with a rating of "A" or better and a financial size category of "V" or better according to the latest edition of "Bests".

- a. Attach a statement from the Worker's Compensation carrier specifying organization's current Experience Modification rating for Worker's Compensation in the State of California.
- b. List the names of the insurance firms utilized by your organization in the last five (5) years, for projects over \$2,000,000.

Name of Insurance Company No. 1 _____

Address: _____

Contact Name: _____ Telephone: _____ Fax: _____

Rating: _____

Name of Insurance Company No. 2 _____

Address: _____

Contact Name: _____ Telephone: _____ Fax: _____

Rating: _____

Name of Insurance Company No. 3 _____

Address: _____

Contact Name: _____ Telephone: _____ Fax: _____

Rating: _____

PART VI. ATTACHMENTS REQUIRED

Name of Organization _____

The following documents, Attachments 1 through 7, are to be filed with the County of San Luis Obispo by the Organization requesting Prequalification, in accordance with the Public Contract Code, for the aforementioned project.

Failure to provide all these attachments will be cause for disqualification for this project.

- **Attachment 1** – Certificate of Accountant
- **Attachment 1A** – General Statement of Bank Credit
- **Attachment 2** – SKIP ATTACHMENT
- **Attachment 3** – Notarized Statement from Worker’s Compensation Insurance Carrier
- **Attachment 4** – Current Copy of Organization’s California Contractor’s License(s)
- **Attachment 5** – SKIP ATTACHMENT
- **Attachment 6** – Certification declaring that the applying Organization, in the last five (5) years has not been found by a judge, arbitrator, jury, or a nolo contendere plea to have submitted a false or fraudulent claim to a public agency
- **Attachment 7** – Certification declaring that the applying Organization has not been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of violations of law or a safety regulation, pursuant to Public Contract Code, Section 10162

Attachment 1 – Certificate of Account

Exchange this page for a Certificate of Account.

Attachment 1A – General Statement of Bank Credit

Exchange this page for a General Statement of Bank Credit.

Attachment 2 – SKIP ATTACHMENT

Attachment 3 – Notarized Statement from the Worker’s Compensation Carrier

Exchange this page for a notarized statement from the Worker’s Compensation carrier that your organization proposes to use, specifying Contractor’s current Experience Modification Rating for Workers’ Compensation for the State of California.

Attachment 4 – Current Copy Organization’s California Contractor’s License(s)

Exchange this page for a current copy of your organization’s California Contractor’s License(s).

Attachment 5 – SKIP ATTACHMENT

Attachment 6 – False or Fraudulent Claim

Exchange this page for a certification declaring that the applying organization in the last five (5) years has not been found by a judge, arbitrator, jury, or nolo contendere plea to have submitted a false or fraudulent claim to a public agency.

Sample Declaration

I, _____, authorized agent of
(Print Name)

(Name of Organization)

I hereby certify, under penalty of perjury under the laws of the State of California, that the foregoing is true and correct. In the last five (5) years that the organization, any affiliate, parent or subsidiary company has not been found by a judge, arbitrator, jury, or nolo contendere plea to have submitted a false or fraudulent claim to a public agency.

Signed: _____

Dated: _____

Attachment 7 – Disqualification or Removal

Exchange this page for a certification declaring that the applying organization has not been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of violations of law or a safety regulation, pursuant to State of California, Public Contract Code, Section 10162.

Sample Declaration

I, _____, authorized agent of
(Print Name)

(Name of Organization)

I hereby certify, under penalty of perjury under the laws of the State of California, that the organization has not been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state or local government project because of violations of law or a safety regulation, pursuant to State of California, Public Contract Code, Section 10162.

Signed: _____

Dated: _____

**END OF SECTION 4:
APPLICATION FOR PRE-QUALIFICATION**

Prior to submittal of hard copies printed from this interactive PDF, Contractor is responsible for ensuring all information entered is shown on the hard copies.