**San Luis Obispo County Pension Trust**
RFP For a New Pension Administration System Functional Requirement Matrix
RFP Attachment 1

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 1 | 3.1.1 | Activity Tracking / Call Center | Ability for users to tie documents, images, spreadsheets, and similar or related items to any activity tracking log entry. | | | |
| 2 | 3.1.1 | Activity Tracking / Call Center | Ability to capture (automatically user-id, user name, date and time stamped) all contacts and inquiries, including comment, status, routing, requestor, caller, relationship, etc. (via Email, correspondence, telephone calls, counseling sessions, Facebook posts, Tweets, Individual Member (IM) sessions, personal contact). As appropriate, interactions should be categorized and coded based on type of interaction (information, disability, death, etc.) | | | |
| 3 | 3.1.1 | Activity Tracking / Call Center | Ability to maintain a call log, with drop down values for the type of call at the member level, automatically capturing timestamp and user id. | | | |
| 4 | 3.1.1 | Activity Tracking / Call Center | Ability to automatically capture and retrieve all interactions with customers, regardless of communication channel, to include but not be limited to customer name, communication channel, date, time, comments and routing. | | | |
| 5 | 3.1.1 | Activity Tracking / Call Center | Ability to automatically capture and retrieve all SLOCPT responses to any customer interaction to include but not be limited to e-mail, correspondence, telephone calls, counseling sessions. | | | |
| 6 | 3.1.1 | Activity Tracking / Call Center | Ability to capture, at the individual customer level, the preferred means of communication to include but not be limited to mail, telephone and e-mail. | | | |
| 7 | 3.1.1 | Activity Tracking / Call Center | Ability to quickly retrieve information about a member to include but not be limited to the member record, Line of Business data, notes of previous calls and imaged documents. | | | |
| 8 | 3.1.1 | Activity Tracking / Call Center | Ability to integrate activity tracking with the line of business system, not requiring duplicate input of data or separate action and / or access modes. | | | |
| 9 | 3.1.1 | Activity Tracking / Call Center | Ability to route a ticket with details of received call to a specific member of staff for them to follow-up on call. | | | |
| 10 | 3.1.1 | Activity Tracking / Call Center | Ability to support tracking of letters, faxes, emails, phone calls and forms both in-bound and out-bound. | | | |
| 11 | 3.1.1 | Activity Tracking / Call Center | Ability to automatically display all past activity tracking events that are similar to the member's current request. | | | |
| 12 | 3.1.1 | Activity Tracking / Call Center | Ability to provide a Consolidated "Quick View" of member information on a single screen. At the top of the screen SLOCPT 5 key identifiers should be included at SLOCPT preference to allow for easy identification of callers. | | | |
| 13 | 3.1.1 | Activity Tracking / Call Center | Ability to provide as part of a "Quick View" Screen the most recent Payments made or those that are pending, without having to go to multiple screens. | | | |
| 14 | 3.1.1 | Activity Tracking / Call Center | Ability to track, archive and manage phone call notes as electronic correspondence. | | | |
| 15 | 3.1.1 | Activity Tracking / Call Center | Ability to enable and enforce a standard format for making notes of communications with members and actions on their accounts and store electronically. | | | |
| 16 | 3.1.1 | Activity Tracking / Call Center | Ability to capture user-entered, free form notes on contacts (email, phone call, counseling session, etc.) with SLOCPT customers (automatically applying user-id, user name, date, time stamp, etc.); records must be limited in length by SLOCPT, able to be sorted based on various criteria such as date/time, SLOCPT contact, type of contact, disposition, etc., and viewable by any other authorized user. Use of defaults and standard (drop-down) codes to minimize need for comments is encouraged. | | | |
| 17 | 3.1.1 | Activity Tracking / Call Center | Ability to find answers and respond to typical service questions from members.<br>"Typical" service requests received by SLOCPT to be supported include, but are not limited to:<br>01. What's my balance?<br>02. What will my refund be?<br>03. Can I have an award letter?<br>04. What's the status of my benefit estimate request / retirement application?<br>05. What's the status of my refund check?<br>07. Where is my pension check / direct deposit?<br>08. Can I change my address?<br>09. Can I change my beneficiary?<br>10. Explain my deductions.<br>11. I didn't get a Member Annual Statement.<br>13. Requesting forms<br>14. Benefit Estimate request<br>15. Please change my … | | | |
| 18 | 3.1.1 | Activity Tracking / Call Center | Ability to provide access to all customer correspondence with the ability to provide resends/reprints as needed through various media (hardcopy, email, portal, etc.). | | | |
| 19 | 3.1.1 | Activity Tracking / Call Center | Ability to automatically update the activity tracking database for life events, including but not limited to beneficiary designation, request for retirement benefit estimate, retirement application, disability application, termination of employment, refund requests, service credit purchases, death of member, retiree, or beneficiary, etc. | | | |
| 20 | 3.1.1 | Activity Tracking / Call Center | Ability to display the status (not-started, in-process, pending more information, completed, etc.) of all workflow processes. | | | |
| 21 | 3.1.1 | Activity Tracking / Call Center | Ability to capture, track, and report on at the member level when a mass mailing was sent, to whom and how it was sent to each member (e.g., USPS, email, email link). | | | |
| 22 | 3.1.1 | Activity Tracking / Call Center | Ability to interface the customer service screens with current office applications and e-mail. | | | |
| 23 | 3.1.1 | Activity Tracking / Call Center | Ability to integrate the customer service screens with workflow to initiate a process for a member and track the status of requests. | | | |
| 24 | 3.1.1 | Activity Tracking / Call Center | Ability to create and manage automated ticklers to remind SLOCPT staff of upcoming events. | | | |
| 25 | 3.1.1 | Activity Tracking / Call Center | Ability to automatically capture, index appropriately, and subsequently retrieve all SLOCPT responses to any member interactions (including email, correspondence, telephone calls, counseling sessions, Facebook posts, Tweets, IM sessions, personal contact) | | | |
| 26 | 3.1.1 | Activity Tracking / Call Center | Ability to capture a form request (form requested, date requested, date mailed, the requestor, the recipient) | | | |
| 27 | 3.1.1 | Activity Tracking / Call Center | Ability to route a ticket with details of received call to a specific member of staff for them to follow-up on call. | | | |

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| 28 | 3.1.1 | Activity Tracking / Call Center | Ability to record activity from “walk-in” appointments. | | | |
| 29 | 3.1.1 | Activity Tracking / Call Center | Ability to flag a member’s account if they need special handling (i.e. Manager must respond to requests) so that it is easily determined by those answering calls or working at the front desk. | | | |
| 30 | 3.1.1 | Activity Tracking / Call Center | Ability to categorize all customer interactions based on the interaction topic to include but not be limited to request for information, disability, retirement, refund, dissolution and death. | | | |
| 31 | 3.1.1 | Activity Tracking / Call Center | Ability to print any activity tracking view exactly as it appears on the user’s screen. | | | |
| 32 | 3.1.1 | Activity Tracking / Call Center | Ability to automatically link all correspondence sent to the member, including life cycle events and those items processed in bulk/batch. | | | |
| 33 | 3.1.1 | Activity Tracking / Call Center | Ability to provide automatic alerts in case of unfulfilled member requests (tickets). | | | |
| 34 | 3.1.1 | Activity Tracking / Call Center | Ability to include in the member summary screen a banner that states number of years/months/days until retirement eligibility | | | |
| 35 | 3.1.1 | Activity Tracking / Call Center | Ability to count and categorize calls, emails and all other incoming requests by topic, by type of caller and produce appropriate reports to include but not be limited to showing disposition, success rate and forecasting. | | | |
| 36 | 3.1.2 | Annual Member Statements | Ability to capture a Membership Statement distribution indicator so that a member can instruct SLOCPT as to how the statement should be delivered (mail, e-mail, or portal only.) | | | |
| 37 | 3.1.2 | Annual Member Statements | Ability for those electing e-mail delivery to automate the e-mailing of the statements | | | |
| 38 | 3.1.2 | Annual Member Statements | Ability to generate a PDF file inclusive of all member statements to be sent to a third party generating the Membership Statements | | | |
| 39 | 3.1.2 | Annual Member Statements | Ability to generate a "replacement" Member Statement | | | |
| 40 | 3.1.2 | Annual Member Statements | Ability to identify if a member is terminated non-vested, withdrawn, retired, or deceased, etc., and, if so, optionally bypass production of the Membership Statement | | | |
| 41 | 3.1.2 | Annual Member Statements | Ability to identify members eligible to receive a Membership Statement and process accordingly | | | |
| 42 | 3.1.2 | Annual Member Statements | Ability to extract and provide on the Membership Statement the appropriate information / paragraphs pertaining to member’s benefit depending on the member’s account information (i.e., status, DRO, pending DRO, etc.) | | | |
| 43 | 3.1.2 | Annual Member Statements | Ability to print messages on Membership Statement based upon specified parameters being fulfilled | | | |
| 44 | 3.1.2 | Annual Member Statements | Ability to provide for beneficiary information to be displayed on Membership Statements | | | |
| 45 | 3.1.2 | Annual Member Statements | Ability to provide service credit total on Membership Statements | | | |
| 46 | 3.1.2 | Annual Member Statements | Ability to provide a "real-time" annual statement (available interactively through the portal) for member through the last completed bi-weekly payroll. (i.e., currently called a "Member Detail") | | | |
| 47 | 3.1.2 | Annual Member Statements | Ability to display and print duplicate Membership Statements , individually, by the member or staff from a Web utility | | | |
| 48 | 3.1.2 | Annual Member Statements | Ability to extract and provide all appropriate Membership Statement data in the format prescribed by SLOCPT with statement content (e.g., employee contributions & Interest, Service totals, beneficiary information, DRO information if applicable) driven by membership characteristics (i.e., by class, by Tier, by status, etc.). | | | |
| 49 | 3.1.2 | Annual Member Statements | Ability to include the existence of one or more DROs on the Membership Statement | | | |
| 50 | 3.1.2 | Annual Member Statements | Ability to provide a PDF file to an outside print vendor, sorted by zip code (grouped by zip+4 if available). | | | |
| 51 | 3.1.2 | Annual Member Statements | Ability to format the document for the address to display in a window envelope. | | | |
| 52 | 3.1.2 | Annual Member Statements | Ability to include service from where a SLOCPT Employee has "reciprocal" service | | | |
| 53 | 3.1.2 | Annual Member Statements | Ability to, for those members with multiple accounts / blended service to provide a consolidated statement | | | |
| 54 | 3.1.2 | Annual Member Statements | Ability to provide various management reports: that provide various statistics about the total number of accounts, number of statements generated, number unable to be generated, number un-deliverable, total occurrences of missing information by type, etc. | | | |
| 55 | 3.1.3 | Beneficiary Maintenance | Ability to print beneficiary election (or report “none on file”) on the member’s statement | | | |
| 56 | 3.1.3 | Beneficiary Maintenance | Ability to enter, maintain, query and report against the survivor annuitant for a retiring or retired member | | | |
| 57 | 3.1.3 | Beneficiary Maintenance | Ability to capture and maintain separate beneficiaries for retirement benefit and death benefit | | | |
| 58 | 3.1.3 | Beneficiary Maintenance | Ability to capture, update, inquire, on beneficiary history | | | |
| 59 | 3.1.3 | Beneficiary Maintenance | Ability to accept beneficiary information without Social Security Number | | | |
| 60 | 3.1.3 | Beneficiary Maintenance | Ability to name and set up a Primary, and Contingent Beneficiary. | | | |
| 61 | 3.1.3 | Beneficiary Maintenance | Ability to name a Trust, Estate, Charity, etc. as a beneficiary. | | | |
| 62 | 3.1.3 | Beneficiary Maintenance | Ability to support bi-directional links between member(s) and beneficiary(ies), alternate payees, and/or survivors so that end-user can search on a beneficiary’s SSN and/or unique ID and locate the original member’s account | | | |
| 63 | 3.1.3 | Beneficiary Maintenance | Ability for end-user to review and update beneficiary data on file | | | |
| 64 | 3.1.3 | Beneficiary Maintenance | Ability to change status of beneficiary to that of payee (while retaining information that individual is the beneficiary of another member) | | | |

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| 65 | 3.1.3 | Beneficiary Maintenance | Ability to key the effective date of the signed beneficiary form. | | | |
| 66 | 3.1.3 | Beneficiary Maintenance | Ability to name and set up a multiple Primary and Contingent Beneficiaries. | | | |
| 67 | 3.1.3 | Beneficiary Maintenance | Ability to perform an edit to ensure that a secondary beneficiary cannot also be a primary beneficiary and vice versa on the same member | | | |
| 68 | 3.1.3 | Beneficiary Maintenance | Ability to perform an edit to ensure that if a minor is added as a primary beneficiary, then a guardian is also established at time of payment | | | |
| 69 | 3.1.3 | Beneficiary Maintenance | Ability to perform an edit to determine that a member did not name self as a beneficiary. | | | |
| 70 | 3.1.3 | Beneficiary Maintenance | Ability to ensure that a secondary beneficiary cannot be added unless a primary beneficiary has already been added | | | |
| 71 | 3.1.3 | Beneficiary Maintenance | Ability to ensure that beneficiary (of a member) changes cannot be made after the date of death of that member using the effective date of signed form as validation | | | |
| 72 | 3.1.3 | Beneficiary Maintenance | Ability to ensure beneficiary allocation equals 100% by type. | | | |
| 73 | 3.1.3 | Beneficiary Maintenance | Ability to flag incomplete beneficiary records for follow-up or reporting on the member statement. | | | |
| 74 | 3.1.3 | Beneficiary Maintenance | Ability to identify relationship of beneficiary to member | | | |
| 75 | 3.1.3 | Beneficiary Maintenance | Ability to designate marital status of member and warn users of community property restrictions when a member attempts to name a beneficiary who is not their spouse | | | |
| 76 | 3.1.4 | Benefit Calculations | Ability to capture retirement application information - effective date of separation, effective date of retirement, mailing address, beneficiary/survivor information, reciprocity, etc. | | | |
| 77 | 3.1.4 | Benefit Calculations | Ability to capture election of retirement option with signature | | | |
| 78 | 3.1.4 | Benefit Calculations | Ability to record, track, and display the receipt of the benefit application and retirement option election | | | |
| 79 | 3.1.4 | Benefit Calculations | Ability to automatically pre-fill user defined member information on all benefit calculation forms/applications that are printed by SLOCPT staff. | | | |
| 80 | 3.1.4 | Benefit Calculations | Ability to estimate the IRS 415 limitations and flag those accounts that should be submitted to the actuary for further processing. | | | |
| 81 | 3.1.4 | Benefit Calculations | Ability to reduce the monthly benefit, based on 415(b) limitations. | | | |
| 82 | 3.1.4 | Benefit Calculations | Ability to automate the recalculation of a benefit / distribution if any trailing adjustments happen that affect the original calculation of the benefit | | | |
| 83 | 3.1.4 | Benefit Calculations | Ability to estimate the final county payroll record for use in the benefit calculation, and if the actual record varies from the estimate, alert the end end-user so that a recalculation can be performed. | | | |
| 84 | 3.1.4 | Benefit Calculations | Ability to assign the appropriate tax distribution codes to the benefit for later 1099-R processing. | | | |
| 85 | 3.1.4 | Benefit Calculations | Ability to define and enhance benefit calculation logic and/or specifications including changes due to plan changes to include but not be limited to calculating a final compensation, age factor, and service credit. | | | |
| 86 | 3.1.4 | Benefit Calculations | Ability to cancel or modify a previously approved retirement application up until the first payment is issued. | | | |
| 87 | 3.1.4 | Benefit Calculations | Ability to handle retroactive payments and disburse them as part of the non-recurring / off-cycle payroll process | | | |
| 88 | 3.1.4 | Benefit Calculations | Ability to perform benefit calculations under all applicable options (e.g., Actuarial Equivalent; single life annuity; J and S, and designated beneficiary (non-spouse survivor), etc.) | | | |
| 89 | 3.1.4 | Benefit Calculations | Ability to override calculation parameters (i.e. Final Compensation) and to highlight these items for the audit and review step. | | | |
| 90 | 3.1.4 | Benefit Calculations | Ability to provide a checklist detailing which retirement application and supporting documents have been sent, received, and/or which are still outstanding. | | | |
| 91 | 3.1.4 | Benefit Calculations | Ability to provide reason and reason code for any "unusual adjustments" so that users can explain situations without other users having to review the detailed transactions. | | | |
| 92 | 3.1.4 | Benefit Calculations | Ability to perform a revised benefit calculation based on newly received information after having released the record for payment but before issuing first pension check. | | | |
| 93 | 3.1.4 | Benefit Calculations | Ability to update member demographic data with new information during benefit calculation process. | | | |
| 94 | 3.1.4 | Benefit Calculations | Ability, in the case of overpayment, to request repayment by member in lump sum, or to determine and apply temporary reduction in benefit necessary to recoup the overpayment within user-defined number of months. | | | |
| 95 | 3.1.4 | Benefit Calculations | Ability for an administrator user to create, update and maintain the various service and disability actuarial tables; to include but not be limited to dollar annuity value, early retirement factor, mortality tables, option factors, joint-and-survivor factor, Social Security factors (temporary annuity), etc. | | | |
| 96 | 3.1.4 | Benefit Calculations | Ability to capture reasons for inaccuracies or incompleteness on the retirement application and/or other related documents. | | | |
| 97 | 3.1.4 | Benefit Calculations | Ability to enter audit messages explaining what changes and/or corrections need to be made by a SLOCPT user when the reviewer returns work after completion of audit pass. | | | |
| 98 | 3.1.4 | Benefit Calculations | Ability to release record for payment only after benefit calculation is verified and/or audited. | | | |
| 99 | 3.1.4 | Benefit Calculations | Ability to route all types of benefit calculations to an audit pass in accordance with SLOCPT business rules and operational procedures. | | | |
| 100 | 3.1.4 | Benefit Calculations | Ability to differentiate between a survivor, a non-spouse survivor, a beneficiary and apply eligibility rules accordingly. | | | |
| 101 | 3.1.4 | Benefit Calculations | Ability to apply different calculations for blended service | | | |
| 102 | 3.1.4 | Benefit Calculations | Ability to identify members in the correct tier (i.e., Tier 1, Tier 2, Tier 3, etc.) with the appropriate grouping depending upon plan provisions | | | |
| 103 | 3.1.4 | Benefit Calculations | Ability to calculate benefits based on DRO | | | |
| 104 | 3.1.4 | Benefit Calculations | Ability to ensure a comment or adjustment type/description is provided for each adjustment so that it can be printed on payment advice or in correspondence. | | | |
| 105 | 3.1.4 | Benefit Calculations | Ability to use the estimate tool to project a future benefit for at least 15-years out based on user-defined parameters | | | |
| 106 | 3.1.4 | Benefit Calculations | Ability to initiate the retirement process online, but the complete processing must be performed by SLOCPT staff | | | |
| 107 | 3.1.4 | Benefit Calculations | Ability to calculate time for members who have multiple accounts, blended service, or reciprocal service | | | |

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| 108 | 3.1.4 | Benefit Calculations | Ability to capture audit results and statistics and generate appropriate feedback and reports. | | | |
| 109 | 3.1.4 | Benefit Calculations | Ability to generate a member “Re-computation correspondence” detailing the under or over payment of benefits and the steps that will be taken to either recoup the overpayment or provide a “catch-up” payment to offset the underpayment. | | | |
| 110 | 3.1.4 | Benefit Calculations | Ability to report all current and new retirees | | | |
| 111 | 3.1.4 | Benefit Calculations | Ability to produce system generated correspondence (i.e., congratulations on your upcoming retirement letter) | | | |
| 112 | 3.1.4 | Benefit Calculations | Ability to generate correspondence requesting additional documentation to include but not be limited to death certificate, divorce decree, marriage certificate, birth certificate, Passport, most recent age-62 Social Security estimates, etc. for all payees. | | | |
| 113 | 3.1.4 | Benefit Calculations | Ability to produce a "Report of Retirements" for the Board Agenda, listing all members applying for retirement or DROP Entry, etc. in the coming month and the applicable benefit details | | | |
| 114 | 3.1.4 | Benefit Calculations | Ability to track information and generate a report identifying number of retirement calculation requests received, dates received, date completed and by whom. | | | |
| 115 | 3.1.4 | Benefit Calculations | Ability to save an estimate and edit and save another iteration if desired | | | |
| 116 | 3.1.4 | Benefit Calculations | Ability to provide “what-if” analysis capability by allowing user of the estimating tool to adjust benefit estimate parameters and view new calculation results (i.e. annual salary and service). | | | |
| 117 | 3.1.4 | Benefit Calculations | Ability to populate (subject to user override) all data used in benefit estimate calculation from available system data (years of service, current salary, etc.). | | | |
| 118 | 3.1.4 | Benefit Calculations | Ability to (only accessible to authorized staff members) estimate disability and death benefits, as well as service retirement. | | | |
| 119 | 3.1.4 | Benefit Calculations | Ability to automatically store or not-store benefit estimates performed or selectively save or delete benefit estimates performed. | | | |
| 120 | 3.1.4 | Benefit Calculations | Ability to archive, recall, display and print estimates in both a summary and detailed view. | | | |
| 121 | 3.1.4 | Benefit Calculations | Ability to calculate benefit estimate for all applicable retirement types (industrial disability, ordinary disability, service retirement, alternate payee, DROP, etc.) and all applicable retirement payment options | | | |
| 122 | 3.1.4 | Benefit Calculations | Ability to calculate multiple estimates for a single member with the user having to enter only those fields that are changed on each iteration. | | | |
| 123 | 3.1.4 | Benefit Calculations | Ability to determine thresholds such as the member’s earliest retirement date, (retirement date based on the retirement eligibility rules) | | | |
| 124 | 3.1.4 | Benefit Calculations | Ability to display multiple benefit estimates for side-by-side comparison analysis (with differences in both input parameters and output highlighted). | | | |
| 125 | 3.1.4 | Benefit Calculations | Ability to estimate benefits payable to beneficiaries under various payment options. | | | |
| 126 | 3.1.4 | Benefit Calculations | Ability to perform the Final Compensation computation separately for each Tier and benefit bifurcation parameters. | | | |
| 127 | 3.1.4 | Benefit Calculations | Ability to reflect Court Ordered/DRO reductions, estimated taxes and other deductions in benefit estimates, yielding a “net” benefit estimate. | | | |
| 128 | 3.1.4 | Benefit Calculations | Ability to uniquely identify each benefit estimate that is generated by automatically assigning a benefit estimate number, staff initials, date and time produced. (Note: accessing and printing an existing, completed estimate, does not modify the user information that created it). | | | |
| 129 | 3.1.4 | Benefit Calculations | Ability to use member’s beneficiary information on file when performing an estimate and to over-ride and/or change for calculation purposes if needed. | | | |
| 130 | 3.1.4 | Benefit Calculations | Ability to project, override, etc. any and all fields used for calculation purposes including but not limited to employment status, bargaining group, final compensation, beneficiary (or) survivor, service credit, Tier, etc. allowing for flexibility for benefit estimate purposes | | | |
| 131 | 3.1.4 | Benefit Calculations | Ability to include service available (and its cost) for purchase or for buy back in the estimate. | | | |
| 132 | 3.1.4 | Benefit Calculations | Ability to estimate distribution to alternate payee(s) and include in estimate calculation. | | | |
| 133 | 3.1.4 | Benefit Calculations | Ability to estimate a benefit based on a projected DRO percentage or flat amount. | | | |
| 134 | 3.1.4 | Benefit Calculations | Ability to capture both standard and customized comment fields for inclusion on the benefit estimate. | | | |
| 135 | 3.1.4 | Benefit Calculations | Ability to generate (in standardized correspondence format) displayed / printed benefit estimate for all applicable retirement types and all applicable retirement options. | | | |
| 136 | 3.1.4 | Benefit Calculations | Ability to estimate benefits payable under various Designated Beneficiary Reduction options (25%, 50%, 75% or 100%). | | | |
| 137 | 3.1.4 | Benefit Calculations | Ability to generate various reports / metrics about processing volumes | | | |
| 138 | 3.1.15 | Benefit Calculations | Ability upon the completion of benefit calculation / retirement to convert the member's specific account to distribution status, with appropriate auditing / review, close the member's specific account, performing transfer of funds to retiree reserve, etc. | | | |
| 139 | 3.1.5 | COLA | Ability for user to maintain the COLA percentage based on COLA group (calendar year retired) and tier | | | |
| 140 | 3.1.5 | COLA | Ability to accommodate DRO payments (fixed amount or fixed percentage of benefit) and related COLA processing | | | |
| 141 | 3.1.5 | COLA | Ability to apply Cost of Living Adjustment (COLA) to a payroll transaction when applicable | | | |
| 142 | 3.1.5 | COLA | Ability to automatically apply COLA increase amount based on COLA group and tier | | | |
| 143 | 3.1.5 | COLA | Ability to automatically determine, process and pay retroactive annuity due to COLA increase, if applicable | | | |
| 144 | 3.1.5 | COLA | Ability to calculate and apply COLA adjustment based on plan provisions | | | |
| 145 | 3.1.5 | COLA | Ability to perform manual COLA calculations for cases where there are exceptions that could not be updated by the system | | | |
| 146 | 3.1.5 | COLA | Ability to retain both the rates used in calculating the COLAs for past years as well as the actual COLA increases applied to individuals' benefit amounts | | | |
| 147 | 3.1.5 | COLA | Ability to store and display the before check amount, the COLA increase, the COLA percentage, and check amount after application of the COLA (including all applicable deductions). | | | |
| 148 | 3.1.5 | COLA | Ability to update COLA processing should a benefit recipient become eligible after the normal COLA batch job runs - and execute it for all, a subset of members or an individual member | | | |
| 149 | 3.1.5 | COLA | Ability to compound COLA | | | |
| 150 | 3.1.5 | COLA | Ability to apply COLA to only those members retired on or before January 1st of the current year | | | |
| 151 | 3.1.5 | COLA | Ability to apply COLA to the COLA groups; ensuring that the 10.06 special disability group is excluded | | | |
| 152 | 3.1.5 | COLA | Ability to generate exception reports after the COLA adjustments, etc., have been applied | | | |
| 153 | 3.1.5 | COLA | Ability to produce a standard COLA alert to all recipients, accommodating both automated or ad-hoc generated correspondence | | | |

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| 154 | 3.1.5 | COLA | Ability to produce correspondence to payees listing specific COLA(s) information and change in gross check, change in net check, Federal Tax Withholding, California State withholding, etc. | | | |
| 155 | 3.1.5 | COLA | Ability to produce various reconciliation reports used to reconcile the COLA increase, escalations, etc., and ensure that the overall pension payroll is balanced before any disbursements are issued | | | |
| 156 | 3.1.5 | COLA | Ability to track and display portions attributable to each COLA increase at the member record level | | | |
| 157 | 3.1.5 | COLA | Ability to check for IRS 415 limits during COLA processing | | | |
| 158 | 3.1.6 | Counseling and Seminar | Ability to track the scheduling of a seminar to include type, date, and number of seats. | | | |
| 159 | 3.1.6 | Counseling and Seminar | Ability to add members to a scheduled seminar. | | | |
| 160 | 3.1.6 | Counseling and Seminar | Ability to update a member's record after a seminar noting their attendance. | | | |
| 161 | 3.1.6 | Counseling and Seminar | Ability to identify those members who registered and did not attend a scheduled seminar and follow-up with correspondence. | | | |
| 162 | 3.1.6 | Counseling and Seminar | Ability to schedule a counseling session with a member based on staff availability | | | |
| 163 | 3.1.6 | Counseling and Seminar | Ability for a member to schedule an appointment online, based on staff availability | | | |
| 164 | 3.1.6 | Counseling and Seminar | Ability for the pension administration system calendar module to interface / sync with the SLOCPT calendar module (i.e., Lotus Notes, Office 365) | | | |
| 165 | 3.1.6 | Counseling and Seminar | Ability to create a waiting list, for full seminars, and alert members when an opening is available. | | | |
| 166 | 3.1.6 | Counseling and Seminar | Ability to reassign counseling sessions to other staff members | | | |
| 167 | 3.1.6 | Counseling and Seminar | Ability to automatically generate an "Appointment Reminder" correspondence informing the member about an upcoming counseling session. The letter along with the appointment reminder should list documents that should be brought in by the member that are not already on file. | | | |
| 168 | 3.1.6 | Counseling and Seminar | Ability to remind the assigned user to update the member's record with details of the session at the conclusion of the session. | | | |
| 169 | 3.1.6 | Counseling and Seminar | Ability to build a roster of those members due benefit estimates as a result of enrolling in a retirement seminar – and subsequently generate the appropriate benefit estimates for each scheduled seminar. | | | |
| 170 | 3.1.6 | Counseling and Seminar | Ability to extract a list of people with set criteria in order to create a focused seminar or communications to address such topics (i.e., approaching benefit cap, eligibility to retire, etc.). | | | |
| 171 | 3.1.6 | Counseling and Seminar | Ability to limit the ability to register in a seminar if a member is not eligible (i.e. not within x years of retirement). These rules should be set by seminar type. | | | |
| 172 | 3.1.6 | Counseling and Seminar | Ability to generate a statistical report by month for a user defined period showing by staff member the number of counseling sessions completed. | | | |
| 173 | 3.1.6 | Counseling and Seminar | Ability to create a listing of counseling sessions for the day, the week, the month, etc. both for preparation purposes (i.e., pulling the member's file, research, etc.) and to assist the receptionist. | | | |
| 174 | 3.1.7 | Death | Ability to capture information related to a death notification (e.g., date received, date of death, contact person(s) name, address, and phone number, relationship to deceased member, death certificate received indicator, etc.). | | | |
| 175 | 3.1.7 | Death | Ability to ensure the post tax balance is accounted for and distributed appropriately to beneficiaries. | | | |
| 176 | 3.1.7 | Death | Ability to update beneficiary information after the participant's death. | | | |
| 177 | 3.1.7 | Death | Ability to export various member populations for third party death search (i.e., small world) | | | |
| 178 | 3.1.7 | Death | Ability to parameterize the extraction of data for the third party death search by member type such as, active members, inactive members, retired members, survivor annuitants, and alternate payees. | | | |
| 179 | 3.1.7 | Death | Ability to support the handling of active member death payments/balance where there are multiple payments to be issued; multiple payees | | | |
| 180 | 3.1.7 | Death | Ability to automatically create payment records based on the percentage's designated for each beneficiary. | | | |
| 181 | 3.1.7 | Death | Ability to re-calculate the death benefit (active members) and perform appropriate communication when new information is received such as additional contributions and interest or related adjustments. | | | |
| 182 | 3.1.7 | Death | Ability to recognize a DRO and pay contribution balance accordingly – should have no effect on survivor benefit | | | |
| 183 | 3.1.7 | Death | Ability to re-calculate the distribution of the death benefit in the event a beneficiary pre-deceases the member or a beneficiary waives the benefit (i.e. distribute to other primary beneficiaries or secondary beneficiaries). | | | |
| 184 | 3.1.7 | Death | Ability to create a survivor annuitant payroll record immediately upon notification of a member's death where appropriate; but suspend until approved | | | |
| 185 | 3.1.7 | Death | Ability to cross-reference a survivor annuitant added to payroll and the associated deceased member (e.g., both member and spouse were in the retirement system). | | | |
| 186 | 3.1.7 | Death | Ability to reverse a death entered in error; reinstate a payroll record removed in error without interrupting monthly payments or pay the benefit payments that were missed prior to the reversal (i.e., "catch up"). | | | |
| 187 | 3.1.7 | Death | Ability to update the tax files when a reimbursement amount (for benefits paid after date of death) is deducted from a survivor benefits. | | | |
| 188 | 3.1.7 | Death | Ability to calculate and track payments made to the benefit recipient - in cases where the death happened and notification did not happen until x-months after | | | |
| 189 | 3.1.7 | Death | Ability to stop an alternate payee payment record based upon the death of the associated member. | | | |
| 190 | 3.1.7 | Death | Ability to automatically increase the member's benefit if the alternate payee/DRO pre-deceases the member. | | | |
| 191 | 3.1.7 | Death | Ability to, in the event the survivor was also receiving a benefit as a DRO alternate payee, to stop the alternate payee benefit and activate the survivor benefit. | | | |
| 192 | 3.1.7 | Death | Ability to automatically suspend retirement benefit based upon the notification of death. | | | |
| 193 | 3.1.7 | Death | Ability to automatically, pop-up a member benefit, in the event a survivor pre-deceases the member (for Designated Beneficiary, marriage after retirement) | | | |
| 194 | 3.1.7 | Death | Ability to pay a lump sum death benefit of $1000 to one or multiple beneficiaries of a retiree only | | | |
| 195 | 3.1.7 | Death | Ability to capture and maintain guardians, conservators, and other legal designations and ensure they are in place prior to payment to a minor receiving payment | | | |
| 196 | 3.1.7 | Death | Ability to recognize a DRO in the event a retiree dies | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 197 | 3.1.7 | Death | Ability to identify where there are multiple beneficiaries (e.g., beneficiary, next-of-kin, survivor annuitant, etc.) and process separately, tracking the information SLOCPT is waiting for from each beneficiary, and authorizing payment to those who have returned all necessary information while holding payment to payees whose information remains incomplete. | | | |
| 198 | 3.1.7 | Death | Ability to capture and display the accounts receivable and payable balances of the deceased as of the date of death. | | | |
| 199 | 3.1.7 | Death | Ability to display appropriate beneficiary information for all beneficiaries (e.g., history of beneficiaries over time, the last named beneficiaries/survivor annuitant on file, associated percentages, identification of multiple beneficiaries, beneficiary's/survivor annuitant's relationship to member, etc.). | | | |
| 200 | 3.1.7 | Death | Ability to adjust death benefits and recalculate at any time. | | | |
| 201 | 3.1.7 | Death | Ability to issue checks/payments to estates, often with long names utilizing multiple payment line. | | | |
| 202 | 3.1.7 | Death | Ability to automatically alert the employer, via report upon notification of either a member or retiree death | | | |
| 203 | 3.1.7 | Death | Ability to display a check or ACH summary and/or check or ACH detail pertaining to each death benefit or other payment to a beneficiary or survivor. | | | |
| 204 | 3.1.7 | Death | Ability to process multiple payments to multiple financial institutions in one transaction. | | | |
| 205 | 3.1.7 | Death | Ability to run a report for all unpaid death related benefits. | | | |
| 206 | 3.1.7 | Death | Ability to maintain a balance of post-tax contributions and report it accordingly to the beneficiaries at the retiree's death, if funds remain. | | | |
| 207 | 3.1.7 | Death | Ability to process survivor benefits according to specific "active member death" plan provisions. | | | |
| 208 | 3.1.7 | Death | Ability to provide automated processes to ensure that the total paid to all beneficiaries is equivalent to the total calculated benefit, (less any member overpayments if policies allow reduction of beneficiary benefits) or amounts due. | | | |
| 209 | 3.1.7 | Death | Ability to run a report, by Fiscal Year to show amounts paid to date, plus benefits pending payment in order to project liability | | | |
| 210 | 3.1.7 | Death | Ability to report taxes separately and on a separate 1099 for each beneficiary. | | | |
| 211 | 3.1.7 | Death | Ability upon completion of death processing to "close" the member's account | | | |
| 212 | 3.1.7 | Death | Ability if funds remain unclaimed to "escheat" those funds back into the Trust | | | |
| 213 | 3.1.7 | Death | Ability to generate and track any and all related communications and correspondence related to death processing | | | |
| 214 | 3.1.7 | Death | Ability to determine and calculate the appropriate benefit for active member, retired participant or active member who is eligible to be a retired participant | | | |
| 215 | 3.1.7 | Death | Ability to handle the timing involved with COLA calculations / determinations for cases of death prior to COLA implementation (i.e., approx. March / April) | | | |
| 216 | 3.1.8 | DROP | Ability to no longer accept contributions for a member that is participating in DROP | | | |
| 217 | 3.1.8 | DROP | Ability to close the member's accrual account | | | |
| 218 | 3.1.8 | DROP | Ability to indicate, flag, record that a member has a DROP account | | | |
| 219 | 3.1.8 | DROP | Ability to track the receipt and processing of all DROP related forms. | | | |
| 220 | 3.1.8 | DROP | Ability to calculate the monthly accrual (retirement calculation) to a member's DROP account | | | |
| 221 | 3.1.8 | DROP | Ability to support retroactive enrollment into DROP | | | |
| 222 | 3.1.8 | DROP | Ability to adjust DROP calculations and postings | | | |
| 223 | 3.1.8 | DROP | Ability to calculate and apply COLA adjustment based on plan guidelines and annual increases / decreases | | | |
| 224 | 3.1.8 | DROP | Ability to support a Deferred Retirement Option Program (DROP) which offers qualified active members a way to continue to work while accumulating funds, for a minimum of six months up to five years, in a special DROP account to be distributed at retirement | | | |
| 225 | 3.1.8 | DROP | Ability to identify and automatically notify members required to take mandatory distribution | | | |
| 226 | 3.1.8 | DROP | Ability to alert member that DROP term is about up and that benefit will no longer accumulate. | | | |
| 227 | 3.1.8 | DROP | Ability to view / print all variables and factors used for service and DROP retirement calculations | | | |
| 228 | 3.1.8 | DROP | Ability to produce DROP retirement estimates (with projections) for the member and archive these estimate just as a normal service retirement estimate | | | |
| 229 | 3.1.8 | DROP | Ability to create all DROP Retirement payment options in a single estimate output. | | | |
| 230 | 3.1.8 | DROP | Ability to prevent a member from continuing to accumulate a DROP balance after the end of the DROP participation period | | | |
| 231 | 3.1.8 | DROP | Ability to support / enforce the irrevocable nature of member's decision / election to enter into DROP | | | |
| 232 | 3.1.8 | DROP | Ability to track information and generate a report identifying number of DROP calculation requests received, dates received, date completed and by whom. | | | |
| 233 | 3.1.8 | DROP | Ability to alert staff that a DROP participant is about to reach termination of DROP | | | |
| 234 | 3.1.8 | DROP | Ability to automatically generate monthly reports to be forwarded to third party showing details of each DROP participants payment | | | |
| 235 | 3.1.9 | Disability | Ability to capture information from application for disability retirement and maintain in the system even though application may not be approved for an extended period. | | | |
| 236 | 3.1.9 | Disability | Ability to validate eligibility requirements for disability retirement. | | | |
| 237 | 3.1.9 | Disability | Ability to alert staff that a disability application is pending review and approval | | | |
| 238 | 3.1.9 | Disability | Ability to estimate and calculate final benefits for both industrial or ordinary disability | | | |
| 239 | 3.1.9 | Disability | Ability to capture a denial of benefits | | | |
| 240 | 3.1.9 | Disability | Ability to generate a pre-filled application for disability retirement form. | | | |
| 241 | 3.1.9 | Disability | Ability to generate a listing of applicants that have been reviewed by Executive Secretary to be submitted to the Board | | | |
| 242 | 3.1.9 | Disability | Ability to screen for disability retiree and suspend the retiree's benefit(s) in the event the retiree returns to work. | | | |
| 243 | 3.1.9 | Disability | Ability to suspend Disability Benefits (manually) based on Board actions. | | | |
| 244 | 3.1.9 | Disability | Ability to automatically determine process and pay retroactive disability payments – retroactive to retirement date. | | | |
| 245 | 3.1.9 | Disability | Ability to ensure that a member has not terminated employment prior to accepting / receiving a Disability Application | | | |
| 246 | 3.1.9 | Disability | Ability to suspend benefits, based on SLOCPT defined rules, when the medical re-exam is not returned. | | | |
| 247 | 3.1.9 | Disability | Ability to flag an account that may require re-examination | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 248 | 3.1.9 | Disability | Ability to provide a report that demonstrates the statistics of Disability Retirement:<br>• Applications Filed<br>• Approvals<br>• Denials<br>• Incomplete/In Process | | | |
| 249 | 3.1.9 | Disability | Ability to "age" applications, and report on incomplete applications that are dated for reporting to the SLOCPT Board. | | | |
| 250 | 3.1.9 | Disability | Ability to automatically generate an as-needed medical re-exam reminder letter | | | |
| 251 | 3.1.9 | Disability | Ability to ensure the application is made prior to termination. | | | |
| 252 | 3.1.9 | Disability | Ability upon approval to perform benefit calculation using disability factors, etc. and capture option selection, and perform conversion from member to disability retirement. | | | |
| 253 | 3.1.9 | Disability | Ability to distinguish which portion of a retirement benefit is disability and which portion is service in instances when a service retirement calculation is larger than the disability retirement | | | |
| 254 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to capture user-maintained current and historical contribution rates by employer, tier, bargaining unit, and membership class according to the plan provisions with effective dates so that retroactive calculations use the appropriate figures. | | | |
| 255 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to capture user-maintainable parameters to control service credit allocation based on hours paid | | | |
| 256 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to capture, track, and report member events (i.e., leave, position changes, prevailing wage adjustments, etc.) and related dates as they are reported by the county / reporting agencies (as well as "manual" user input). | | | |
| 257 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability for authorized user to input and maintain plan specific parameters to all pay and contribution fields reported by the county / reporting agencies. | | | |
| 258 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to accommodate adjustments from county / reporting agencies | | | |
| 259 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to process employer submitted information by employer designated pay period. | | | |
| 260 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to classify employer data errors according to their severity (with posting of data permitted for less severe conditions, with the option of suspending posted for critical errors). | | | |
| 261 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to provide a real-time validation with on screen errors that can be formatted as a report. | | | |
| 262 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to limit contribution file posting when there are individual records with errors and revalidate as corrections are made by the end-user. | | | |
| 263 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to accommodate employer-reported data adjustments to prior periods as well as information for the current period. | | | |
| 264 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to accept positive or negative adjustment transactions received on an employer's wage and contribution report (for previous pay periods), and to automatically take appropriate action in the case of a negative result. | | | |
| 265 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to crosswalk county / reporting agency reported codes to the appropriate SLOCPT codes | | | |
| 266 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to collect via employer file AND manual entry termination dates, unpaid leave dates, employment status dates, etc. | | | |
| 267 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to apply cash receipts or disbursements to outstanding employer and member receivables and payables in an automatic manner. | | | |
| 268 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to un-apply a cash receipt received from the employer. | | | |
| 269 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to apply additional contributions identified in the wage and contribution report against buyback purchase of service balances and pro-rate credit accordingly. | | | |
| 270 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to assign an "effective" period(s) to employer submitted data. | | | |
| 271 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to automatically calculate the contribution that is due from the employer and the pension trust service credit that is given to the member - by employer, tier, bargaining unit, membership class, and pension code and provide a summary of amounts due by each type identified. | | | |
| 272 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to post interest to members' accounts based on prior pay period balances | | | |
| 273 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to automatically create a receivable that maps to General Ledger accounts for every employer report. | | | |
| 274 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to automatically create appropriate general ledger transactions for employer payment receipts. | | | |
| 275 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to flag the account if an employer reported amount is received, after the last expected pay period, for someone who has terminated/refunded | | | |
| 276 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to correct all employer-reported data by manual entry, providing an audit trail of all such corrections | | | |
| 277 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to provide appropriate validations to ensure that reported pension trust service credit is consistent with reported hours paid, and that both are properly posted to the member's account | | | |
| 278 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to provide front-end validations to determine whether reporting dates have already been posted on a member level, and if so, alert user to investigate | | | |
| 279 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to re-execute data validations and re-calculate data file totals after a correction is made or records have been created or deleted, subject to SLOCPT internal audit controls | | | |
| 280 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to retrieve and review prior wage and contribution reports as reported by the employer including the means and media by which it was reported | | | |
| 281 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to reverse the processing of wage and contribution reports that were submitted by an employer in error | | | |
| 282 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to support and track all pertinent dates relating to employer wage and contribution reports: date submitted, date validated, date processed/posted | | | |
| 283 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to support the processing of multiple employer reporting transactions (for people that may work for multiple employers and/or multiple bargaining units, etc.) for a given person in a given time period to handle PTSC, hours paid, and salary. | | | |
| 284 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to tie contributions posted to the system to a payroll period ending date and update employee salary history for the appropriate pay period and year | | | |

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|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 285 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to validate and post service credit if qualifying hours paid | | | |
| 286 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to validate reported data against tables of employer and employee contribution rates based on matching the payroll ending date with the effective date of the contribution rate | | | |
| 287 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to recognize and enroll new members, or the rehire of a member, with the data provided in the employer files. | | | |
| 288 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to include a wage and contribution file format consistent with SLOCPT defined specifications | | | |
| 289 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to accept and retain reported wage and demographic data for all persons on the employer's payroll | | | |
| 290 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to generate a report of the status of receivables for employee and employer contributions on demand | | | |
| 291 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to generate a report presenting summaries of exceptions (unprocessed entries) the county / reporting agencies will need to correct | | | |
| 292 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to provide an on-line report of member's account history by date range | | | |
| 293 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to produce the appropriate system based GL journal entries related to employer reports and payments | | | |
| 294 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to export an Active Member list to the county / reporting agencies based on SLOCPT parameters (i.e. upcoming retirement eligibility) | | | |
| 295 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to export a Retiree list to the county / reporting agencies based on SLOCPT parameters | | | |
| 296 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to match employer report to employer remittances and alert employer of mismatches | | | |
| 297 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to maintain year-to-date and life to date balances of total employee and employer contributions for each employee | | | |
| 298 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to notify user whenever employer reporting information is received for a person who has applied for retirement, received an initial benefit, or has had a final calculation of benefit performed | | | |
| 299 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to prevent invalid or duplicate data from posting to the member's account | | | |
| 300 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to provide an audit trail of any adjustments in salary, contributions, or pension trust service credit made to a member's account, including the ability to detail a member's salary, contributions, and pension trust service credit throughout the system and the member's history | | | |
| 301 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to set upload validations at the employer, tier, bargaining unit, membership class, etc. | | | |
| 302 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to add and maintain employer information | | | |
| 303 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to distinguish between types / reason for separation and when information is submitted to record / flag those types and report on those as needed | | | |
| 304 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to capture and flag those individuals and associated dates who are on "leave without pay" and report on them as appropriate | | | |
| 305 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to ensure that transactions for buybacks that are reported as part of recurring contributions are recorded into the correct employer, tier, bargaining unit and membership class; and if payment is not received to flag / notify accordingly | | | |
| 306 | 3.1.10 | Employer Reporting - Recurring Contributions | Ability to verify retroactive prevailing wage/COLA adjustments and associated contribution rates | | | |
| 307 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to capture a member's hire date or termination date from employer reports | | | |
| 308 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to flag all new members reported on a given payroll | | | |
| 309 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to use a single interface for enrollment regardless of whether the individual involved is a new member enrollment or an existing account | | | |
| 310 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to assign and continue to use several, established unique employee ID number(s) (i.e., PERNR, My CalPERS, etc.) for members | | | |
| 311 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to assign / associate / validate members to their appropriate retirement plan, tier, bargaining unit, corresponding contribution rate, class and pension code based on eligibility rules | | | |
| 312 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to automatically set up a Web account for a new member and therefore provide access to his/her account and / or files via the Web through secure credentials | | | |
| 313 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to create, revise, maintain, inquire and display enrollment information and ongoing changes to job information and demographics as the member changes throughout their career and retirement. | | | |
| 314 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to store and retrieve an incomplete enrollment and update the record once the additional information is received from the employer | | | |
| 315 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to generate a report of incomplete enrollment and send appropriate notification to employee | | | |
| 316 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to support different enrollment (re-enrollment) rules pertaining to different time periods and to accommodate members who are "grand-fathered" in based on those rules | | | |
| 317 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to track information regarding the member's plan, employer, tier, bargaining unit and membership class | | | |
| 318 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to distribute enrollment-related forms (i.e., welcome packet for reciprocity, welcome packet for prior service re-deposit, summary plan descriptions, etc.) based on receipt of the new member record | | | |
| 319 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to generate a report of all members without beneficiary on file – and perform appropriate automatic follow-up | | | |
| 320 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to identify duplicate SSN conditions and flag them for staff intervention/validation | | | |
| 321 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to report on enrollment statistics, by month and year (fiscal and calendar) | | | |
| 322 | 3.1.11 | Enrollment - Onboarding / Updates | Ability upon enrollment to place members in the proper tier; or correct tier placement based on updated information | | | |
| 323 | 3.1.11 | Enrollment - Onboarding / Updates | Ability upon entry of member's age and tier placement to determine / display the member's contribution rate | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 324 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to receive a notification if the onboarding process / employer feed has established the enrollment record | | | |
| 325 | 3.1.11 | Enrollment - Onboarding / Updates | Ability upon re-entry and re-deposit of previously withdrawn contributions to calculate the amended plan entry age and corresponding contribution rate (i.e., Section 2.09) | | | |
| 326 | 3.1.11 | Enrollment - Onboarding / Updates | Ability to calculate the amended plan entry age and corresponding contribution rate due to the establishment of reciprocity (i.e., Article 20) | | | |
| 327 | 3.1.12 | Funds Management | Ability to provide a defined and structured matrix mapping line-of-business transactions to their corresponding GL transactions and the appropriate accounts (employer and tier) | | | |
| 328 | 3.1.12 | Funds Management | Ability to capture both member (purchase / overpayment) and county / reporting agency cash receipts and payment data | | | |
| 329 | 3.1.12 | Funds Management | Ability to accommodate for General Ledger the addition, modification, closing and deletion of plans and departments such that duplicate data entry is not required | | | |
| 330 | 3.1.12 | Funds Management | Ability to define new transaction types at the user-level via a table driven parameter and ensure that they are appropriately applied throughout the application and GL | | | |
| 331 | 3.1.12 | Funds Management | Ability to automatically (at the time a member retires) transfer the member's account balance including interest from the appropriate member-related reserve account to the appropriate retiree reserve account | | | |
| 332 | 3.1.12 | Funds Management | Ability to distinguish cash versus non-cash financial transactions (i.e., interest, miscellaneous credits to member's account, etc.) and assign both to GL accounts | | | |
| 333 | 3.1.12 | Funds Management | Ability to process accounts receivable, cash receipts, accounts payable, cash payments and transfer transactions and pass them to the GL system according to the GL system's chart of accounts and by user defined timeframes | | | |
| 334 | 3.1.12 | Funds Management | Ability to process collected GL postings according to a changeable user-defined frequency parameter (daily, weekly, monthly, etc.) | | | |
| 335 | 3.1.12 | Funds Management | Ability to support a defined date format and ensure the consistent synchronization of various dates (business date, transaction date, effective date, etc.) between the Pension Administration System and GL systems | | | |
| 336 | 3.1.12 | Funds Management | Ability to track transactions exported to the accounting system back to the source transaction(s) through an extract date, a batch number or other relevant identifier. | | | |
| 337 | 3.1.12 | Funds Management | Ability to default the cash receipt transaction date to today's date (to eliminate need for user entry in most cases) | | | |
| 338 | 3.1.12 | Funds Management | Ability to distribute incoming funds to more than one receivable record for the same member if appropriate (i.e. more than one purchase payment) | | | |
| 339 | 3.1.12 | Funds Management | Ability to match receipt information relating to incoming funds with corresponding receivable records | | | |
| 340 | 3.1.12 | Funds Management | Ability to process cash receipts resulting from the reversal of a disbursement (i.e. overpayment due to death) | | | |
| 341 | 3.1.12 | Funds Management | Ability to reconcile the remittances of employer payments with reported contributions | | | |
| 342 | 3.1.12 | Funds Management | Ability to segregate all payments from the PAS by type of benefit/payment, employer, tier and membership class (i.e., termination refund, service retirement, ordinary disability, industrial disability, alternate payee, beneficiary, DROP, death benefit, etc.) | | | |
| 343 | 3.1.12 | Funds Management | Ability to maintain Prior Life To date balances on Contributions (pre/post) and Interest by calendar year | | | |
| 344 | 3.1.12 | Funds Management | Ability to maintain and generate data (both financial and member data) and information per SLOCPT specifications that can be used to produce the CAFR reports | | | |
| 345 | 3.1.12 | Funds Management | Ability to provide a monthly report of the projected total benefit payments for the next month | | | |
| 346 | 3.1.12 | Funds Management | Ability to capture and maintain contribution rates by employer, tier, bargaining unit, membership class, entry-age, etc. with effective dates | | | |
| 347 | 3.1.12 | Funds Management | Ability for authorized staff to capture and maintain interest rate tables (i.e., purchase of service, member account interest, etc.) | | | |
| 348 | 3.1.12 | Funds Management | Ability to query on financial transactions based on employer, tier, bargaining unit, membership class by a designated timeframe | | | |
| 349 | 3.1.12 | Funds Management | Ability to adjust an account to prevent out-of-balance conditions including a reason code and an explanation of historical transactions. | | | |
| 350 | 3.1.12 | Funds Management | Ability to produce existing financial reports; along with the capability to create ad-hoc reports as needed. | | | |
| 351 | 3.1.12 | Funds Management | Ability to generate a GL Mapping Report, i.e., a report / matrix listing the line-of-business transactions and their corresponding GL transaction | | | |
| 352 | 3.1.12 | Funds Management | Ability to provide adequate reconciliation reports to help end-users verify the transactions processed, including daily, weekly, bi-weekly, monthly, quarterly and fiscal year reconciliations | | | |
| 353 | 3.1.12 | Funds Management | Ability to provide a flexible export process to the SLOCPT accounting package that will produce a data file in a format compatible with the accounting system and shall not require any manual alteration of the file. Each such export should be supported by a summary and detail report. | | | |
| 354 | 3.1.12 | Funds Management | Ability to run queries and reports based on a journal entry reference code that would support reconciliation of entries imported into the general ledger with the details in the pension solution. | | | |
| 355 | 3.1.12 | Funds Management | Ability to ensure the following transaction types result in a general ledger transaction:<br>☐ Wage and Contribution Reports<br>☐ Purchase Deposits<br>☐ Death Payments<br>☐ Refunds<br>☐ DROP payments<br>☐ Monthly Pension Payments<br>☐ Voids<br>☐ Interest Posting<br>☐ Account Adjustments<br>☐ Overpayments<br>☐ Reserve Transfers / Retirements | | | |
| 356 | 3.1.12 | Funds Management | Ability to generate report ,at a SLOCPT specified period, detailing the collection and disbursement of funds | | | |
| 357 | 3.1.12 | Funds Management | Ability to produce various reconciliation reports with enough detail to accurately reconcile the payments made and cash received | | | |
| 358 | 3.1.12 | Funds Management | Ability to run a report listing all outstanding member receivables, by receivable type (i.e. overpayments and purchase of service). | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 359 | 3.1.12 | Funds Management | Ability to execute a report that would reflect a projected plan liability for the upcoming month, or year. | | | |
| 360 | 3.1.12 | Funds Management | Ability to provide various reconciliation controls to ensure that last month's fund balance plus any activity during the month equals this month's fund balance | | | |
| 361 | 3.1.12 | Funds Management | Ability to prevent the system from unintentionally exporting the same transactions to the accounting system more than once while not precluding the operator from exporting the data again to replace a corrupted export file | | | |
| 362 | 3.1.12 | Funds Management | Ability to track reserve balances for current reserve, COLA reserve, retiree reserve - and the associated transactions that affect these balances; with the ability to separate by employer, tier, bargaining unit and membership class | | | |
| 363 | 3.1.13 | Member Maintenance - General Account Changes | Ability to capture customer-submitted address changes through the Website; for pensioners only such changes will direct the approval of each change to a SLOCPT employee before the change is posted to the system OR as a straight through process based on decisions in the design sessions | | | |
| 364 | 3.1.13 | Member Maintenance - General Account Changes | Ability to alert the retiree to which payroll cycle any changes made on the website will be effective | | | |
| 365 | 3.1.13 | Member Maintenance - General Account Changes | Ability to allow a member to change only the data fields approved by SLOCPT using a Web utility (the fields to be determined at a later time) | | | |
| 366 | 3.1.13 | Member Maintenance - General Account Changes | Ability to capture and maintain marital status information (single, married, widowed, and divorced) | | | |
| 367 | 3.1.13 | Member Maintenance - General Account Changes | Ability to capture comments/attach notes to a member's account and categorize the type of comment | | | |
| 368 | 3.1.13 | Member Maintenance - General Account Changes | Ability to capture, revise, track, display, and print members' information including but not limited to the following: name, former names, address, Social Security number, date of birth, gender, phone numbers, e-mail, employment date, employment details, membership group (Tiers, bargaining unit, vesting, etc.), etc. | | | |
| 369 | 3.1.13 | Member Maintenance - General Account Changes | Ability to input death notifications into a member's account (i.e. based on unofficial source such as obituary) so that the information is instantly available to staff working on different levels of the account processes | | | |
| 370 | 3.1.13 | Member Maintenance - General Account Changes | Ability to capture, maintain, track and utilize within the member record multiple address types | | | |
| 371 | 3.1.13 | Member Maintenance - General Account Changes | Ability to maintain two email addresses (i.e. work and personal) and allow the member to signify preferred communication method, which is applied to all or selected SLOCPT outputs | | | |
| 372 | 3.1.13 | Member Maintenance - General Account Changes | Ability to accommodate appropriate interest calculations when a member terminates employment; they receive interest through their last pay date. | | | |
| 373 | 3.1.13 | Member Maintenance - General Account Changes | Ability to adjust an account to prevent out-of-balance conditions including a reason code and an explanation of historical transactions | | | |
| 374 | 3.1.13 | Member Maintenance - General Account Changes | Ability to identify those members (based on appropriate statuses) that are eligible for account interest posting, automatically calculate, and apply interest accordingly | | | |
| 375 | 3.1.13 | Member Maintenance - General Account Changes | Ability to apply and compound interest with each biweekly payroll period to applicable accounts. | | | |
| 376 | 3.1.13 | Member Maintenance - General Account Changes | Ability to stop adding interest to a member's account once the member has retired, died or no longer eligible | | | |
| 377 | 3.1.13 | Member Maintenance - General Account Changes | Ability to (in the case in which a member is a retiree, a beneficiary, or an alternate payee), "tie" together information so that users can view all the benefits an individual is receiving | | | |
| 378 | 3.1.13 | Member Maintenance - General Account Changes | Ability to access member accounts with a single entry screen | | | |
| 379 | 3.1.13 | Member Maintenance - General Account Changes | Ability to automatically notify member by correspondence at career / retirement milestones (vesting, early, normal retirement, attaining age 62, Medicare eligible, attaining age 70½, etc.) | | | |
| 380 | 3.1.13 | Member Maintenance - General Account Changes | Ability to automatically transfer all account information from the wrong Social Security number to the corrected Social Security number | | | |
| 381 | 3.1.13 | Member Maintenance - General Account Changes | Ability to manually separate multiple accounts where information is misapplied (e.g., two members under one SSN) without requiring IT intervention | | | |
| 382 | 3.1.13 | Member Maintenance - General Account Changes | Ability to display the member account in both a summary and detailed view | | | |
| 383 | 3.1.13 | Member Maintenance - General Account Changes | Ability to generate a "Member Detail" | | | |
| 384 | 3.1.13 | Member Maintenance - General Account Changes | Ability to maintain a history of job titles and associated bargaining units and/or pension codes held by a member (employee) | | | |
| 385 | 3.1.13 | Member Maintenance - General Account Changes | Ability to provide a mechanism to view and/or download contribution, service credit and salary / earnings totals and details for a retirement system by user defined time periods (e.g. fiscal year, calendar year, and transaction year) | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 386 | 3.1.13 | Member Maintenance - General Account Changes | Ability to retain a history of all changes to member data (and in the audit log the user/source of the change). | | | |
| 387 | 3.1.13 | Member Maintenance - General Account Changes | Ability to support all of the various contribution “buckets” that SLOCPT supports (i.e., employee pre, employee post, employer for employee, employer for employee additional, voluntary additional, normal interest, additional interest, pension trust service credit, employer appropriation, purchased, eligible, benefit, leave balance) | | | |
| 388 | 3.1.13 | Member Maintenance - General Account Changes | Ability to adjust and reverse any/all transactions generating appropriate audit trail information | | | |
| 389 | 3.1.13 | Member Maintenance - General Account Changes | Ability to automatically calculate, credit, and display year to date interest on member account balances | | | |
| 390 | 3.1.13 | Member Maintenance - General Account Changes | Ability to apply interest to pay periods based on the member’s status at that time the pay was earned | | | |
| 391 | 3.1.13 | Member Maintenance - General Account Changes | Ability to adjust interest if the member’s status is updated/changed for a previous pay period that was already granted interest | | | |
| 392 | 3.1.13 | Member Maintenance - General Account Changes | Ability to enter addresses and payments with future effective dates. | | | |
| 393 | 3.1.13 | Member Maintenance - General Account Changes | Ability to automatically reformat all addresses accordingly to industry address formatting | | | |
| 394 | 3.1.13 | Member Maintenance - General Account Changes | Ability to set a hierarchy if more than one address type exists. | | | |
| 395 | 3.1.13 | Member Maintenance - General Account Changes | Ability to delete a transaction (by the same user who created the transaction) before the transaction is approved. (i.e. a transaction is set to ‘submit for approval’ but was entered in error and the creating end user wants to recall the transaction for deletion rather than submitting for approval) | | | |
| 396 | 3.1.13 | Member Maintenance - General Account Changes | Ability to search on former last name or employee ID, even if there is a more current effective dated row | | | |
| 397 | 3.1.13 | Member Maintenance - General Account Changes | Ability to accrue pension trust service credit by every hour paid with a maximum grant of 80-hours per pay period (excluding retro adjustments) | | | |
| 398 | 3.1.13 | Member Maintenance - General Account Changes | Ability to provide a member listing (electronic) to provide to a third party source (e.g. Small World, other agencies within the county, etc.) based on various parameters | | | |
| 399 | 3.1.13 | Member Maintenance - General Account Changes | Ability to generate and display a member’s employment history information on a single report (e.g., salary, contributions, service, payroll period, employment information, group, etc.) | | | |
| 400 | 3.1.13 | Member Maintenance - General Account Changes | Ability to provide a report for accounting of all Bi-weekly activity following the employer wage and contribution upload and interest posting that summarizes all transactions by detail and summary (i.e., Pay Period History). | | | |
| 401 | 3.1.13 | Member Maintenance - General Account Changes | Ability to clearly display to the end user the member's status, other key identifiers, etc., on all screens, this may be accomplished through displaying the status in a screen header or color coding the screen header. | | | |
| 402 | 3.1.13 | Member Maintenance - General Account Changes | Ability to generate a Member Detail statement - to a member | | | |
| 403 | 3.1.13 | Member Maintenance - General Account Changes | Ability to generate an Award Letter - to a retiree participant | | | |
| 404 | 3.1.13 | Member Maintenance - General Account Changes | Ability to validate that SSN entered is within the range of valid SSNs | | | |
| 405 | 3.1.13 | Member Maintenance - General Account Changes | Ability to determine whether changed information was verified, especially for those cases where this information is changed back and forth multiple times (as examples: SSN, date of birth), | | | |
| 406 | 3.1.13 | Member Maintenance - General Account Changes | Ability to identify accounts with non-zero balance after withdrawal of contributions and notify an end-user of a possible “Additional Refund” (or recovery of funds) to be processed | | | |
| 407 | 3.1.13 | Member Maintenance - General Account Changes | Ability to systematically audit certain changes to a member record prior to applying the change | | | |
| 408 | 3.1.13 | Member Maintenance - General Account Changes | Ability to limit the member’s visibility to internal comments made by the SLOCPT user | | | |
| 409 | 3.1.14 | Member Self-Service Portal | Ability to eliminate double input when a member completes a form or application on-line. Where straight through processing is not allowed, staff should be able to validate, correct, edit, and approve data created by the member. | | | |
| 410 | 3.1.14 | Member Self-Service Portal | Ability to administer rights to the member web portal based on Member's status in the Pension Solution. (e.g. Retired members don't see calculators or service credit; Active members don't see payroll advice or 1099-R) | | | |
| 411 | 3.1.14 | Member Self-Service Portal | Ability to lock a member's account after a SLOCPT defined number of unsuccessful login attempts. | | | |
| 412 | 3.1.14 | Member Self-Service Portal | Ability to setup, maintain and monitor web access and to log an external user off the system after a user-definable period of inactivity. | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 413 | 3.1.14 | Member Self-Service Portal | Ability to establish and set up Web-based application screens as either able to browse back to a screen after going to a new screen or as a time-out and/or life span of zero screen based on SLOCPT defined criteria and/or rules. | | | |
| 414 | 3.1.14 | Member Self-Service Portal | Ability to track the "source" of member requests or updates back to the web. (i.e., store member estimates run via the web but ensure those are segregated from those performed in-house). | | | |
| 415 | 3.1.14 | Member Self-Service Portal | The ability to include on-line help, self-help videos, etc. and an area for frequently asked questions (FAQ) on member web self-service screens and processes. | | | |
| 416 | 3.1.14 | Member Self-Service Portal | The ability to establish standard security protocols related to deployment of data over the web to include but not be limited to Secure Sockets Layer (SSL). | | | |
| 417 | 3.1.14 | Member Self-Service Portal | Ability to build in field validations on all on-line forms within the web portal. | | | |
| 418 | 3.1.14 | Member Self-Service Portal | Ability to perform appropriate edit checks on all data entered via the web to ensure validity prior to acceptance by the system. | | | |
| 419 | 3.1.14 | Member Self-Service Portal | Ability to allow a member to reset a password, without contacting SLOCPT, based on a series of fields used to uniquely identify the member. | | | |
| 420 | 3.1.14 | Member Self-Service Portal | Ability to support a mobile version (a iPod/iPad, Android, and Windows Mobile) for common member inquiries and applications to include but not be limited to change of address, scheduling and information look-up. | | | |
| 421 | 3.1.14 | Member Self-Service Portal | Ability to support use of "electronic signatures" for all electronic transactions that would require notarization if the transaction were paper-based. | | | |
| 422 | 3.1.14 | Member Self-Service Portal | Ability to specify, by data rule, who may have the ability to produce a benefit estimate online | | | |
| 423 | 3.1.14 | Member Self-Service Portal | Ability to track a work ticket, at the member level in the event the member has issues accessing the website so that SLOCPT can track resolution with Pension Solution Vendor | | | |
| 424 | 3.1.14 | Member Self-Service Portal | Ability to print an application completed online for signature or member records once completed by a member over the web. | | | |
| 425 | 3.1.14 | Member Self-Service Portal | Ability for the member to upload supporting documents via the member portal, | | | |
| 426 | 3.1.14 | Member Self-Service Portal | Ability to have a custom landing page on the member portal based on the member's status. | | | |
| 427 | 3.1.14 | Member Self-Service Portal | Ability for a SLOCPT staff user to log on as an administrator to the member self-service portal, look up a member and view the same screens a member would be seeing to assist in phone support with users. | | | |
| 428 | 3.1.14 | Member Self-Service Portal | Ability for SLOCPT staff to reset passwords for members manually who are having a problem with the automatic tool | | | |
| 429 | 3.1.14 | Member Self-Service Portal | Ability for SLOCPT staff to delete a member self-service registration, allowing the member to re-register and start new. | | | |
| 430 | 3.1.14 | Member Self-Service Portal | Ability to alert, via social media, updates posted to member self-service (i.e. pay advices are available.) | | | |
| 431 | 3.1.14 | Member Self-Service Portal | Ability to run reports on site statistics, including:<br>☐ Number of registered users<br>☐ Number of accesses by user defined period<br>☐ Types of services utilized (i.e. applications submitted)<br>☐ Amount of time for each login. | | | |
| 432 | 3.1.14 | Member Self-Service Portal | Ability to look up a member record and see reason for unsuccessful registration to member self-service portal and date of failed attempt | | | |
| 433 | 3.1.14 | Member Self-Service Portal | Ability to automatically set up a Member Web Portal account upon successful member enrollment. | | | |
| 434 | 3.1.14 | Member Self-Service Portal | Ability to maintain security tables for member self-service separate from in-house systems. | | | |
| 435 | 3.1.14 | Member Self-Service Portal | Ability to allow members to select communication preferences that can vary depending on the type of correspondence (i.e., e-mail my statement, mail my 1099, etc.) | | | |
| 436 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to enter one or more messages (to be determined conditionally) to be printed on a check stub or ACH advice for all or a subset of disbursements | | | |
| 437 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture disbursement status (paid, in-collection, collected, void, etc.) | | | |
| 438 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture information from checks that were returned to SLOCPT but not deposited | | | |
| 439 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture stop payments (including the date of stop payment and a reason for the stop payment) | | | |
| 440 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support the entry of federal and state withholding for a future date and implement the change with the benefit payroll corresponding to the date | | | |
| 441 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture "bulk" changes in bank routing numbers (supporting bank mergers) for direct deposit of retiree payments and updates (e.g. update all payee records with new routing number) | | | |
| 442 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture changes in individual account and bank routing numbers for direct deposit of retiree payments | | | |
| 443 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture and update direct deposit information | | | |
| 444 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture and update key financial institution information | | | |
| 445 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture, update, and validate bank routing numbers and cross match with financial institution name and address | | | |
| 446 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support Joint and Survivor Annuity payments and provide detailed tracking of this annuity, with the ability to transfer the annuity to the survivor after eligibility validation and certification of death of the retiree | | | |
| 447 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to switch a member from ACH to paper-check payment when an ACH transaction fails | | | |
| 448 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to retain history of check addresses, the member's address, and their survivor's addresses, with effective dates 'attached' to all historical addresses | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 449 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support the setup and creation of recurring payments (pension payroll) | | | |
| 450 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support the transfer of direct deposit information to bank for running payroll | | | |
| 451 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to add a new individual to payroll and transfer information to an “auditor” via workflow for an approval step; only after the approval process, officially place the individual on payroll | | | |
| 452 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to add survivors to payroll for either recurring payments or one-time payments | | | |
| 453 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to automatically calculate gross annuity, disbursements, and transfer amount according to business rules and laws and to update payroll files as indicated by benefit calculations with ability to sort or filter by various data elements | | | |
| 454 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to ensure, for all funds, that member and employer reserves as indicated in the pension solution database are continuously in agreement with the general ledger reserve balances for same | | | |
| 455 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to apply deduction to either gross or net pay. | | | |
| 456 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to automatically redistribute pension amounts to beneficiaries upon qualifying event, e.g., remaining dependent children when one of the dependent children reaches age 18 | | | |
| 457 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to automatically terminate benefits to beneficiaries upon a qualifying event, e.g., to a dependent child upon his / her reaching age 18 | | | |
| 458 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to calculate and issue retroactive payments to a member who has been suspended from payroll for a period of time | | | |
| 459 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to calculate federal and California state withholding tax (current tax tables plus a fixed dollar amount or percentage or just a flat dollar amount) and withhold the appropriate taxable amount. | | | |
| 460 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to calculate tax levies or child support payments and apply/update attachments (percentages or fixed dollar amount) | | | |
| 461 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to control the addition and deletion of individuals from payroll with status codes and status effective dates | | | |
| 462 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to create deduction-ending dates that automatically suspend deductions when applicable | | | |
| 463 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to display and print all detailed benefit payment information (current/historical) for each electronic transfer/direct deposit or “paper” check initiated (e.g., check number, payment type, gross payment amount, net payment amount, deduction amounts, check address used, etc.) | | | |
| 464 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate multiple payments per payee in a single payroll run | | | |
| 465 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to include all deductions on check stub/ACH Advice – current period and year to date | | | |
| 466 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to maintain more than one benefit account for a payee, (e.g., service retirement, disability retirement, alternate payee, beneficiary, etc.) | | | |
| 467 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to maintain yearly gross check amount, taxable amount, deductions, excludable amounts, ratios, and recovered amounts for 1099-R reporting | | | |
| 468 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to make a payment payable to a third party (i.e.., a guardian) on behalf of a payee | | | |
| 469 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to perform payroll “production run” after successful validation | | | |
| 470 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to perform payroll “trial run” to validate payroll data prior to the generation of the payroll files and payroll reconciliation reports | | | |
| 471 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to re-execute payroll edits once a correction has been made in response to an exception/error or a new record is created | | | |
| 472 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to reissue a direct rollover amount to a different financial institution or the individual | | | |
| 473 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to send the payroll file to an outside agency for printing of checks and advices | | | |
| 474 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support both one-time and recurring deductions | | | |
| 475 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support different levels of exceptions/errors, both fatal and non-fatal (i.e., payroll runs versus payroll does not run) | | | |
| 476 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to support zero dollar account balances but generate only positive payment amounts and create appropriate warnings/error messages when zero or less than zero | | | |
| 477 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to suspend and reactivate a member’s payroll record | | | |
| 478 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to withhold (or credit) healthcare premiums and other third party deductions from a benefit payment | | | |
| 479 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to execute a payroll run during the day or evening without interfering with business operations | | | |
| 480 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to automatically produce at the conclusion of every payment run a report of the number of payments, type of payment, the total amount of all checks/payments, and the check number of the first and last checks printed – by employer, tier, bargaining unit and membership class, etc. | | | |
| 481 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to combine amounts, if allowed by tax rules, from payments made from more than one benefit account into a single amount from which to calculate tax withholding | | | |
| 482 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to accommodate a check reconciliation via use of statuses for each payment in the system | | | |
| 483 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to process a response from the member relating to uncashed check(s) and reissue the payment(s) accordingly | | | |
| 484 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to place a “hold” on a benefit payment check; where the check is physically to be held at SLOCPT vs. mailed and then release when applicable | | | |
| 485 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to provide an online Web utility for SLOCPT payment recipients to update/maintain their federal and California state withholding tax amount, multiple check addresses, and other pertinent information | | | |
| 486 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to provide a tax withholding estimator | | | |

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|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 487 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to execute a payment to third party vendors based on the total deductions held from all members | | | |
| 488 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to tie various addresses and bank routing information to the payment (not necessarily just the member) for those cases where a person wants his/her checks / payments to go to different places / accounts | | | |
| 489 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to split a benefit payment either by percentage or set amount to various institutions by either check or ACH | | | |
| 490 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to validate entry of a new financial institution's bank routing number by using the ABA 'check digit' calculation (the 9th digit in the routing number) | | | |
| 491 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to assess a recurring warrant or check charge for those members electing to receive their benefit by check rather than direct deposit or EFT | | | |
| 492 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to perform financial reporting/GL transactions | | | |
| 493 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to, when adding a deduction, have the system calculate to ensure the deduction will not exceed the amount available (inclusive of tax and other deductions) | | | |
| 494 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to set the start date for payments in the future. | | | |
| 495 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to deduct a wire fee for international payments | | | |
| 496 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to capture changes in individual account and bank routing numbers for direct deposit of contribution refund payments to terminated members. | | | |
| 497 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate comprehensive online variance listing of all changes that took effect since previous report or since previous month's pension payroll based on SLOCPT specifications (additions, deletions, modifications, old amount vs. new amount, changes in tax withholding, name changes, etc.) with the ability to flag reviewed items | | | |
| 498 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Check Register Report | | | |
| 499 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Deduction Register Report | | | |
| 500 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Direct Deposit Register Report | | | |
| 501 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Monthly Benefit Adjustment Detail Report | | | |
| 502 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate New Retirees Detail Report | | | |
| 503 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Payment Register Report – All payments for a payroll regardless of payment type (ACH, Checks) | | | |
| 504 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Retirees Suspended or Stopped Report | | | |
| 505 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate various payroll control reports | | | |
| 506 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to reproduce EFT disbursements | | | |
| 507 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to permit retiree to opt out of receipt of payment advice except when payment or deduction amounts change | | | |
| 508 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate separate monthly benefit and refund reports identifying the monthly journal entries posted to GL and a summary of all benefit payrolls, taxes, and adjustments | | | |
| 509 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to display cash disbursement information necessary to permit user to complete bank reconciliation processes | | | |
| 510 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to automatically generate a report for outstanding checks that exceed xx days. | | | |
| 511 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to run a report for annual/year to date accumulation payroll deductions by employer, tier, bargaining unit and membership class | | | |
| 512 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to alert, via correspondence, to the payee that a benefit will stop or change (i.e. beneficiary reaches age 18, age 62 temporary annuity, dependent reaches age 26, or end of annuity term, etc.) | | | |
| 513 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to generate Direct Deposit Return/Rejected Report | | | |
| 514 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to recalculate unattended process totals after a payroll exception/error is corrected or if records are created or deleted; new summaries must be displayed for the corresponding payroll process | | | |
| 515 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to provide adequate check numbering, statement numbering, and payroll controls to ensure accurate information is sent to third party payroll service provider, banks, and financial institutions | | | |
| 516 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to set a hierarchy to the application of deductions. | | | |
| 517 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to validate that a deduction can be withheld prior to saving the deduction | | | |
| 518 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to run a report that identifies all changes to payees (new payees or removal) for payroll purposes. | | | |
| 519 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to maintain, track and change multiple premium deduction amounts paid by the employer (i.e., Public Employees Medical and Hospital Care Act) | | | |
| 520 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to maintain annual health premiums for multiple health plans | | | |
| 521 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to manually override (or) add an adjustment record with separate line item on statement with comment field for premium adjustments | | | |
| 522 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to show an item's effective date without affecting prior payroll reports | | | |
| 523 | 3.1.15 | Payroll - Recurring and Non-Recurring Payroll | Ability to designate which participants are in DROP and create a file and report with appropriate information and securely transfer it to a 3rd party administrator; and generate statements to those participants | | | |
| 524 | 3.1.16 | Power of Attorney | Ability to ensure that all correspondence will be sent to an appointed guardian/POA | | | |
| 525 | 3.1.16 | Power of Attorney | Ability for user to enter the appropriate agent, guardian, or trustee information upon approval | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 526 | 3.1.16 | Power of Attorney | Ability to capture and act upon a specific time duration (date from and date to) noted in a power of attorney form, guardian certificate, or trust certificate | | | |
| 527 | 3.1.16 | Power of Attorney | Ability to capture and track the status of a power of attorney form, guardian certificate, or trust certificate (e.g., pending, approved, rejected, legal review, revoked, etc.) | | | |
| 528 | 3.1.16 | Power of Attorney | Ability to suspend the transaction until the designation request has been approved (when a document designating power of attorney, guardianship, or trusteeship has been received but not yet approved) | | | |
| 529 | 3.1.16 | Power of Attorney | Ability to accommodate multiple named power of attorneys; power of attorney designations will remain in effect until they are revoked by the member | | | |
| 530 | 3.1.16 | Power of Attorney | Ability to automatically change the power of attorney, guardian, or trustee status from “approved” to “revoked” or "expired" when the specified duration has been exhausted | | | |
| 531 | 3.1.16 | Power of Attorney | Ability to view current and historic power of attorney information | | | |
| 532 | 3.1.16 | Power of Attorney | Ability to generate correspondence when a Power of Attorney is about to expire | | | |
| 533 | 3.1.16 | Power of Attorney | Ability to generate a report and/or notification of individuals with a Power of Attorney including their start date and end date | | | |
| 534 | 3.1.17 | Purchase of Service - Buybacks | Ability to capture from the member a request for purchase of service information, resulting in the creation of the estimate | | | |
| 535 | 3.1.17 | Purchase of Service - Buybacks | Ability to capture the date a payment is received to determine if it falls before the expiration date | | | |
| 536 | 3.1.17 | Purchase of Service - Buybacks | Ability to recalculate a buyback calculation (i.e., missed payment, determine payoff amount, etc.) | | | |
| 537 | 3.1.17 | Purchase of Service - Buybacks | Ability to prorate service as payments are received for refund buy back and military purchases | | | |
| 538 | 3.1.17 | Purchase of Service - Buybacks | Ability to record the receipt of a rollover payments from financial institutions/retirement plan toward a member’s purchase of service receivable | | | |
| 539 | 3.1.17 | Purchase of Service - Buybacks | Ability to accommodate multiple purchases of the same “type” at the same time. | | | |
| 540 | 3.1.17 | Purchase of Service - Buybacks | Ability to allow for various member payment methods, including a lump sum payment, rollover and payroll deductions for pre or post tax | | | |
| 541 | 3.1.17 | Purchase of Service - Buybacks | Ability to maintain service purchase credit within the system in situations in which there is no associated SLOCPT employment record (Section 2.17) | | | |
| 542 | 3.1.17 | Purchase of Service - Buybacks | Ability to identify, and notify the end user for all service purchase types to insure that they are stopped in timely fashion, thus avoiding additional, unnecessary deductions and subsequent refunds | | | |
| 543 | 3.1.17 | Purchase of Service - Buybacks | Ability to apply the appropriate service credit amount (in the appropriate service credit “buckets”) to a member’s account based on the purchase of service agreement and payments received | | | |
| 544 | 3.1.17 | Purchase of Service - Buybacks | Ability to automatically create, update, and maintain a history by calendar year of each purchase of service receivable record (e.g., amount of service, type of service, cost of service; payments received, tax status, etc.) | | | |
| 545 | 3.1.17 | Purchase of Service - Buybacks | Ability to cap the amount of service credit a member is eligible to purchase for each type of service credit purchase | | | |
| 546 | 3.1.17 | Purchase of Service - Buybacks | Ability to compute a comparison of the member’s future retirement benefit with and without an eligible service credit purchase – and include as part of the purchase estimate/application | | | |
| 547 | 3.1.17 | Purchase of Service - Buybacks | Ability to determine member’s eligibility to purchase service for each type of service credit purchase | | | |
| 548 | 3.1.17 | Purchase of Service - Buybacks | Ability to determine the cost (member and employer share if applicable) and payment plan alternatives (i.e., Cadet conversion) | | | |
| 549 | 3.1.17 | Purchase of Service - Buybacks | Ability to update a purchase of service record when an installment is reported on the employer wage and contribution file. | | | |
| 550 | 3.1.17 | Purchase of Service - Buybacks | Ability to automatically calculate all rates, factors, and tables associated with each type of service credit purchase (e.g., employer rate, member rate, interest rates, etc.) | | | |
| 551 | 3.1.17 | Purchase of Service - Buybacks | Ability to provide the member, or SLOCPT staff a calculator to determine a purchase of service estimate / update (for all types of service purchases) | | | |
| 552 | 3.1.17 | Purchase of Service - Buybacks | Ability to flag a missed payroll deduction (for a buy back purchase) and allow the user to extend the schedule without recalculating interest | | | |
| 553 | 3.1.17 | Purchase of Service - Buybacks | Ability to identify payments for purchases that are reported by the employer where no purchase agreement exists. | | | |
| 554 | 3.1.17 | Purchase of Service - Buybacks | Ability to generate various Purchase of Service Elections for the member for purchases made (appropriate for each type of purchase or buyback) that includes:<br>☐ Lump Sum Payment / Rollover<br>☐ Partial Lump Sum / Rollover with Installments<br>☐ Installments only | | | |
| 555 | 3.1.17 | Purchase of Service - Buybacks | Ability to report on all purchases required (by type) | | | |
| 556 | 3.1.17 | Purchase of Service - Buybacks | Ability to produce correspondence to acknowledge completion of a purchase of service | | | |
| 557 | 3.1.17 | Purchase of Service - Buybacks | Ability to record the appropriate reporting for the general ledger when a purchase is paid and service is granted. | | | |
| 558 | 3.1.17 | Purchase of Service - Buybacks | Ability to create an un-remitted contribution report so that SLOCPT knows what money is still owed on all outstanding service purchases; (both lump sum and installment) | | | |
| 559 | 3.1.17 | Purchase of Service - Buybacks | Ability to capture tax status (i.e., pre-tax or post-tax) of each purchase payment | | | |
| 560 | 3.1.17 | Purchase of Service - Buybacks | Ability to calculate an expiration date on each agreement. | | | |
| 561 | 3.1.17 | Purchase of Service - Buybacks | Ability to report how many Service Credit Purchase Agreements (by Type) were requested, processed, paid for in a lump-sum payment or through installment | | | |
| 562 | 3.1.17 | Purchase of Service - Buybacks | Ability to track leave without pay record events to be used for purchase of service calculations | | | |
| 563 | 3.1.17 | Purchase of Service - Buybacks | Ability to view the member's entire payment schedule, show those payments received / processed, compute totals accordingly, show outstanding balance due, show PTSC earned, show PTSC remaining to be earned, with the ability for staff to adjust information as needed | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 564 | 3.1.17 | Purchase of Service - Buybacks | Ability to calculate all types of purchases for tier, bargaining unit and membership class and to account for buybacks in the appropriate tier that does not necessarily correspond to member's current membership class or tier | | | |
| 565 | 3.1.17 | Purchase of Service - Buybacks | Ability to allow for end-user override of calculation / re-calculation | | | |
| 566 | 3.1.17 | Purchase of Service - Buybacks | Ability to process prior service redeposits in the correct order (i.e., most recent to oldest) | | | |
| 567 | 3.1.17 | Purchase of Service - Buybacks | Ability for incomplete purchases to flag those cost schedules / purchases so that they can not be attempted to be purchased in the future | | | |
| 568 | 3.1.17 | Purchase of Service - Buybacks | Ability to calculate and apply interest only purchase types | | | |
| 569 | 3.1.18 | DRO and Court Orders | Ability to enter and display and amend as necessary as part of the member record court order-related information and characteristics (for one or more court orders) | | | |
| 570 | 3.1.18 | DRO and Court Orders | Ability, upon receipt of additional DRO information, to append it to the existing DRO if one is in process; initiate a new DRO process if the prior DRO information is already either finalized or rejected | | | |
| 571 | 3.1.18 | DRO and Court Orders | Ability to provide a member and alternate payee with an estimate of the amount of their benefit that will be affected by a DRO or Court Order, prior to its issuance, e.g., xx% up to a total monthly amount of $xx | | | |
| 572 | 3.1.18 | DRO and Court Orders | Ability to notify member of the receipt of a DRO or other Court Order and the effect that application of the DRO will have on their account/benefit | | | |
| 573 | 3.1.18 | DRO and Court Orders | Ability to link retiree accounts to all related alternate payees, split payments and vice versa | | | |
| 574 | 3.1.18 | DRO and Court Orders | Ability to make appropriate benefit adjustments as necessary based on the rules associated with a finalized court order(s) or DRO | | | |
| 575 | 3.1.18 | DRO and Court Orders | Ability to record and support instances where multiple, legitimate DROs are placed against a member's account | | | |
| 576 | 3.1.18 | DRO and Court Orders | Ability to automatically start and/or stop payments when the maximum amount has been paid or other conditions are met, such as death, and affect the member's and alternate payee's benefit accordingly | | | |
| 577 | 3.1.18 | DRO and Court Orders | Ability to support the appropriate allocation of a benefit to multiple alternate payees as defined by multiple court orders | | | |
| 578 | 3.1.18 | DRO and Court Orders | Ability to enter and maintain non-member Alternate Payee demographic and monetary-related information | | | |
| 579 | 3.1.18 | DRO and Court Orders | Ability to split and subsequently maintain accounts and/or benefits appropriately in the processing of an accepted DRO, automatically creating additional accounts as necessary | | | |
| 580 | 3.1.18 | DRO and Court Orders | Ability to alert any user that DRO information has arrived and may affect what they are working on | | | |
| 581 | 3.1.18 | DRO and Court Orders | Ability to "undo" a split of accounts/benefits when an amended DRO or it is nullified changing the benefit to x% is received and automatically update any necessary benefit calculations and generate appropriate correspondence | | | |
| 582 | 3.1.18 | DRO and Court Orders | Ability to pay out the contribution account balance to a DRO/alternate payee | | | |
| 583 | 3.1.18 | DRO and Court Orders | Ability to provide COLA to an alternate payee in accordance with the division of the benefit being received. | | | |
| 584 | 3.1.18 | DRO and Court Orders | Ability to calculate and split the contributions, interest and pension trust service credit when a DRO exists – simplified general rule | | | |
| 585 | 3.1.18 | DRO and Court Orders | Ability to make a DRO effective based on user entered date | | | |
| 586 | 3.1.18 | DRO and Court Orders | Ability to reflect a DRO reduction in the members' election for an Actuarial Equivalent | | | |
| 587 | 3.1.18 | DRO and Court Orders | Ability to generate appropriate correspondence outlining the rights of individuals with respect to one or more court orders in place on a member's account | | | |
| 588 | 3.1.18 | DRO and Court Orders | Ability to generate report(s) of and monitor split benefit payments for reconciliation purposes | | | |
| 589 | 3.1.18 | DRO and Court Orders | Ability to produce reports for member account history with information requested by court order or other authorized party, often by marital period | | | |
| 590 | 3.1.18 | DRO and Court Orders | Ability to track the status of a potential court order or DRO | | | |
| 591 | 3.1.18 | DRO and Court Orders | Ability to alert user when a court order is being processed or is in place for the member or alternate payees and "cross-reference" to another member's account (e.g., spouse also within the retirement system), also providing the ability to easily and automatically navigate among the related accounts | | | |
| 592 | 3.1.18 | DRO and Court Orders | Ability to stop a court ordered payments based upon end date or manual removal. | | | |
| 593 | 3.1.18 | DRO and Court Orders | Ability to determine and perform all calculations including but not limited to split-account and time-rule related to DRO processing in accordance with Court Orders and SLOCPT plan provisions | | | |
| 594 | 3.1.18 | DRO and Court Orders | Ability to create a listing of alternate payee(s) and their associated benefactor - along with current status, etc. | | | |
| 595 | 3.1.19 | Refunds - Terminations | Ability to capture direct rollover related information (e.g., percentage or specific amount to be rolled, financial institution information, account number, plan types, for benefit of (FBO), etc.) | | | |
| 596 | 3.1.19 | Refunds - Terminations | Ability to capture refund related information (e.g., last day worked, termination date, reported salary, reported contributions, comments, etc.) from employer | | | |
| 597 | 3.1.19 | Refunds - Terminations | Ability to capture and track the request for a refund (via application for withdrawal) | | | |
| 598 | 3.1.19 | Refunds - Terminations | Ability to automatically accommodate retroactive interest posting for those cases in which a refund / withdrawal should not have been taken and is reversed (including tax consequences) | | | |
| 599 | 3.1.19 | Refunds - Terminations | Ability to "split" the amount to be refunded between the member, one or more financial institutions, or another third party in a single transaction | | | |
| 600 | 3.1.19 | Refunds - Terminations | Ability to assign to a refund: application status, status date, payment date, and reason as a transaction in the member's account | | | |
| 601 | 3.1.19 | Refunds - Terminations | Ability to automatically calculate the amount to be refunded | | | |
| 602 | 3.1.19 | Refunds - Terminations | Ability to automatically correct/reverse a refund transaction already posted against a member's account | | | |
| 603 | 3.1.19 | Refunds - Terminations | Ability to determine whether member is eligible for retirement benefits prior to issuing refund; if so, alert the user to validate the election. | | | |
| 604 | 3.1.19 | Refunds - Terminations | Ability to display a check summary and/or a check detail pertaining to each refund payment | | | |
| 605 | 3.1.19 | Refunds - Terminations | Ability to ensure that salary for refunded periods are not included in Final Compensation calculations | | | |
| 606 | 3.1.19 | Refunds - Terminations | Ability to notify members of refund status via email, portal, etc. | | | |
| 607 | 3.1.19 | Refunds - Terminations | Ability to, at the time of refund, update the member's account with a refund transaction that includes the amount of contributions, taxes, and service refunded or corrected as appropriate, applying the correction to the proper period | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 608 | 3.1.19 | Refunds - Terminations | Ability to recalculate a refund based on receipt of additional information and re-verify payment options (direct rollover versus direct payment) | | | |
| 609 | 3.1.19 | Refunds - Terminations | Ability to refund both regular contributions and purchase of service contributions, creating appropriate tax records, reducing service credit according to the amount refunded, and voiding any outstanding purchases of service | | | |
| 610 | 3.1.19 | Refunds - Terminations | Ability to route the account for audit and/or review prior to issuing refund check | | | |
| 611 | 3.1.19 | Refunds - Terminations | Ability to support and track taxable vs. non-taxed contributions in refund processing | | | |
| 612 | 3.1.19 | Refunds - Terminations | Ability to automatically support Court Ordered processing where there are multiple payments to be issued (i.e. DRO) | | | |
| 613 | 3.1.19 | Refunds - Terminations | Ability to suspend the payment of a refund until the final salary and service information is received from the employer; then automatically (re)calculate refund and release for payment | | | |
| 614 | 3.1.19 | Refunds - Terminations | Ability to update member demographic information while processing a refund application or direct rollover form | | | |
| 615 | 3.1.19 | Refunds - Terminations | Ability to automatically rebuild a member account (when the account was erroneously terminated – or as a result of member “change of mind”, or fraudulent event) in the event the payment has not been made. | | | |
| 616 | 3.1.19 | Refunds - Terminations | Ability to perform an automatic write-off of amounts less than $XX.00 – (i.e. automatic refund or zeroing an account without a refund) | | | |
| 617 | 3.1.19 | Refunds - Terminations | Ability to automatically create all necessary refund-related GL entries and related reports for GL Posting | | | |
| 618 | 3.1.19 | Refunds - Terminations | Ability to maintain in member account a detailed history to include tier assignment of all refunds such that, when the member elects to purchase service corresponding with a historical refund, the cost of the purchase can be calculated automatically. Alternatively the ability to add refunded periods not maintained in history. | | | |
| 619 | 3.1.19 | Refunds - Terminations | Ability to automatically generate an additional information correspondence from an incomplete refund record and include the appropriate application (e.g., refund application, direct rollover application, etc.) highlighting the areas that need to be completed or corrected | | | |
| 620 | 3.1.19 | Refunds - Terminations | Ability to create a system generated refund payment correspondence with the application | | | |
| 621 | 3.1.19 | Refunds - Terminations | Ability to generate a payment correspondence, noting when the payment will be made and the 1099 expectations (i.e., Termination Packet) | | | |
| 622 | 3.1.19 | Refunds - Terminations | Ability to automatically suspend a refund from being processed if a new enrollment is received for the member | | | |
| 623 | 3.1.19 | Refunds - Terminations | Ability to confirm that there is no legal-hold/DRO on member’s account before issuing a refund | | | |
| 624 | 3.1.19 | Refunds - Terminations | Ability to determine status of application for withdrawal while in process. | | | |
| 625 | 3.1.19 | Refunds - Terminations | Ability to receive notification of an additional refund if earlier refund did not zero account | | | |
| 626 | 3.1.19 | Refunds - Terminations | Ability to have a SLOCPT approval step on all Refund Applications created online by the member. | | | |
| 627 | 3.1.19 | Refunds - Terminations | Ability to automatically deactivate a member online account once all funds have been refunded. | | | |
| 628 | 3.1.19 | Refunds - Terminations | Ability to prevent or make impossible issuing a refund to a member or employer that is in excess of their current account balance. | | | |
| 629 | 3.1.19 | Refunds - Terminations | Ability to provide statistical, managerial, and demographic data for various reporting purposes, such as number of refunds generated in total, by group and/or by tier. | | | |
| 630 | 3.1.19 | Refunds - Terminations | Ability when processing a refund to indicate / flag that the member has reciprocity | | | |
| 631 | 3.1.19 | Refunds - Terminations | Ability to automatically determine and assign a distribution tax code when processing a termination refund in compliance with IRS Tax Codes | | | |
| 632 | 3.1.20 | Return to Work | Ability to capture and manage the status and other information of the post-retirement employment. | | | |
| 633 | 3.1.20 | Return to Work | Ability to suspend their benefit, reflect their "re-instate from retirement" status, re-activate an accrual account, calculate the actuarial adjusted accrual balance with the ability to manually adjust balances if needed. | | | |
| 634 | 3.1.20 | Return to Work | Ability to freeze/stop a benefit if a member elects to return to work on a permanent basis. | | | |
| 635 | 3.1.20 | Return to Work | Ability to accumulate service if the member elects to return to work (after freezing/stopping the benefit) in a benefited position | | | |
| 636 | 3.1.20 | Return to Work | Ability to automatically alert end user and possibly generate correspondence informing retiree returning to work of applicable law, alternatives and possible effects of decisions. | | | |
| 637 | 3.1.20 | Return to Work | Ability to recalculate the member’s benefit, applying additional wage and service, when the member elects to retire. | | | |
| 638 | 3.1.20 | Return to Work | Ability to track reinstated members accrual balances through the different reserve accounts affected | | | |
| 639 | 3.1.21 | Retiree Deductions | Ability to receive an annual file and monthly updates prior to monthly payroll (at the member level) in the form of a text file and apply the update to the retiree’s payroll record as a deduction. | | | |
| 640 | 3.1.21 | Retiree Deductions | Ability to issue multiple, combined payments to various 3rd parties for deducted amounts. | | | |
| 641 | 3.1.21 | Retiree Deductions | Ability to maintain a monthly health insurance withholding schedule and provide a withholding report (in the form of an electronic Excel file) back to the County at the payee level. | | | |
| 642 | 3.1.21 | Retiree Deductions | Ability to view insurance detail and summary reports generated from payroll. | | | |
| 643 | 3.1.21 | Retiree Deductions | Ability to generate an insurance discrepancy report when monthly uploaded records cannot find a matching member | | | |
| 644 | 3.1.21 | Retiree Deductions | Ability to run exception reports as part of the monthly payroll process; including but not limited to invalid amounts, deceased members, duplicate enrollment, and no member on file. | | | |
| 645 | 3.1.21 | Retiree Deductions | Ability to run all reports executed during the monthly payroll process as part of the final posting process. | | | |
| 646 | 3.1.21 | Retiree Deductions | Ability to provide monthly and annual reports including gender, age, coverage, address, etc. for a specific member or the entire retirement population | | | |
| 647 | 3.1.21 | Retiree Deductions | Ability to determine if the retiree’s monthly annuity has sufficient funds to cover federal tax, California state tax, the monthly health, vision and dental insurance premium and provide exception report. | | | |
| 648 | 3.1.21 | Retiree Deductions | Ability when generating a non-recurring payroll to allow for health premium deductions | | | |
| 649 | 3.1.21 | Retiree Deductions | Ability to produce listing / report for reconciliation / discrepancy purposes with data from CalPERS; and generate appropriate correspondence | | | |
| 650 | 3.1.22 | Tax Reporting | Ability to accommodate mid-year tax table changes based on changes made by the IRS or CA FTB. | | | |
| 651 | 3.1.22 | Tax Reporting | Ability to accommodate the “Simplified General Rule” taxation methods. | | | |
| 652 | 3.1.22 | Tax Reporting | Ability to accommodate the waiving of tax withholding but still produce a 1099-R. | | | |
| 653 | 3.1.22 | Tax Reporting | Ability to automatically adjust the tax liability when an adjustment is made to a payee’s benefit amount (i.e. voiding a payment or collecting for overpayment). | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 654 | 3.1.22 | Tax Reporting | Ability to automatically assign distribution codes for 1099-R according to IRS and California State regulations. | | | |
| 655 | 3.1.22 | Tax Reporting | Ability to automatically load the yearly tax tables (and any mid-year tax change and/or updates) vs. having to perform manual key-entry. | | | |
| 656 | 3.1.22 | Tax Reporting | Ability to capture a payee's tax withholding changes effective on a future date. | | | |
| 657 | 3.1.22 | Tax Reporting | Ability to comply with IRS and California State regulations and reporting requirements, including content, format and timing of file transmissions. | | | |
| 658 | 3.1.22 | Tax Reporting | Ability to correctly calculate and accumulate those amounts that are required to be included on Form 1099-R. | | | |
| 659 | 3.1.22 | Tax Reporting | Ability to effective date the tax tables (based on IRS and California State regulations) and apply the correct rates effective on the date of payment. | | | |
| 660 | 3.1.22 | Tax Reporting | Ability to integrate 1099-R output into the member's imaging folder | | | |
| 661 | 3.1.22 | Tax Reporting | Ability to maintain each member's pre-tax dollars/contributions and rolled-over funds. | | | |
| 662 | 3.1.22 | Tax Reporting | Ability to provide a tax withholding "scratch pad" functionality so that users and/or members can examine the tax implications of various tax withholding scenarios. | | | |
| 663 | 3.1.22 | Tax Reporting | Ability to filter reports by benefit type and Distribution Code (e.g., Normal Retirement, Early Retirement, Disability Retirement, Lump Sum, DROP). | | | |
| 664 | 3.1.22 | Tax Reporting | Ability to generate (in the event that a revised or corrected Form 1099-R is issued) a tax information correspondence to the member providing details of the correction. | | | |
| 665 | 3.1.22 | Tax Reporting | Ability to generate a detailed breakdown of all transactions that were included in any given Form 1099-R when a member questions the information; this breakdown shall be available to both SLOCPT staff and to the member via the Web. | | | |
| 666 | 3.1.22 | Tax Reporting | Ability to generate a file of annual 1099-R's based on SLOCPT specifications. | | | |
| 667 | 3.1.22 | Tax Reporting | Ability to generate and retain corrected Form 1099-Rs, reflecting changes made after the information has been sent to the IRS and California. | | | |
| 668 | 3.1.22 | Tax Reporting | Ability to generate Federal and California State Tax Withholding Reports. | | | |
| 669 | 3.1.22 | Tax Reporting | Ability to generate multiple Form 1099-Rs to a single payee when there are multiple distribution codes. | | | |
| 670 | 3.1.22 | Tax Reporting | Ability to generate on demand, defined by date range, reports that but can be extracted to spreadsheet programs on all types of tax information: such as by Social Security number, year, distribution code, payment type, gross, net etc. | | | |
| 671 | 3.1.22 | Tax Reporting | Ability to generate, retain, and reproduce the original Form 1099-Rs. | | | |
| 672 | 3.1.22 | Tax Reporting | Ability to produce a 1099-R corrections file to SLOCPT specifications. | | | |
| 673 | 3.1.22 | Tax Reporting | Ability to produce a report to support past years IRS 945 and California State quarterly withholding form DE-9 | | | |
| 674 | 3.1.22 | Tax Reporting | Ability to provide appropriate error/exception reporting when executing the 1099-R. Reports should identify each member record by ID that are in error along with a message indicating the violation. | | | |
| 675 | 3.1.22 | Tax Reporting | Ability to provide reports that support the IRS transmittal file at the summary and detail levels and for production of the IRS 945; and allow for combined and or separate reporting with California State. | | | |
| 676 | 3.1.22 | Tax Reporting | Ability to report corrected 1099-R summary information to the IRS and California as required. | | | |
| 677 | 3.1.22 | Tax Reporting | Ability to transmit funds corresponding to IRS withholding files and according to IRS rules and California | | | |
| 678 | 3.1.22 | Tax Reporting | Ability to generate a 1099-R to the deceased member's (estate) and ensuring the post-tax contributions are reported appropriately. | | | |
| 679 | 3.1.22 | Tax Reporting | Ability to provide a validation that all header/summary totals in IRS file and California combined file balance with the detail. | | | |
| 680 | 3.1.22 | Tax Reporting | Ability to track who made a tax withholding adjustment or change and why that tax adjustment was made. | | | |
| 681 | 3.1.22 | Tax Reporting | Ability to comply with all applicable federal tax laws and California state laws. | | | |
| 682 | 3.1.22 | Tax Reporting | Ability to distinguish between taxable and non-taxable portions of benefit payments and appropriately report them on 1099-Rs | | | |
| 683 | 3.1.23 | Reciprocity | Ability to capture information from application form to elect reciprocity | | | |
| 684 | 3.1.23 | Reciprocity | Ability to receive member information from a reciprocal system | | | |
| 685 | 3.1.23 | Reciprocity | Ability, when a member applies for reciprocal service, to capture certification of eligibility from reciprocal system(s) | | | |
| 686 | 3.1.23 | Reciprocity | Ability to generate "need more information" correspondence to those members who did not list prior reciprocal system service | | | |
| 687 | 3.1.23 | Reciprocity | Ability to generate a report of those members who do not return application to elect reciprocity form within a user defined number of days | | | |
| 688 | 3.1.23 | Reciprocity | Ability to generate follow-up correspondence to members who do not return application to elect reciprocity service within a user defined number of days | | | |
| 689 | 3.1.23 | Reciprocity | Ability to generate new welcome correspondence / enrollment packet and establish a deadline for its return | | | |
| 690 | 3.1.23 | Reciprocity | Ability to generate purchase of service billing for member who refunded prior SLOCPT service and establish deadline for completion of purchase (i.e., Section 2.17) | | | |
| 691 | 3.1.23 | Reciprocity | Ability, when a member applies for reciprocity, to generate a certification request that is sent to the reciprocal system to confirm eligibility for reciprocity | | | |
| 692 | 3.1.23 | Reciprocity | Ability, when a member applies for reciprocity at reciprocal system, to generate a correspondence denying or granting request for multiple service to the member and a certification / notification to reciprocal system | | | |
| 693 | 3.1.23 | Reciprocity | Ability to determine eligibility for reciprocity | | | |
| 694 | 3.1.23 | Reciprocity | Ability to determine if member had a break in service | | | |
| 695 | 3.1.23 | Reciprocity | Ability to determine if member previously elected reciprocity | | | |
| 696 | 3.1.23 | Reciprocity | Ability to determine if a reciprocal system has special waiting periods or other service eligibility requirements that affect qualification for reciprocal service. | | | |
| 697 | 3.1.23 | Reciprocity | Ability to provide indication of reciprocal service processes completed within member account (i.e., a check list, which is updated throughout the process), including the ability to terminate reciprocal status for not meeting reciprocal requirements | | | |
| 698 | 3.1.23 | Reciprocity | Ability to track members that apply for reciprocal service | | | |
| 699 | 3.1.23 | Reciprocity | Ability to track incoming and outgoing reciprocity | | | |
| 700 | 3.1.23 | Reciprocity | Ability to remove accrued interest from reciprocal termination date or user defined date | | | |
| 701 | 3.1.23 | Reciprocity | Ability to track and maintain other California reciprocal systems | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 702 | 3.1.23 | Reciprocity | Ability to track and flag those members who are reciprocal and report accordingly | | | |
| 703 | 3.1.24 | Deferred Compensation | Ability to identify participants that are enrolled in Deferred Compensation and their current deferrals | | | |
| 704 | 3.1.24 | Deferred Compensation | All the other functions / processing related to Deferred Compensation are not within the scope of the project | | | |
| 705 | 3.2.1 | Imaging | Ability to do full-text indexing of documents to support later retrieval via full text searches. | | | |
| 706 | 3.2.1 | Imaging | Ability to provide a user and/or security features that control whether a given user can view and/or print documents. | | | |
| 707 | 3.2.1 | Imaging | Ability to view the following document/image formats to include but not be limited to ASCII, BMP (Windows Bitmap), and GIF, HTML, JPEG, PDF, RTF, TIFF CCITT IV (tiled), XML and Microsoft Office documents. The use of a proprietary document and/or image format is discouraged. | | | |
| 708 | 3.2.1 | Imaging | Ability to secure (HIPAA compliant) confidential images (i.e., medical documents) such that only authorized staff can view / print the images. | | | |
| 709 | 3.2.1 | Imaging | Ability to ensure real-time data synchronization between the pension administration system database and the imaging solution. For example: Changing an index value, such as Social Security number, in the pension administration system would automatically update the corresponding index for that person in the imaging system. Changing the name in the pension administration system would automatically update the corresponding index for that person in the imaging solution. | | | |
| 710 | 3.2.1 | Imaging | Ability to perform re-scans of a single page, single document, or all documents in a batch. | | | |
| 711 | 3.2.1 | Imaging | Ability to represent a multi-page document as a single document within the imaging archive. | | | |
| 712 | 3.2.1 | Imaging | Ability to scan color paper and save as a white document with black text. | | | |
| 713 | 3.2.1 | Imaging | Ability to automatically assign a unique batch numbering and/or batch naming standard. | | | |
| 714 | 3.2.1 | Imaging | Ability to automatically identify and eliminate blank pages during document scanning and indexing, including the blank and back sides of documents. | | | |
| 715 | 3.2.1 | Imaging | Ability to automatically remember the last set of index attributes used for cases where all documents in a batch belong to the same person or where all documents in a batch belong to the same document type. | | | |
| 716 | 3.2.1 | Imaging | Ability to ensure that multiple users are prevented from selecting and indexing the same batch. | | | |
| 717 | 3.2.1 | Imaging | Ability to index a single page, a single document, or a set of documents. | | | |
| 718 | 3.2.1 | Imaging | Ability to verify or look up index attributes against the pension administration system database to avoid having to key-in attributes that already exist in electronic format and minimize the probability of data-entry error or duplication. | | | |
| 719 | 3.2.1 | Imaging | Ability to read Bar Codes | | | |
| 720 | 3.2.1 | Imaging | Ability to automatically route non-member documents to an investigation queue for resolution when the corresponding member record does not already exist in the system. | | | |
| 721 | 3.2.1 | Imaging | Ability on scanning to group documents into like batches based on document properties to include but not be limited to document size, document type, or orientation. | | | |
| 722 | 3.2.1 | Imaging | Ability for an authorized user to move one or a set of documents from one folder to another and to appropriately update the indexes typically in the case when one or more documents have been incorrectly indexed with full audit tracking. | | | |
| 723 | 3.2.1 | Imaging | Ability to perform re-indexing of document properties by authorized users. | | | |
| 724 | 3.2.1 | Imaging | Ability to audit controls to ensure that all documents contained in a batch get scanned and indexed once and only once. | | | |
| 725 | 3.2.1 | Imaging | Ability to automatically route and send unknown or illegible document types (or member IDs) to an investigation queue for resolution without suspending the entire batch. | | | |
| 726 | 3.2.1 | Imaging | Ability to capture, display and print metrics on throughput and accuracy of individual steps within the imaging process. | | | |
| 727 | 3.2.1 | Imaging | Ability to sample and verify indexed documents prior to the committal and/or update to the imaging system. | | | |
| 728 | 3.2.1 | Imaging | Ability to add annotations to images to include but not be limited to highlighter, sticky notes, black out (redaction) – without changing the underlying document, digital stamp, watermark, date stamping, user annotations. | | | |
| 729 | 3.2.1 | Imaging | Ability to attach and/or import a separate file (Microsoft Word, Microsoft Excel, etc.) to the imaged document for additional clarification and/or explanation related to that image when the simple add annotations feature is not sufficient. | | | |
| 730 | 3.2.1 | Imaging | Ability to export images into multiple standard image formats. | | | |
| 731 | 3.2.1 | Imaging | Ability to identify documents printed from the imaging system – i.e. a watermark, footer, or header. | | | |
| 732 | 3.2.1 | Imaging | Ability to print, export to CD, incorporate in email, etc., and an individual's entire set of archived documents | | | |
| 733 | 3.2.1 | Imaging | Ability to print annotations superimposed on image as well as to print without annotations and to print some annotations but no others. | | | |
| 734 | 3.2.1 | Imaging | Ability to abort lengthy searches without aborting the client PC or the server. | | | |
| 735 | 3.2.1 | Imaging | Ability to allow the viewing of documents at "fit-to-page" as the default. Users must not be required to resize each page. | | | |
| 736 | 3.2.1 | Imaging | Ability to apply further search criteria to the results of a search. | | | |
| 737 | 3.2.1 | Imaging | Ability to browse from page to page. | | | |
| 738 | 3.2.1 | Imaging | Ability to compare two documents side by side. | | | |
| 739 | 3.2.1 | Imaging | Ability to easily reorganize (i.e., re-order, rotate, etc.) pages in a multi-page image or file at any time, with full auditing. | | | |
| 740 | 3.2.1 | Imaging | Ability to enlarge specific areas of an image. | | | |
| 741 | 3.2.1 | Imaging | Ability to skip directly to a specific page of an image to include but not be limited to the first page of a document, the last page of a document, the previous page of a document, the next page of a document. | | | |
| 742 | 3.2.1 | Imaging | Ability to inform the user that a search is being processed. | | | |
| 743 | 3.2.1 | Imaging | Ability to modify stored searches. | | | |
| 744 | 3.2.1 | Imaging | Ability to name and save search criteria for reuse. | | | |
| 745 | 3.2.1 | Imaging | Ability to open multiple windows for multiple documents | | | |
| 746 | 3.2.1 | Imaging | Ability to overlap documents for comparison | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 747 | 3.2.1 | Imaging | Ability to pan (electronically grab the imaged document and move it around to the location desired) and zoom viewed content | | | |
| 748 | 3.2.1 | Imaging | Ability to perform Boolean searches (i.e., “and”, “or”, “not”, etc.) with grouping operators (usually represented as parenthesis) | | | |
| 749 | 3.2.1 | Imaging | Ability to perform range searches for dates and numbers that are indexed (e.g., all documents indexed between 10/1/06 and 10/15/06) | | | |
| 750 | 3.2.1 | Imaging | Ability to provide an image viewing and record locking mechanism to allow multiple users to view an image at the same time, yet allow only one user at a time to modify / annotate / mark up an image | | | |
| 751 | 3.2.1 | Imaging | Ability to search using special characters as literals in the search string. | | | |
| 752 | 3.2.1 | Imaging | Ability to size and zoom images. | | | |
| 753 | 3.2.1 | Imaging | Ability to specify the opening of landscape documents in landscape view. | | | |
| 754 | 3.2.1 | Imaging | Ability to simultaneously view thumbnail sketches of multiple documents and multiple pages in a single document allowing users to browse rapidly through pages. | | | |
| 755 | 3.2.1 | Imaging | Ability with any search, that exceeds 10 seconds, to display the first [50, a configurable number] records, notify the user that only the first 50 were displayed, and then prompt the user with the following options: -Cancel or<br>-Refine search or<br>-Continue for another 50 records. | | | |
| 756 | 3.2.1 | Imaging | Ability to sort within categories by document name, date range, or index fields. | | | |
| 757 | 3.2.1 | Imaging | Ability for each user individually to specify the location and size of the viewing window on their screen so that the window always appears in the same size and location until the user changes those parameters. | | | |
| 758 | 3.2.1 | Imaging | Ability to temporarily assign one or more documents in the member archive to one or more “Case” folders or “virtual paper clips” in order to assist in subsequent retrievals. | | | |
| 759 | 3.2.1 | Imaging | Ability to provide a single integrated user logon from the Pension system to the Imaging System, preventing the user from logging into both separately. | | | |
| 760 | 3.2.1 | Imaging | Ability to send notification from the pension administration system to the imaging system when two accounts are merged or when an account is split so that an imaging administrator can merge /split the member’s information | | | |
| 761 | 3.2.1 | Imaging | Ability for the new system to receive the Scan Date index from the imaging system for various business processes’ date logic / edits | | | |
| 762 | 3.2.1 | Imaging | Ability to capture outgoing correspondence processed for a single member OR in bulk by SLOCPT users or from the pension administration system and integrate it into the imaging system, along with indexing information identifying each member and document type. | | | |
| 763 | 3.2.1 | Imaging | Ability to integrate images inducted into the imaging system with the Pension Administration workflow system. Imaged documents will trigger a corresponding workflow process for each specific document in a batch of scanned documents when that batch is released to the archive. | | | |
| 764 | 3.2.1 | Imaging | Ability to provide access from the pension administration system database to the imaging system to avoid having to key-in attributes that already exist in electronic format and minimize the probability of data-entry errors or duplication (i.e. name, member ID). | | | |
| 765 | 3.2.1 | Imaging | Ability to send data captured by imaging system to the workflow and/or pension application and populate the appropriate data fields. | | | |
| 766 | 3.2.1 | Imaging | Ability to generate outgoing correspondence (forms) that contains bar codes populated with the member identification index values and document type. | | | |
| 767 | 3.2.1 | Imaging | Ability to integrate viewing capability with the pension administration system member screens so that any user looking at member data in the pension administration system can retrieve and view member-related documents in an integrated manner without having to provide retrieval keys into the imaging system a second time. | | | |
| 768 | 3.2.1 | Imaging | Ability of the imaging system to provide user administration capabilities to include but not be limited to adding users and setting user access rights | | | |
| 769 | 3.2.1 | Imaging | Ability to maintain the correct location of an annotation when the orientation of a document is changed. | | | |
| 770 | 3.2.1 | Imaging | Ability to "despeckle" and "deskew" an imaged document to "clean-up" the view and/or orientation of the image | | | |
| 771 | 3.2.2 | Workflow | Ability to capture manual notes in a workflow. | | | |
| 772 | 3.2.2 | Workflow | Ability to display the status of all workflow processes. | | | |
| 773 | 3.2.2 | Workflow | Ability to initiate workflow processes both automatically (data condition, image input) or manually. | | | |
| 774 | 3.2.2 | Workflow | Ability to generate automatic alerts when member requests remain unfulfilled beyond the SLOCPT defined timeframe. | | | |
| 775 | 3.2.2 | Workflow | Ability to prevent a Work Object from being removed from the workflow system without an entry being made in the workflow tracking log. | | | |
| 776 | 3.2.2 | Workflow | Ability to query on Work Objects that have been assigned/retrieved/reserved by an individual. | | | |
| 777 | 3.2.2 | Workflow | Ability to report on how much “lapsed time” a Work Object was in every work step and/or work process in order to ascertain how long it took to perform various processing on an account. | | | |
| 778 | 3.2.2 | Workflow | Ability to assign work using a role-based model, thus easily addressing personnel substitutions and absences, as well as individually | | | |
| 779 | 3.2.2 | Workflow | Ability to assign and prioritize a Work Object based on business processing logic such that the processing of that Work Object takes precedence over other Work Objects within a work process; the default priority of all Work Objects should be the same and Work Objects of the same priority should be processed on a first-in and/or first-out basis. | | | |
| 780 | 3.2.2 | Workflow | Ability to utilize Electronic Forms to initiate workflow. The same sequencing must be used across scanned, imported, or electronically initiated workflows to allow either first-in and/or first-out processing of work items. | | | |
| 781 | 3.2.2 | Workflow | Ability for a user to sort and select new work by multiple criteria such as first-in, first out, alphabetically, or priority of work item. | | | |
| 782 | 3.2.2 | Workflow | Ability to query on workload individually by process and/or by all processes to include but not be limited to daily, weekly, monthly, calendar year. | | | |
| 783 | 3.2.2 | Workflow | Ability to capture work item-specific information at the completion of a workflow to include but not be limited to all process flow metrics including times and user names. | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 784 | 3.2.2 | Workflow | Ability to "route back" to the original worker a work object that is sent back by a reviewer so that the original worker can make the needed correction and/or changes; upon completion the item should "route forward" to the same reviewer. (and the ability to report on the number of instances a “route back” has occurred for a user) | | | |
| 785 | 3.2.2 | Workflow | Ability to assign a Work Object to either a work queue or to a specific user. | | | |
| 786 | 3.2.2 | Workflow | Ability for the system to show the status of all Work Objects in the system by workflow processes and any sub-processes to include but not be limited to what activity and/or step it is in, who's working on it and when was it completed. | | | |
| 787 | 3.2.2 | Workflow | Ability to adjust the movement of Work Objects throughout the workflow to reflect organizational changes, changing process responsibilities, effective dates, etc. | | | |
| 788 | 3.2.2 | Workflow | Ability to allow for reassignment of a Work Object that has been assigned/retrieved/reserved by an individual in cases of sickness, injury, employee terminations, date range, or absence and retain the reason(s) for such reassignment. | | | |
| 789 | 3.2.2 | Workflow | Ability to attach and save notes, a standardized workflow review form, and/or a standalone file (e.g. Word document, Excel spreadsheet, Text file, Voice file, etc.) to a Work Object and make the attachment visible to other users. | | | |
| 790 | 3.2.2 | Workflow | Ability for SLOCPT to build and integrate new workflows / modify existing workflows based on SLOCPT needs. | | | |
| 791 | 3.2.2 | Workflow | Ability to create/read/update/delete users assigned to work queues without interrupting the workflow processing. | | | |
| 792 | 3.2.2 | Workflow | Ability to identify and/or indicate due dates, completion dates and assign them to a particular Work Object and/or work step within a workflow process. | | | |
| 793 | 3.2.2 | Workflow | Ability to interface the imaging system to the new Pension administration system and/or Workflow system so that indexed documents, cross-referenced to document types within the new system, will trigger a work requests within the appropriate workflow(s) in the new system and update the new system's document tracking log as necessary. | | | |
| 794 | 3.2.2 | Workflow | Ability to merge a Work Object created as the result of an incoming document to an already existing Work Object in the event the open queue is expecting such a document (i.e. supporting documents for a retirement). | | | |
| 795 | 3.2.2 | Workflow | Ability to record forms and documents received and correspondence sent; notifying other workflows that are in-process. | | | |
| 796 | 3.2.2 | Workflow | Ability to support a checklist of documents or manual validations that are required to process a Work Object within a particular work step and/or work process. Where possible, the checklist must be updated, without manual intervention, as the notification of the documents is sent from the imaging system or as the result of a SLOCPT user screen entry. | | | |
| 797 | 3.2.2 | Workflow | Ability to support a document rendezvous capability such that a work process suspended while awaiting the receipt of additional information can be automatically resumed upon the receipt of that information. | | | |
| 798 | 3.2.2 | Workflow | Ability to support a time-based alarm and/or reminder capability for follow-up tracking of functions within a workflow, both in automatically generated correspondence to members who have not responded to requests for additional information and ticklers to staff who may need to provide personal follow-up on incomplete tasks. | | | |
| 799 | 3.2.2 | Workflow | Ability to simultaneously create and test multiple additional workflow routes without impact to other users doing the same thing. | | | |
| 800 | 3.2.2 | Workflow | Ability to route work for audit and/or review prior to release for payment; if “errors” are found, route the account and/or folder to the original processor and from the original processor to the original reviewer. | | | |
| 801 | 3.2.2 | Workflow | Ability to access from within the pension administration system all open work items assigned to the end user. | | | |
| 802 | 3.2.2 | Workflow | Ability to track the distribution, turn-around and processing of each document used in any processing. | | | |
| 803 | 3.2.2 | Workflow | Ability to enter data into the system and set its status to pending for those cases where a document comes in before the document that should have arrived first to initiate the process. | | | |
| 804 | 3.2.2 | Workflow | Ability to accommodate undelivered email as the trigger of an email workflow process for further investigation, etc. | | | |
| 805 | 3.2.2 | Workflow | Ability to support measurement capabilities, including but not limited to average/maximum/minimum queue time, queue lengths, performance metrics, and process bottleneck identification. | | | |
| 806 | 3.2.2 | Workflow | Ability to provide workflow tracking, statistics and process/user throughput reports. | | | |
| 807 | 3.2.2 | Workflow | Ability to notify, flag, and/or prioritize work based on "escalation", defined parameters, etc. | | | |
| 808 | 3.2.3 | Audit and Security | Ability for appropriate staff to create, modify, delete and administer users through a standard interface. | | | |
| 809 | 3.2.3 | Audit and Security | Ability for reviewer, if any errors and/or irregularities are detected to send the transaction back to the originator for re-work. | | | |
| 810 | 3.2.3 | Audit and Security | Ability for the system to monitor the number of successful and unsuccessful access attempts and to create audit trails showing these events. | | | |
| 811 | 3.2.3 | Audit and Security | Ability for the system to retain both before and after data of changes. | | | |
| 812 | 3.2.3 | Audit and Security | Ability to assign appropriate priority levels and dates for review of work and/or audit. | | | |
| 813 | 3.2.3 | Audit and Security | Ability to assign multiple security roles to individual users and if roles have conflicting settings, per function, the least restrictive should be assumed. | | | |
| 814 | 3.2.3 | Audit and Security | Ability to capture an audit/approval transaction on all SLOCPT specified transactions. | | | |
| 815 | 3.2.3 | Audit and Security | Ability to ensure data security measures are employed to prevent unauthorized access of data and/or changes to it. | | | |
| 816 | 3.2.3 | Audit and Security | Ability to keep historical records of user access rights. | | | |
| 817 | 3.2.3 | Audit and Security | Ability to log all activity, associating an ID, name and date/time with each log entry. | | | |
| 818 | 3.2.3 | Audit and Security | Ability to maintain a historical record of user-ids issued, including the identity of the person associated with the user-id and the timeframe during which the user-id is and was valid. | | | |
| 819 | 3.2.3 | Audit and Security | Ability to “lock” a member account to prevent any benefits from being calculated or payments made | | | |
| 820 | 3.2.3 | Audit and Security | Ability to prevent all processes (including unattended ones) from terminating abnormally when encountering a "locked" or flagged member account and automatically generate a report of all unattended processes that encountered a locked account, that identifies the account against which the update was attempted and the source of the data. | | | |
| 821 | 3.2.3 | Audit and Security | Ability to prompt a person with a “challenge phrase” after a user-definable number of unsuccessful log-on attempts. | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 822 | 3.2.3 | Audit and Security | Ability to provide members as well as internal users with password and user id recovery. | | | |
| 823 | 3.2.3 | Audit and Security | Ability to automatically log a user off the system due to an administrator designated period of inactivity. | | | |
| 824 | 3.2.3 | Audit and Security | Ability to setup, maintain and monitor web access and to log an external user off the system after a user-definable period of inactivity based on SLOCPT defined parameters. | | | |
| 825 | 3.2.3 | Audit and Security | Ability to capture the event (and generate a report) when an unattended process attempts to update an account with a flag or invalid data, indicating the account was not updated due to the issue/flag encountered | | | |
| 826 | 3.2.3 | Audit and Security | Ability to display, query and print the history of all changes. | | | |
| 827 | 3.2.3 | Audit and Security | Ability to extract audit log information for display and reporting purposes and ability to filter selected data from audit log files to generate security reports based on SLOCPT defined parameters. | | | |
| 828 | 3.2.3 | Audit and Security | Ability to generate audit report(s) identifying all people, processes, dates, and/or times involved in changing member and employer data. | | | |
| 829 | 3.2.3 | Audit and Security | Ability to provide a security report to include but not be limited to listing all users, their roles and security access. | | | |
| 830 | 3.2.3 | Audit and Security | Ability to provide formatted audit reports that show (for user-selected user-id's, date-range, and/or members [selected by last name, social security number, or other unique identifier]) all changes made to member records, including before and after images; an "all" members option must be included | | | |
| 831 | 3.2.3 | Audit and Security | Ability to ensure that all transactions have a user ID and transaction date/time associated with them | | | |
| 832 | 3.2.3 | Audit and Security | Ability to provide security at the field level if deemed necessary | | | |
| 833 | 3.2.3 | Audit and Security | Ability to retain passwords already used by a user and prevent their re-use for X number of times | | | |
| 834 | 3.2.3 | Audit and Security | Ability for the audit trail to track changes made to the data regardless of the means by which the change was made. | | | |
| 835 | 3.2.3 | Audit and Security | Ability for the system to adhere to SLOCPT standards to include but not be limited to user-ids, password administration and frequency of changing passwords (i.e. more than 8 characters, expires in x days, reuse of passwords). | | | |
| 836 | 3.2.3 | Audit and Security | Ability for the system to keep track of a user's last access date/time by user-id. | | | |
| 837 | 3.2.3 | Audit and Security | Ability for the system to set up, maintain and monitor roles and responsibility for system management, usage and maintenance. | | | |
| 838 | 3.2.3 | Audit and Security | Ability to capture capabilities and/or security levels to include but not limited to add, change, inquiry and delete. | | | |
| 839 | 3.2.3 | Audit and Security | Ability to ensure that no audit trail data can be deleted. | | | |
| 840 | 3.2.3 | Audit and Security | Ability to ensure that security profiles are protected from unauthorized access. | | | |
| 841 | 3.2.3 | Audit and Security | Ability to ensure there are sufficient management controls in place to ensure that a user cannot delete transactions or administrative values. | | | |
| 842 | 3.2.3 | Audit and Security | Ability to provide encryption of username and password. | | | |
| 843 | 3.2.3 | Audit and Security | Ability to provide the following controls: data access, menu access, screen access, screen function access, transaction access, transaction approval access | | | |
| 844 | 3.2.3 | Audit and Security | Ability to restrict access to system by user identification and passwords. | | | |
| 845 | 3.2.3 | Audit and Security | Ability to prohibit staff members from updating records with their own information (by Social Security number, name, etc.). Such updates shall be permitted by any customers entering through an authorizing interface | | | |
| 846 | 3.2.3 | Audit and Security | Ability to allow for a peer (i.e. a user with the same system rights as the originator) review/approval of work but prevent the originator from approving his/her own work. | | | |
| 847 | 3.2.3 | Audit and Security | Ability to limit, by role the ability to see other staff member's records. | | | |
| 848 | 3.2.3 | Audit and Security | Ability to identify and see the version(s) of the same imaged document for an individual | | | |
| 849 | 3.2.4 | General | Ability to record receipt of all process-specific forms received throughout any process. | | | |
| 850 | 3.2.4 | General | Ability to add, update, international and domestic addresses. | | | |
| 851 | 3.2.4 | General | Ability to capture, maintain, inquire, and use on printed material date sensitive address types for a member to include but not be limited to multiple e-mail addresses, multiple mailing addresses, bank address, power-of-attorney address, guardian address, beneficiary address, and alternate contact address based on SLOCPT defined parameters. | | | |
| 852 | 3.2.4 | General | Ability to scroll from one record to the next. | | | |
| 853 | 3.2.4 | General | Ability to customize system generated correspondence using pre-defined templates and any combination of the following capabilities<br>1. Auto-populating (tagged) blank fields with data drawn from a member/ retiree/ beneficiary account (with the ability to override some fields)<br>2. Auto-population of the appropriate signature<br>3. Manually selecting different paragraphs based on the input from the user<br>4. Automatically selecting different paragraphs based on member account information and/or unique situations<br>5. Permitting the addition of one or more free-form text paragraphs at any location in the correspondence template - given the appropriate authority to make such changes/insertions | | | |
| 854 | 3.2.4 | General | Ability for an authorized individual (not necessarily IT) to create new correspondence, including data insertion (e.g., signature, name, address, service credits, etc.), using appropriate tools and controls | | | |
| 855 | 3.2.4 | General | Ability to track, and manage all out-bound e-mail messages in a similar manner as those printed and mailed. | | | |
| 856 | 3.2.4 | General | Ability to create a correspondence template, for reuse, that has the appropriate header, footer, and standard salutations. | | | |
| 857 | 3.2.4 | General | Ability to calculate the member's plan entry age rounded to closest half-year - with ability to override due to reciprocity or prior-service redeposit. Other "ages" for specific business processes are determined / calculated by quarter age, whole age, nearest age, etc. | | | |
| 858 | 3.2.4 | General | Ability to use a "rate calculator" to determine contribution rate by reading table / values in the system | | | |
| 859 | 3.2.4 | General | Ability to create and maintain a record for a person who is not a member but has a relation to one including beneficiaries, survivor annuitants, guardians, powers of attorney. | | | |
| 860 | 3.2.4 | General | Ability to capture and maintain all telephone numbers to include but not be limited to home, work, fax, mobile, and SMS-capable. | | | |
| 861 | 3.2.4 | General | Ability to accommodate alternative (member) naming conventions to include but not be limited to hyphenated names, special characters and single name. | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 862 | 3.2.4 | General | Ability to do a provisional save when doing extensive data entry from forms and to later return to data entry for that form when the issue that caused the need for the provisional save has been resolved. | | | |
| 863 | 3.2.4 | General | Ability to add a new employer, bargaining unit, tier, benefit formula, etc. to the system and to modify and/or deactivate those items from the system. | | | |
| 864 | 3.2.4 | General | Ability to have and maintain table driven parameter values with date sensitive and versioning capability along with the ability to maintain pre-defined value lists such as cities, leave codes, adjustment codes, comment codes, employment status codes, table-values that are more complex than simple list-values. The system should be table driven to the maximum extent possible; therefore, tables which contain lists of valid values are to be maintained by a single common interface. | | | |
| 865 | 3.2.4 | General | Ability to match entered data with database values without regard to case –, a system that is NOT case-sensitive. | | | |
| 866 | 3.2.4 | General | Ability to navigate through screens based on standard Windows and/or Browser based navigation. | | | |
| 867 | 3.2.4 | General | Ability to navigate through screens using Hot Keys and retain the key identifier throughout. | | | |
| 868 | 3.2.4 | General | Ability to pre-populate city and state by first entering the ZIP code and allow for overriding of the city and state without consequently altering the ZIP code, when necessary. | | | |
| 869 | 3.2.4 | General | Ability to store, retrieve, display on screen and print all parameters used for calculations to include but not be limited to calculations performed and corresponding results for all calculations. | | | |
| 870 | 3.2.4 | General | Ability to subtotal member history by different time frames. | | | |
| 871 | 3.2.4 | General | Ability to support name in distinct components to include but not be limited to title, first, middle, last and suffix. | | | |
| 872 | 3.2.4 | General | Ability to use partial field values and/or wild cards for lookups in key fields. | | | |
| 873 | 3.2.4 | General | Ability to accommodate (store and apply) future changes to plan or plan provisions, all with effective dates | | | |
| 874 | 3.2.4 | General | Ability to identify and track the status of all people and/or entities in the database to include but not be limited to vested, active, inactive, reserve, reciprocal reserve, suspense, service retirement, disability retirement, 10.06 disability retirement, beneficiary, alternate payee, DROP, etc. | | | |
| 875 | 3.2.4 | General | Ability to search on various data to include but not be limited to Social Security number, unique ID, County employee ID number, My CalPERS id, and/or name, birth date, gender, etc. | | | |
| 876 | 3.2.4 | General | Ability to generate labels for mass mailing or for an individual record. | | | |
| 877 | 3.2.4 | General | Ability to, upon adding a new employer and/or tier and/or bargaining unit, link it to every module and database that requires information for record keeping, general accounting and correspondence tracking. | | | |
| 878 | 3.2.4 | General | Ability to attach a file to a member's record (i.e. a supporting spreadsheet) but prevent any updates to the file by unauthorized user. | | | |
| 879 | 3.2.4 | General | Ability to create wizards that guide the user/customer through the completion of any screen or process. | | | |
| 880 | 3.2.4 | General | Ability to define flags that can be applied to member accounts to indicate different situations to include but not be limited to the existence of a DRO in this account and Very-Important-People (i.e., VIP(s)), reciprocity, DROP, blended service, purchase of service, Power of Attorney, etc. whose record is to have restricted handling. These flags / alerts need additional severity levels for easy, high-visibility to staff. | | | |
| 881 | 3.2.4 | General | Ability to include the same data edits, validations, and error handling for data entered through a mass update as if the transactions were entered one at a time. | | | |
| 882 | 3.2.4 | General | Ability to correct a transaction, made in error, if it has not been through the audit step (recalling a record that has been submitted to the approval step, but not yet approved) | | | |
| 883 | 3.2.4 | General | Ability to set a function on Name and Address fields to set to proper case, or apply the rule when inserting as a bookmark in a form or letter. | | | |
| 884 | 3.2.4 | General | Ability to print both blank and pre-filled forms, as appropriate | | | |
| 885 | 3.2.4 | General | Ability to generate additional information or follow-up correspondence as needed when further documentation is needed and/or additional data is missing, including a form pre-filled with correct information | | | |
| 886 | 3.2.4 | General | Ability to generate automatic reminder correspondence when the specified "wait" period has been exceeded for a response from a user/member | | | |
| 887 | 3.2.4 | General | Ability to produce on demand mailing labels for mass mailings in multiple formats, with appropriate selection and sort options | | | |
| 888 | 3.2.4 | General | Ability to sign correspondence with staff names (using signature fonts or pasted images) | | | |
| 889 | 3.2.4 | General | Ability to archive and maintain a history (at the same time that it is printed or otherwise transmitted to the member) of all generated correspondence along with the method of transmission. The purpose of this requirement is to ensure that the solution is not dependent upon separate user actions to archive and to print | | | |
| 890 | 3.2.4 | General | Ability to support generation of material for and execution of mass mailings to targeted or general audiences | | | |
| 891 | 3.2.4 | General | Ability to mask the SSN (based on SLOCPT defined parameters) in all correspondence and forms in which the number need not be explicitly printed, e.g., 1099-Rs | | | |
| 892 | 3.2.4 | General | Ability for the system to handle all of the following correspondence generation options: 1. End-users generate their own correspondence and print it themselves (locally)<br>2. End-users generate their own correspondence that goes to a centralized printer (i.e. for larger jobs) that cannot be changed.<br>3. End-users generate their own correspondence then send it via an email attachment or post it to the member self service portal | | | |
| 893 | 3.2.4 | General | Ability to generate all forms and correspondence (including appropriate redesign and combining of current forms and correspondence and creation of new ones) in such a way as to support use of pre-populated fields, print-on-demand, availability web-based requests for forms | | | |
| 894 | 3.2.4 | General | Ability to automatically acknowledge acceptance of a received document in process. | | | |
| 895 | 3.2.4 | General | Ability to generate a correspondence indicating the need for further information needed to include but not be limited to processing a form, payment, a benefit and an application. | | | |
| 896 | 3.2.4 | General | Ability to generate various prefilled documents and applications to anyone | | | |
| 897 | 3.2.4 | General | Ability to provide online, context-sensitive error and help messages and/or screens at the screen and/or field element level. | | | |
| 898 | 3.2.4 | General | Ability to support screen prints for all windows. | | | |
| 899 | 3.2.4 | General | Ability to reprint and/or resend any correspondence on an as needed individual basis in any available format. | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 900 | 3.2.4 | General | Ability to provide tools and methods for maintaining version control of on-line help. | | | |
| 901 | 3.2.4 | General | Ability to support SLOCPT updates, additions and deletions to all forms of on-line help, including the context-sensitive form and the on-line tutorials. | | | |
| 902 | 3.2.4 | General | Ability to specify for each recurring process/batch process a report that lists successes, failures, and exception records, based on SLOCPT specified criteria | | | |
| 903 | 3.2.4 | General | Ability to provide a valid / invalid address checkbox for a person's address and if the address is marked invalid – track all batch generated correspondence that should have been sent but was not because of the invalid address | | | |
| 904 | 3.2.4 | General | Ability to perform and communicate real-time validations and the return of entry errors on ALL user and external customer interfaces. | | | |
| 905 | 3.2.4 | General | Ability to validate input using only currently effective field values. | | | |
| 906 | 3.2.4 | General | Ability to support adequate field lengths in all database fields. | | | |
| 907 | 3.2.4 | General | Ability to perform double key entry validation and/or verification process on account number field or other fields as deemed necessary. | | | |
| 908 | 3.2.4 | General | Ability to route work for audit and/or review prior to release for action. | | | |
| 909 | 3.2.4 | General | Ability to accommodate parameters that vary among employer, tier, bargaining unit or membership class. | | | |
| 910 | 3.2.4 | General | Ability to provide a common error handling mechanism to include but not be limited to error correction, recovery processing and related quality control procedures and processes. | | | |
| 911 | 3.2.4 | General | Ability to support various error level severities to include but not be limited to warning, fatal and critical. | | | |
| 912 | 3.2.4 | General | Ability to display basic member information on all applicable application screens (as a header) and have the information displayed in various text cases and styles as required. | | | |
| 913 | 3.2.4 | General | Ability to display monetary amounts in whole dollars or dollars and cents and the flexibility to perform rounding based upon SLOCPT defined rounding precision. | | | |
| 914 | 3.2.4 | General | Ability to retain a history of all changes to member data. | | | |
| 915 | 3.2.4 | General | Ability to summarize fields and/or drill-down on records on all applicable screens | | | |
| 916 | 3.2.4 | General | Ability to report statistics on each type of form / correspondence generated | | | |
| 917 | 3.2.4 | General | Ability to keep track of / "remember" the last n-number of records / accounts a user has accessed | | | |
| 918 | 3.2.4 | General | Ability to display all defined notifications and/or subscribe to those notifications for various batch processes, workflows, account / record changes, etc. | | | |
| 919 | 3.2.4 | General | Ability to keep track of all associations that a person has with other people | | | |
| 920 | 3.2.4 | General | Ability for the system to remain current with all federal and California state regulations, table updates, various rates, etc. | | | |
| 921 | 3.2.4 | General | Ability to setup and/or interface to existing "Calendar" applications for reminders, scheduling, etc. | | | |
| 922 | 3.2.4 | General | Ability to "jump to" or "bring up" another screen without losing / closing the person / account that a user is working on. | | | |
| 923 | 3.2.4 | General | Ability via self-service for members / retirees to access previously generated correspondence / forms, etc. pending security levels, etc. | | | |
| 924 | 3.2.5 | Reporting | Ability to create and schedule reports to run immediately and/or at a future date. | | | |
| 925 | 3.2.5 | Reporting | Ability to implement a report inbox where all reports can be retrieved, along with a notification to the user/owner of the report (preferably in the PAS home screen) | | | |
| 926 | 3.2.5 | Reporting | Ability to schedule reports to run on a regular interval such as the 1st of every month | | | |
| 927 | 3.2.5 | Reporting | Ability to access a user-friendly report writer for creating custom reports to include but not be limited to a drag and drop design environment, graphics, headers, footers, totals, subtotals, sorting and statistics. | | | |
| 928 | 3.2.5 | Reporting | Ability to view requested reports on the screen prior to printing or exporting a report. | | | |
| 929 | 3.2.5 | Reporting | Ability to terminate a query or report while in process. | | | |
| 930 | 3.2.5 | Reporting | Ability to support the viewing of reports that result in large volumes. | | | |
| 931 | 3.2.5 | Reporting | Ability to support the use of various font formatting to include but not be limited to font type, font size and font style. | | | |
| 932 | 3.2.5 | Reporting | Ability to provide a facility to prevent or limit queries which will cause significant system degradation or unreasonable volumes of output. | | | |
| 933 | 3.2.5 | Reporting | Ability to support the development of parameter driven reports, where the report variables and format are programmatically controlled and certain values are selected (or required) by the user at the time the report is generated. | | | |
| 934 | 3.2.5 | Reporting | Ability to support the creation of reports using relational criteria and logical operators (e.g., less than, greater than, equal to or less than, wild cards, Boolean operators, and combinations of each),. | | | |
| 935 | 3.2.5 | Reporting | Ability to support report sorting, filtering, and summary report output and save format for future use. | | | |
| 936 | 3.2.5 | Reporting | Ability to support dynamic content that incorporates drill-down, charts and graphs. | | | |
| 937 | 3.2.5 | Reporting | Ability to print and queue for printing to industry standard SLOCPT printers. | | | |
| 938 | 3.2.5 | Reporting | Ability to include on all reports SLOCPT defined information to include but not be limited to watermarks, detailed heading information, name for the report, and the date the report was generated. | | | |
| 939 | 3.2.5 | Reporting | Ability to export report data into various formats to include but not be limited to MS Excel, pdf, text (with delimiter), or HTML. | | | |
| 940 | 3.2.5 | Reporting | Ability to direct printing of reports, forms and correspondences to selected printers with an established default setting. | | | |
| 941 | 3.2.5 | Reporting | Ability to appropriately scale output to standard paper sizes and layouts. | | | |
| 942 | 3.2.5 | Reporting | Ability for an authorized user to add new reports to the report menu for easy access. | | | |
| 943 | 3.2.5 | Reporting | Ability to send output from a report to various communication channels to include but not be limited to a printer, file, screen, portal, or e-mail. | | | |
| 944 | 3.2.5 | Reporting | Ability to select, display and print specific records from a listing of records matching the search criteria. | | | |
| 945 | 3.2.5 | Reporting | Ability to select and block-out any portion of a record for display and/or printing (i.e. SSN) | | | |
| 946 | 3.2.5 | Reporting | Ability to schedule one or more reports to be run successively and unattended. | | | |
| 947 | 3.2.5 | Reporting | Ability to support SLOCPT defined selection criteria from within the Ad-hoc query and reporting tool | | | |
| 948 | 3.2.5 | Reporting | Ability to support ad-hoc reporting, where custom reports can be created for single use or saved for continued use. | | | |
| 949 | 3.2.5 | Reporting | Ability to save any valid query developed by any user for future use. | | | |
| 950 | 3.2.5 | Reporting | Ability to query system for data and results for a point in time (i.e., past, present or future) on any and all data-fields. | | | |
| 951 | 3.2.5 | Reporting | Ability to generate queries on various demographic statistics of the SLOCPT population. | | | |

| ID | RFP Section C- | Category | Description | Vendor Solution | | |
|---|---|---|---|---|---|---|
| | | | | Base | Custom | Not Met |
| 952 | 3.2.5 | Reporting | Ability to build sort keys and specifying sort sequences on any data items or fields used for selection criteria in the ad-hoc query tool. | | | |
| 953 | 3.2.5 | Reporting | Ability to provide a report of counts of active members, inactive/terminated members and/or vested members, and retirees (including service, disability, alternate payee and beneficiary) for any given date. | | | |
| 954 | 3.2.5 | Reporting | Ability to track and report on staff performance individually and as a group on a daily, weekly, monthly, fiscal year, and calendar year basis. | | | |
| 955 | 3.2.5 | Reporting | Ability to store the data to perform performance metric reporting for appropriate periods of time | | | |
| 956 | 3.2.5 | Reporting | Ability to store the data necessary for performance metrics in such a way that it can subsequently be manipulated and reported upon by a knowledgeable user using an ad-hoc query or report generator. | | | |
| 957 | 3.2.5 | Reporting | Ability to configure the limit of records that come up in an ad-hoc query. | | | |
| 958 | 3.2.5 | Reporting | Ability to provide performance reports information for the process level | | | |
| 959 | 3.2.5 | Reporting | Ability to present performance metric data in graphical form showing trends. | | | |
| 960 | 3.2.5 | Reporting | Ability for any business process, to capture the number performed, the amount of time elapsed in each step/status in the process, time spent waiting in a queue, and time awaiting necessary customer response based on SLOCPT defined parameters. | | | |
| 961 | 3.2.5 | Reporting | Ability to produce a report or reports that provide the statistics required for the CAFR | | | |
| 962 | 3.2.5 | Reporting | Ability to extract accurate actuarial data based on SLOCPT defined criteria. | | | |
| 963 | 3.2.5 | Reporting | Ability to compare last year's actuary extract totals and information to the current year's actuary extract totals and information; and then flag the known items (i.e. differences record by record) of interest or severity. This can be facilitated via audit reports centered around fields on the actuary file. | | | |
| 964 | 3.2.5 | Reporting | Ability to provide actuarial extracts on an annual basis for various parameters / groupings | | | |
| 965 | 3.2.5 | Reporting | Ability to provide audit reports that summarize all changed (and new) records from fiscal year to the next as they pertain to data reported on the Actuarial Extract. | | | |
| 966 | 3.2.5 | Reporting | Ability to provide extracts for CAFR reporting and schedules. | | | |
| 967 | 3.2.5 | Reporting | Ability to extract the data in SLOCPT/Actuary required format; a dynamic data extract feature that can be used to help facilitate this process (setup, use and maintenance) would be preferred. | | | |
| 968 | 3.2.5 | Reporting | Ability to execute the actuary extract process at any time of the year, using an effective date. | | | |
| 969 | 3.2.5 | Reporting | Ability to extract Employer, Tier, Bargaining Unit, Membership Class, and Status data for the actuarial reports for both active members and benefit recipients | | | |