



COUNTY OF SAN LUIS OBISPO
AUDITOR • CONTROLLER • TREASURER • TAX COLLECTOR

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**Whistleblower Hotline Report
As of March 31, 2016**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Chief Internal Auditor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

From the hotline's inception in November 2013 through March 31, 2016 the Whistleblower Hotline received seventy-three reports regarding perceived instances of fraud, waste, and/or abuse. Eight complaints were received in the fiscal year 2015-16 3rd quarter ending March 31, 2015.

The 3rd quarter reports received related to the following categories:

- Employee Misconduct (5)
- Non-County Operations (3)

The disposition of the eight cases includes:

- One was found to be unsubstantiated
- Two were referred to Department Heads
- Two were referred to Human Resources
- One was referred to the Superior Court
- One was referred to the Housing Authority
- One was closed without action

The San Luis Obispo County Whistleblower Program continues to improve controls and promote accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.