



COUNTY OF SAN LUIS OBISPO
AUDITOR • CONTROLLER • TREASURER • TAX COLLECTOR

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**Whistleblower Hotline Report
As of December 31, 2015**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Chief Internal Auditor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

From the hotline's inception in November 2013 through December 31, 2015 the Whistleblower Hotline received sixty-five reports regarding perceived instances of fraud, waste, and/or abuse. Nine complaints were received in the fiscal year 2015-16 2nd quarter ending December 31, 2015.

The 2nd quarter reports received related to the following categories:

- Employee Misconduct (6)
- Mismanagement of County Programs (2)
- Noncompliance with HIPAA regulations (1)

The disposition of the nine cases includes:

- Seven were found to be unsubstantiated
- Two were referred to Department Heads

At the end of the 1st quarter of FY 2015-16 one case was in progress. The case alleged financial abuse by a County contractor and was referred to the County's internal audit division for follow-up.

The San Luis Obispo County Whistleblower Program continues to improve controls and promote accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.