



**COUNTY OF SAN LUIS OBISPO**

**AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR**

**James P. Erb, CPA** Auditor-Controller • Treasurer-Tax Collector

**James W. Hamilton, CPA** Assistant Auditor-Controller • Treasurer-Tax Collector

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**Whistleblower Hotline Report  
As of June 30, 2017**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From the hotline's inception in November 2013 through June 30, 2017 the Whistleblower Hotline received 97 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Auditor Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

Four complaints were received in the Fiscal Year 2016-17 4<sup>th</sup> quarter ending June 30, 2017.

The 4<sup>th</sup> quarter reports received related to the following categories:

- Employee Misconduct (3)
- Welfare Fraud (1)

The disposition of the four cases includes:

- The Welfare Fraud complaint was referred to the Department of Social Services
- Two of the employee misconduct cases are still in the follow-up process
- The remaining employee misconduct case regarded a complaint that a campground host sold an annual vehicle pass to a friend at a discounted rate. The complaint was substantiated.

For Fiscal Year 2016-17 in total, the Whistleblower Hotline received 19 complaints, a 32% decrease from the prior year's 28 complaints. The majority of the complaints regarded misconduct.

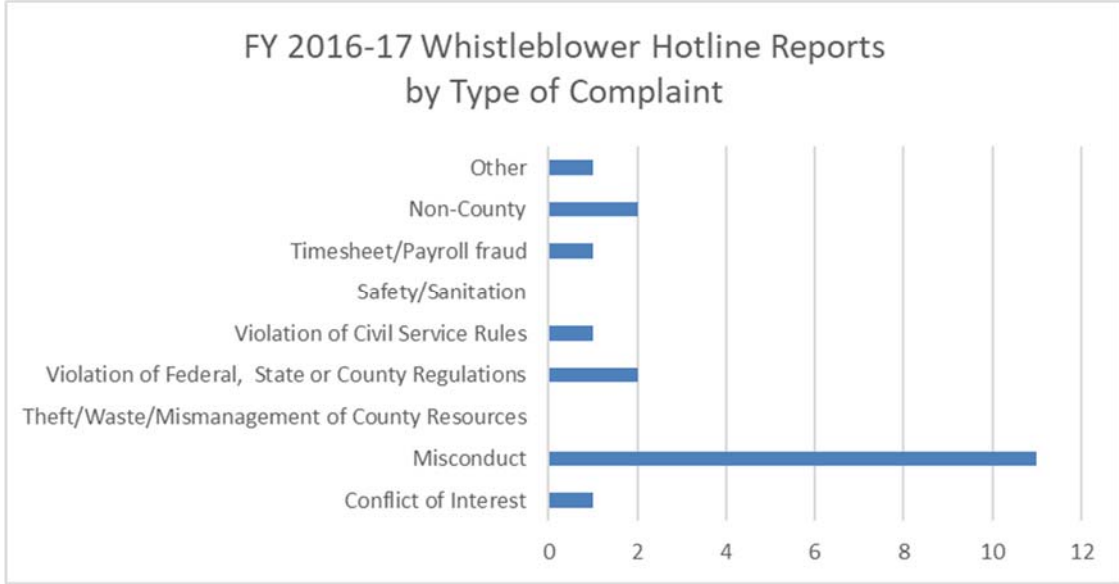


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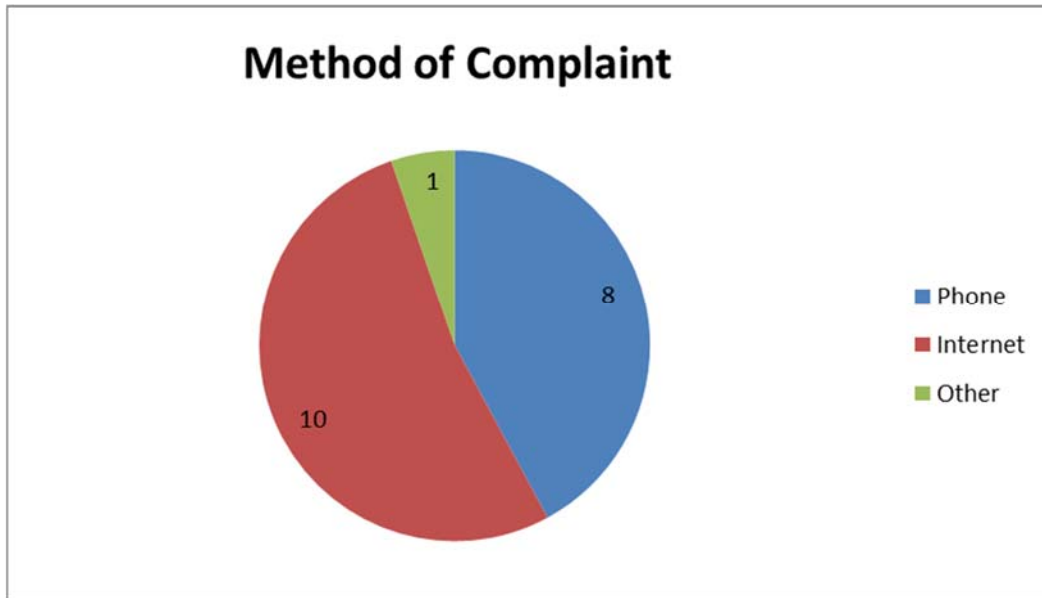
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Approximately half the complaints were reported over the internet, while most of the remaining complaints were reported over the telephone.





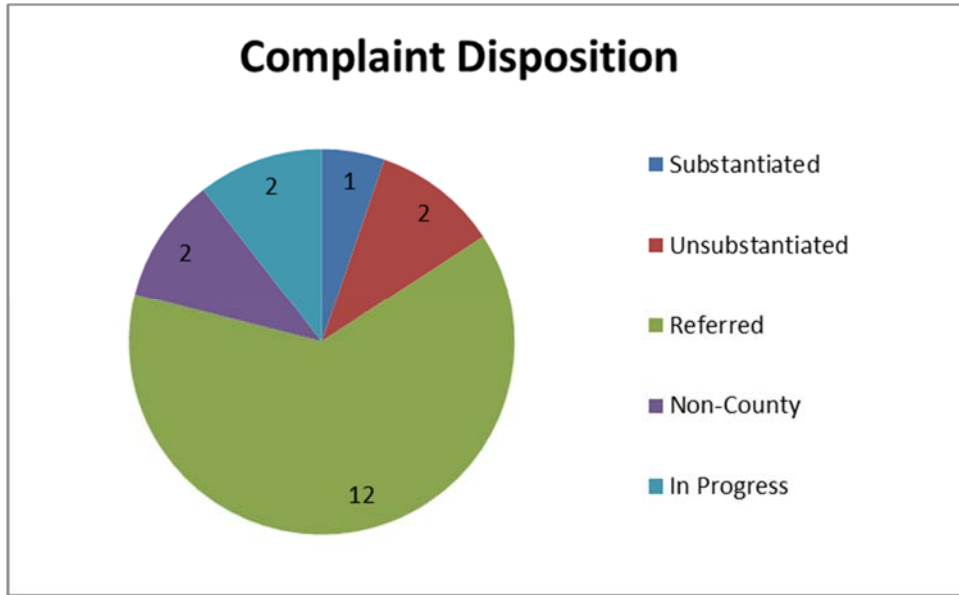
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The majority, 89%, of the complaints were reported anonymously. 63% of the complaints were referred to the Department Heads and/or County Human Resources.



The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.