



**COUNTY OF SAN LUIS OBISPO**  
AUDITOR • CONTROLLER • TREASURER • TAX COLLECTOR

**JAMES P. ERB, CPA**  
Auditor-Controller  
Treasurer-Tax Collector  
  
**James W. Hamilton, CPA**  
Assistant

**Whistleblower Hotline Report**  
**As of December 31, 2016**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Chief Internal Auditor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

From the hotline's inception in November 2013 through December 31, 2016 the Whistleblower Hotline received eighty-eight reports regarding perceived instances of fraud, waste, and/or abuse. Six complaints were received in the fiscal year 2016-17 2<sup>nd</sup> quarter ending December 31, 2016.

The 2<sup>nd</sup> quarter reports received related to the following categories:

- Violation of Government Code (2)
- Violation of Civil Service Code (1)
- Conflict of Interest (1)
- Employee Misconduct (1)
- Timesheet/Payroll Fraud (1)

The disposition of the six cases includes:

- One was found to be unsubstantiated
- Two were referred to Department Heads
- Three were referred to Department Heads and Human Resources

The San Luis Obispo County Whistleblower Program continues to improve controls and promote accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.