



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James P. Erb, CPA Auditor-Controller • Treasurer-Tax Collector

James W. Hamilton, CPA Assistant Auditor-Controller • Treasurer-Tax Collector

**Whistleblower Hotline Report
As of June 30, 2018**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From inception in November 2013 through June 30, 2018 the Whistleblower Hotline received 137 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

11 complaints were received in the Fiscal Year 2017-18 4th quarter ending June 30, 2018.

The 4th quarter reports received related to the following categories:

- Employee Misconduct (5)
- Violation of Federal/State/County Regulations (2)
- Theft/Waste/Abuse (1)
- Violation of County Ordinances (1)
- Timesheet/Payroll Fraud (1)
- Non-County Operations (1)

The disposition of the ten County-related cases includes:

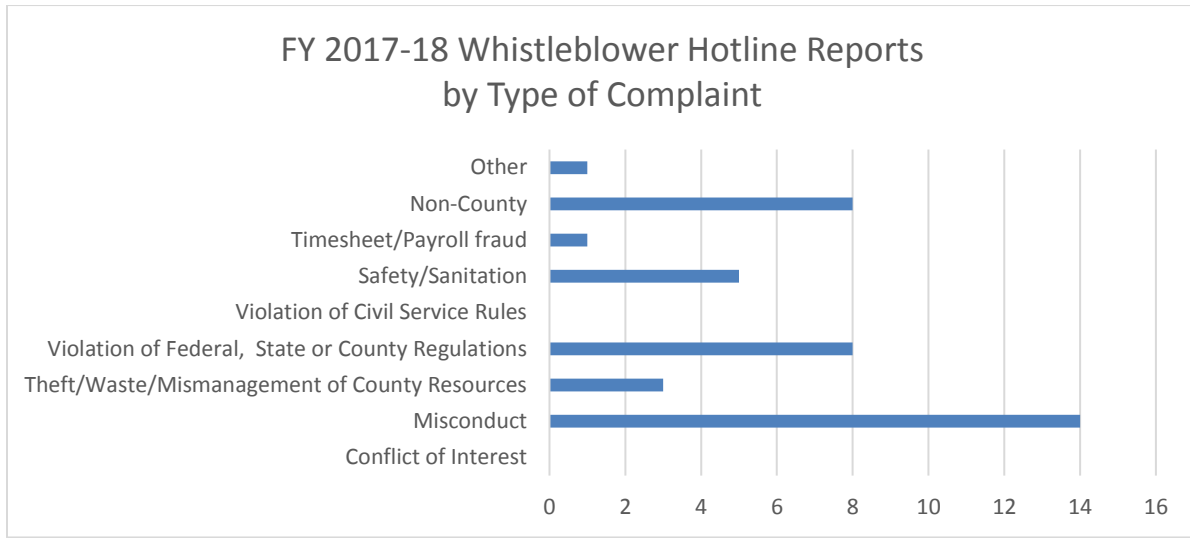
- One was unsubstantiated
- Six were referred to the Department Heads
- One was referred to Planning & Building Code Enforcement
- Two had insufficient information to follow-up



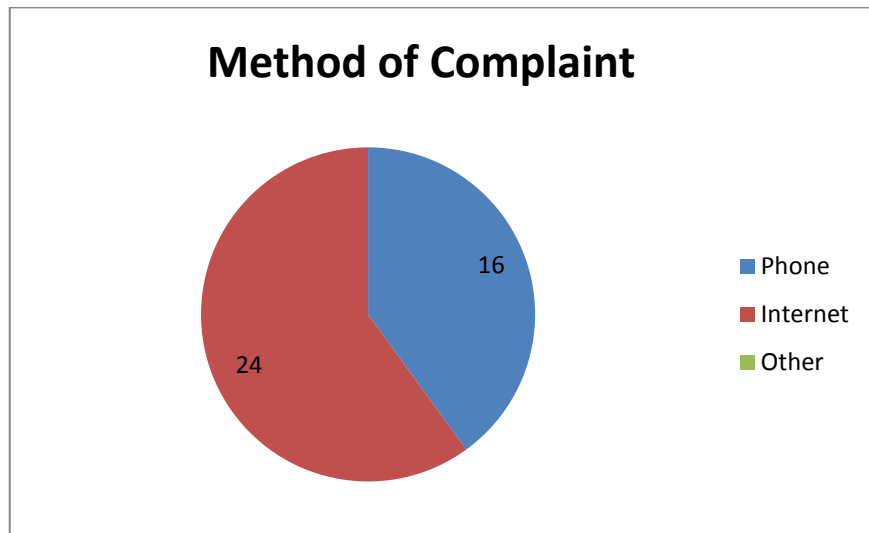
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For Fiscal Year 2017-18, in total, the Whistleblower Hotline received 40 complaints, a 111% increase from the prior year's 19 complaints. The majority of the complaints regarded misconduct.



All of the complaints were received through the Whistleblower Hotline. With 60% of the complaints being submitted online. 83% of complaints were submitted anonymously.





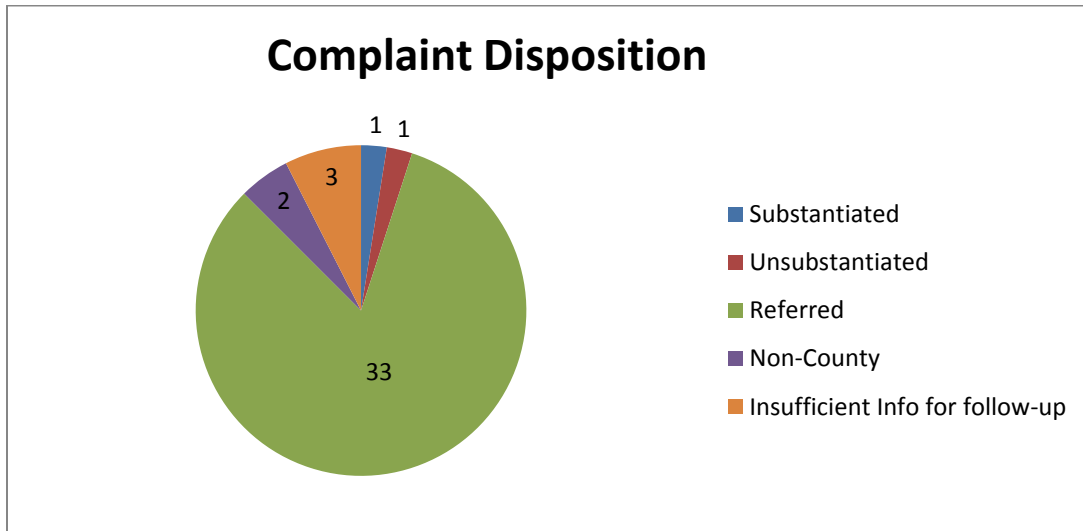
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Most of the complaints were addressed through referral to Department Heads (82%).



The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.