



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James W. Hamilton, CPA Auditor-Controller • Treasurer-Tax Collector

Lydia J. Corr, CPA Assistant Auditor-Controller • Treasurer-Tax Collector

Whistleblower Hotline Report As of March 31, 2020

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and oversight of the County by reporting suspected financial fraud, waste, and abuse. From inception in November 2013 through March 31, 2020, the Whistleblower Hotline received 214 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Supervisor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

10 complaints were received in the 3rd quarter of Fiscal Year 2019-20, ending March 31, 2020.

The 3rd quarter reports received related to the following categories:

- Violation of Federal, State, City, or County Regulation (6)
- Employee Misconduct (2)
- Safety (2)

The disposition of the 10 cases includes:

- Three were related to non-County operations
- Two were referred to Human Resources
- Two were referred to the appropriate Department Head
- Two were referred to the County Administrative Office
- One was referred to County Counsel

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.