



**COUNTY OF SAN LUIS OBISPO**  
AUDITOR • CONTROLLER • TREASURER • TAX COLLECTOR

JAMES P. ERB, CPA  
Auditor-Controller  
Treasurer-Tax Collector

James W. Hamilton, CPA  
Assistant

**Whistleblower Hotline Report  
As of March 31, 2017**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website ([reportlineweb.com/sanluisobispo](http://reportlineweb.com/sanluisobispo)) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Chief Internal Auditor in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

From the hotline's inception in November 2013 through March 31, 2017 the Whistleblower Hotline received ninety-three reports regarding perceived instances of fraud, waste, and/or abuse. Five complaints were received in the fiscal year 2016-17 3<sup>rd</sup> quarter ending March 31, 2017.

The 3<sup>rd</sup> quarter reports received related to the following categories:

- Employee Misconduct (3)
- Non-County Operations (2)

The disposition of the five cases includes:

- One was referred to the Administrative Office
- Two were referred to Department Heads
- Two were referred to the City of San Luis Obispo

The San Luis Obispo County Whistleblower Program continues to improve controls and promote accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.