



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James P. Erb, CPA *Auditor-Controller • Treasurer-Tax Collector*

James W. Hamilton, CPA *Assistant Auditor-Controller • Treasurer-Tax Collector*

**Whistleblower Hotline Report
As of March 31, 2018**

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and responsibility of the County by reporting financial fraud, waste, and abuse. From the hotline's inception in November 2013 through March 31, 2018 the Whistleblower Hotline received 126 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Manager in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

11 complaints were received in the Fiscal Year 2017-18 3rd quarter ending March 31, 2018.

The 3rd quarter reports received related to the following categories:

- Employee Misconduct (3)
- Theft/Waste/Abuse (1)
- Violation of County Ordinances (4)
- Non-County Operations (3)

The disposition of the eight County-related cases includes:

- Three were referred to the Department Heads
- Four were referred to Code Enforcement
- One had insufficient information to follow-up

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.