



COUNTY OF SAN LUIS OBISPO

AUDITOR - CONTROLLER • TREASURER - TAX COLLECTOR

James W. Hamilton, CPA Auditor-Controller • Treasurer-Tax Collector

Lydia J. Corr, CPA Assistant Auditor-Controller • Treasurer-Tax Collector

Whistleblower Hotline Report As of December 31, 2020

The San Luis Obispo County Whistleblower Hotline was established in November 2013 to provide a mechanism by which employees and citizens may contribute to the accountability, transparency, and oversight of the County by reporting suspected financial fraud, waste, and abuse. From inception in November 2013 through December 31, 2020, the Whistleblower Hotline received 247 reports.

The Whistleblower Hotline includes a toll-free hotline number (855-326-9623) and website (reportlineweb.com/sanluisobispo) which are accessible 24 hours a day, 7 days a week and are administered by an independent hotline provider. Reports may be submitted anonymously.

Reports received are reviewed by the County's Auditor-Controller-Treasurer-Tax Collector and the Internal Audit Division in conjunction with County Counsel, Human Resources, and Department Heads, as appropriate.

18 reports were received in the 2nd quarter of Fiscal Year 2020-21, ending December 31, 2020.

The 1st quarter reports received related to the following categories:

- Violation of Federal, State, City, or County Regulation (12)
- Misconduct (3)
- Mismanagement of County Resources and Conflict of Interest (1)
- Violation of Civil Service Rules (1)
- Other (1) – Contact information request

The disposition of the 18 cases includes:

- Nine were referred to the appropriate Department Head
- Four were related to non-County operations and referred to outside agencies
- Two were referred to Human Resources
- Two were investigated and unsubstantiated by Departments
- One was investigated and unsubstantiated by the Auditor-Controller-Treasurer-Tax Collector

The San Luis Obispo County Whistleblower Program improves controls and promotes accountability and oversight throughout the County through the identification of potential fraud, waste, and abuse.